

**COUNTY OF SAN MATEO
Departmental Correspondence**

**Date: July 12, 2001
Hearing Date: July 31, 2001**

TO: Honorable Board of Supervisors

FROM: Maureen D. Borland, Director, Human Services Agency
Yvonne Frazier, Administrator, Alcohol and Drug Services *UNB/DF*

SUBJECT: Agreement with The Latino Commission on Alcohol and Drug Abuse Services of San Mateo County for Alcohol and Drug Treatment Services for the One-Year Period 7/1/2001 Through 6/30/2002

RECOMMENDATION:

Adopt a resolution:

- 1) authorizing the President of the Board to execute an agreement with The Latino Commission on Alcohol and Drug Abuse Services of San Mateo County (Latino Commission) in the amount of \$266,493 for the one-year period 7/1/2001 through 6/30/2002; and
- 2) authorizing the Director of the Human Services Agency to execute amendments and minor modifications during the term of the agreement, however, such authority is limited to:
 - a) reducing the County's maximum fiscal obligation in the event there is a commensurate reduction in the term of the agreement, the units of service or the types of service provided by Contractor,
 - b) increasing the County's maximum fiscal obligation in the event there is a commensurate increase in the term of the agreement, the units of service and/or the types of services provided by Contractor, however, said increase(s) shall be limited to a total of \$25,000, and
 - c) making changes in the types or units of service provided by Contractor, as long as the changes have no impact on the County's maximum fiscal obligation.

Background:

The agreement with Latino Commission contains services funded through a grant from the Center for Substance Abuse Treatment (CSAT). In FY2000-2001 the Board of Supervisors approved a one-year agreement with Latino Commission to provide alcohol and drug treatment services. Alcohol and Drug Services recommends contracting with Latino Commission to continue providing services throughout FY2001-2002.

As the Human Services Agency's Outcome Based Management pilot program, Alcohol and Drug Services developed performance measures for treatment services and collected baseline data throughout FY2000-2001.

Discussion:

The Contractor will continue to provide Center for Substance Abuse Prevention (CSAT) funded residential treatment services that were initiated as part of the Latino Project Expanded Substance Abuse Treatment grant. The program will serve sixteen (16) program participants during FY2001-2002. Assessment and follow-up using the Addiction Severity Index are included in Alcohol and Drug Services' Outcome Based Management objectives. In addition, the Contractor will provide CSAT funded Faith Initiative program services to its program participants through September, 2001, which is the end of the funding period for these services.

Outcome measures for alcohol and drug treatment services are included in the Human Services Agency's Outcome-Based Management (OBM) Program Plan. Progress on data collection and projected targets for FY2001-2002 is summarized in the attached chart.

The agreement and resolution have been reviewed and approved as to form by the County Counsel's office.

Term and Fiscal Impact:

The term of this one-year agreement is from July 1, 2001 through June 30, 2002. The total contract obligation is \$266,493, which is included in the FY2001-2002 preliminary budget for Alcohol and Drug Services. This includes \$94,070 in federal CSAT Latino Expansion grant funds, \$1,000 in federal CSAT Faith Initiative funds, and \$171,423 in County funds.

Esther Lucas, ext. 6432
cc: Deborah Penny Bennett, Deputy County Counsel

Performance Measures for Alcohol and Drug Services

Alcohol and Drug Services is in compliance with the Human Services Agency's Outcome-Based Management (OBM) Program Plan. Below are the Recommended Performance Measures included in the OBM Plan.

Alcohol and Drug Treatment Services

Outcome-Based Management Performance Measures*	FY2000-2001 Baseline Data*	Projected for FY2001-2002
Percent of clients reducing or abstaining from alcohol and drug use at 3 months and 9 months post-intake.	3 months - 89% 9 months - 82%	3 months - 85% 9 months - 75%
Percent of clients detained or incarcerated (within last 30 days), or awaiting charges, trial or sentencing at 3 months and 9 months post-intake.	3 months - 13% 9 months - 20%	3 months - 15% 9 months - 20%
Percent of criminal justice involved clients retained in treatment or until completion of program.	75%	75%
Percent of clients referred or completing treatment, by modality: 1) Outpatient 2) Residential Detoxification/Non-Hospital 3) Residential Treatment 4) Intensive Outpatient/Day Treatment TOTAL	1) 48% 2) 75% 3) 60% 4) 42% 62% average of all modalities	1) 48% 2) 75% 3) 60% 4) 42% 62% average of all modalities
Percent of clients employed at 3 months and 9 months post-intake.	3 months - 36% 9 months - 32%	3 months - 35% 9 months - 40%

* Based on year-to-date figures as of third quarter ending March 31, 2001

The year-end data for FY 2000-2001 will be available and reviewed in August 2001. Based on the year-end data, the projected targets for FY 2001-2002 may be adjusted accordingly at a later date.

Alcohol and Drug Prevention Services

Outcome-Based Management Performance Measures	Projected for FY2000-2001	FY 2000-2001 Baseline Data*	Projected for FY 2001-2002
Number of participants served in: A) school linked programs B) education/outreach programs C) youth development programs	A) 459 B) 19,530 C) 445	A) 597 B) 24,472 C) 656	A) 460 B) 19,550 C) 450
Number of participants participating in cognitive skill building (tutoring/education, problem solving/decision making/critical thinking, communication and leadership development).	20,434	25,725	20,460
Percentage of participants completing the program demonstrating increased achievement motivation	N/A	Baseline data will be available by August 2001.	Projection to be developed from FY2000-2001 baseline.
Percentage of participants completing the program demonstrating a positive view of personal future	N/A	Baseline data will be available by August 2001.	Projection to be developed from FY2000-2001 baseline.
Percentage of participants completing the program demonstrating increased planning and decision making skills	N/A	Baseline data will be available by August 2001.	Projection to be developed from FY2000-2001 baseline.
Percentage of youth (Grade 6 and above) completing the program reporting abstinence of reduction in the use of alcohol, tobacco, and/or other drugs	N/A	Baseline data will be available by August 2001.	Projection to be developed from FY2000-2001 baseline.

*Based on year-to-date figures as of third quarter ending 3/31/01.

RESOLUTION NO. _____

BOARD OF SUPERVISORS, COUNTY OF SAN MATEO, STATE OF CALIFORNIA

* * * * *

RESOLUTION AUTHORIZING EXECUTION OF AGREEMENT WITH **THE LATINO COMMISSION ON ALCOHOL AND DRUG ABUSE SERVICES OF SAN MATEO COUNTY** FOR THE PROVISION OF ALCOHOL AND DRUG TREATMENT SERVICES FOR THE ONE-YEAR PERIOD 7/1/2001 THROUGH 6/30/2002; AND AUTHORIZING THE DIRECTOR OF THE HUMAN SERVICES AGENCY TO EXECUTE SUBSEQUENT AMENDMENTS AND MINOR MODIFICATIONS DURING THE TERM OF THE AGREEMENT, HOWEVER, SUCH AUTHORITY IS LIMITED TO:

- A) REDUCING THE COUNTY'S MAXIMUM FISCAL OBLIGATION IN THE EVENT THERE IS A COMMENSURATE REDUCTION IN THE TERM OF THE AGREEMENT, THE UNITS OF SERVICE OR THE TYPES OF SERVICE PROVIDED BY CONTRACTOR,
- B) INCREASING THE COUNTY'S MAXIMUM FISCAL OBLIGATION IN THE EVENT THERE IS A COMMENSURATE INCREASE IN THE TERM OF THE AGREEMENT, THE UNITS OF SERVICE AND/OR THE TYPES OF SERVICES PROVIDED BY CONTRACTOR, HOWEVER, SAID INCREASE(S) SHALL BE LIMITED TO A TOTAL OF \$25,000, AND
- C) MAKING CHANGES IN THE TYPES OR UNITS OF SERVICE PROVIDED BY CONTRACTOR, AS LONG AS THE CHANGES HAVE NO IMPACT ON THE COUNTY'S MAXIMUM FISCAL OBLIGATION.

RESOLVED, by the Board of Supervisors of the County of San Mateo, State of California, that

WHEREAS, this Board has been presented with a form of the Agreement and has examined and approved it as to both form and content and desires to enter into said Agreement:

NOW, THEREFORE IT IS HEREBY RESOLVED that the President of this Board of Supervisors be, and is hereby authorized and directed to execute said Agreement for and on behalf of the County of San Mateo, and the Clerk of this Board shall attest the President's signature thereto; and

BE IT FURTHER RESOLVED, that the Director of the Human Services Agency shall be authorized to execute subsequent amendments and minor modifications thereto during the term of the Agreement, however, such authority is limited to:

- a) reducing the County's maximum fiscal obligation in the event there is a commensurate reduction in the term of the agreement, the units of service or the types of service provided by Contractor,
- b) increasing the County's maximum fiscal obligation in the event there is a commensurate increase in the term of the agreement, the units of service and/or the types of services provided by Contractor, however, said increase(s) shall be limited to a total of \$25,000, and
- c) making changes in the types or units of service provided by Contractor, as long as the changes have no impact on the County's maximum fiscal obligation.



**AGREEMENT BETWEEN
COUNTY OF SAN MATEO**

AND

***THE LATINO COMMISSION ON ALCOHOL AND DRUG ABUSE
SERVICES OF SAN MATEO COUNTY***

For the Period of

July 1, 2001 through June 30, 2002

**Agency Contact:
Esther Lucas
Human Services Analyst
*(650) 802-6432***

**AGREEMENT WITH
THE LATINO COMMISSION ON ALCOHOL AND DRUG ABUSE SERVICES OF SAN
MATEO COUNTY
FOR ALCOHOL AND DRUG TREATMENT SERVICES**

THIS AGREEMENT, entered into this _____ day of _____, 2001, by and between the COUNTY OF SAN MATEO, hereinafter called "County" and THE LATINO COMMISSION ON ALCOHOL AND DRUG ABUSE SERVICES OF SAN MATEO COUNTY, hereinafter called "Contractor";

W I T N E S S E T H:

WHEREAS, pursuant to Government Code, Section 31000, County may contract with independent contractors for the furnishing of such services to or for County or any Department/ Agency thereof; and

WHEREAS, it is necessary and desirable that Contractor be retained for the purpose of performing professional services of alcohol and drug treatment services; in accordance with **State and Federal laws, regulations and funding mandates.**

The following exhibits and attachments are attached hereto and incorporated by reference therein.

NOW, THEREFORE, IT IS HEREBY AGREED BY THE PARTIES HERETO AS FOLLOWS:

1. **Exhibits and Attachments**

- Exhibit A: Center for Substance Abuse Treatment (CSAT) Funded Services and Rates of Payment for those Services.
- Exhibit B: **Outcome Based Management and Budgeting Responsibilities**
- Attachment 1: Compliance with Section 504
- Attachment 2: Fingerprinting **Compliance**
- Attachment 3: HIV/AIDS Services
- Attachment 4: Payment Procedures
- Attachment 5: Monitoring Procedures
- Attachment 6: Program Specific Requirements
- Attachment 7: Equal Benefits Compliance

2. **Services to be Performed by Contractor.**

In consideration of the payments hereinafter set forth in the **Exhibits and Attachments** herein, Contractor shall perform **alcohol and drug treatment services as set forth in the Exhibits and Attachments attached and incorporated by reference.**

3. **Payments.**

A. **Maximum Amount.** In full consideration of Contractor's performance of the services described in the **Exhibits**, the amount that County shall be obligated to pay for services rendered under this Agreement shall not exceed **TWO HUNDRED SIXTY SIX THOUSAND FOUR HUNDRED NINETY-THREE DOLLARS (\$ 266,493)** for the contract term.

B. **Rate of Payment.** The rates and terms of payment shall be specified in the **Exhibits and Attachments**. Any rate increase is subject to the approval of the Director of Human Services or her authorized representative, and shall not be binding on County unless so approved in writing. In no event may the established rates be increased to the extent that the maximum County obligation shall exceed the total specified in paragraph 3.A. above. Each payment shall be conditioned on the satisfactory performance of the services described in the **Exhibits** to the full satisfaction of the Director of Human Services or her representative.

C. **Time Limit for Submitting Invoices.** Contractor shall submit an invoice for services to County for payment in accordance with the provisions of the **Exhibits and Attachments**. County shall not be obligated to pay Contractor for the services covered by any invoice if Contractor presents the invoice to County more than one hundred eighty (180) days after the date Contractor renders the services, or more than ninety (90) days after this Agreement terminates, whichever is earlier.

In order to ensure full and timely payment for services provided, Contractor is required to submit invoices for services provided to County no later than the fifteenth (15th) day of each month.

D. **Availability of Funds.** Payment for all services provided pursuant to this Agreement are contingent upon the availability of County, State, and Federal funds. In the event the State or Federal government does not appropriate or pay County the necessary funds as part of either or both of their budgets, the County shall not be liable for any payment whatsoever, including, but not limited to, payments that are based on County funds. The County may terminate this Agreement for unavailability of Federal, State or County funds.

E. **Program Budget.**

1. Contractor will expend funds received for operation of its program and services according to Contractor's annual operating budget. Said budget shall be subject to the approval of the Human Services Agency. Actual expenditures may exceed budgeted expenditures to the extent that actual income exceeds budgeted income.

2. Transfers between personnel and operating expenses that in the aggregate equal or exceed ten percent (10%) of the maximum amount specified in Paragraph 3.A. hereinabove or TEN THOUSAND DOLLARS (\$10,000), whichever is less, of the annual budget for contracted services may be made only upon prior authorization of the Alcohol and Drug

Services Manager.

4. **Relationship of Parties.**

It is expressly understood that this is an agreement between two (2) independent contractors and that no agency, employee, partnership, joint venture or other relationship is established by this Agreement. The intent by both County and Contractor is to create an independent contractor relationship. Contractor expressly acknowledges and accepts his/her/its tax status and the tax consequences of an independent contractor. Further, as an independent contractor, Contractor expressly acknowledges and accepts that he/she/it has no rights, benefits, privileges and/or claims in any form whatsoever under, from, through and/or pursuant to the San Mateo County Civil Service Rules.

5. **Hold Harmless.**

Contractor shall indemnify and save harmless the County, its officers, agents, employees, and servants from all claims, suits, or actions of every name, kind and description, brought for, or on account of: (A) injuries to or death of any person, including Contractor, or (B) damage to any property of any kind whatsoever and to whomever belonging; or (C) any other loss or cost, including but not limited to, the concurrent active or passive negligence of the County, its officers, agents, employees and servants, resulting from the performance of any work required of Contractor or payments made pursuant to the Agreement, provided that this shall not apply to injuries or damage for which the County has been found in a court of competent jurisdiction to be solely liable by reason of its own negligence or willful misconduct.

The duty of Contractor to indemnify and save harmless, as set forth herein, shall include the duty to defend as set forth in Section 2778 of the California Civil Code.

6. **Insurance.**

A. Contractor shall not commence work or be required to commence work under this Agreement unless and until all insurance required under this section has been obtained and such insurance has been approved by the Director of Human Services and Contractor shall use diligence to obtain such issuance and to obtain such approval. The Contractor shall furnish the Human Services Agency with certificates of insurance evidencing the required coverage, and there shall be a specific contractual liability endorsement extending the Contractor's coverage to include the contractual liability assumed by the Contractor pursuant to this Agreement. These certificates shall specify or be endorsed to provide that thirty (30) days' notice must be given, in writing, to the Human Services Agency or any pending change in the limits of liability or of any cancellation or modification of the policy.

1) **Workers' Compensation and Employer's Liability Insurance.**

Contractor shall have in effect during the entire life of this Agreement, Workers' Compensation and Employer's Liability Insurance providing full statutory coverage. In signing this Agreement, Contractor makes the following certification, required by Section 1861 of the California Labor Code:

I am aware of the provisions of Section 3700 of the California Labor Code which requires every employer to be insured against liability for Workers' Compensation or to undertake self-insurance in accordance with the provisions of the Code, and I will comply with such provisions before commencing the performance of the work of this Agreement.

2) Liability Insurance. Contractor shall take out and maintain during the life of this Agreement such Bodily Injury Liability and Property Damage Liability Insurance as shall protect him/her/it while performing work covered by this Agreement from any and all claims for damages for bodily injury, including accidental death, as well as any and all claims for property damage which may arise from Contractor's operations under this Agreement, whether such operations be by himself/herself/itself or by any subcontractor or by anyone directly or indirectly employed by either of them. Such insurance shall be combined single limit bodily injury and property damage for each occurrence and shall not be less than the amount specified below.

Such insurance shall include:

- (a) Comprehensive General Liability... ..\$ 1,000,000
- (b) Automobile Liability.....\$ 1,000,000
- (c) Professional Liability.....\$ 1,000,000

After one year from the date of this Agreement is first executed, the County may at its sole discretion, require an increase in the amount of liability insurance to the level then customary in similar County agreements by giving sixty (60) days notice to Contractor. County and its officers, agents, employees and servants shall be named as additional insured on any such policies of insurance, which shall also contain a provision that the insurance afforded thereby to the County, its officers, agents, employees, and servants shall be primary insurance to the full limits of liability of the policy, and that if the County or its officers and employees have other insurance against the loss covered by such a policy, such other insurance shall be excess insurance only.

In the event of the breach of any provision of this section, or in the event any notice is received which indicates any required insurance coverage will be diminished or canceled, the County of San Mateo at its option, may, notwithstanding any other provision of this Agreement to the contrary, immediately declare a material breach of this Agreement and suspend all further work pursuant to this Agreement.

7. Non-Discrimination.

Contractor shall comply with the non-discrimination requirements described below:

A. Section 504 of the Rehabilitation Act of 1973.

1) Pursuant to Section 504 (Public Law 93-112), the Contractor agrees that no otherwise qualified disabled individual shall, solely by reason of a disability, be excluded from the participation in, be denied the benefits of, or be subjected to discrimination in the performance of this contract.

2) Compliance of Section 504 of the Rehabilitation Act of 1973, as amended, requires that all benefits, aids, and services are made available to disabled persons on an equivalent basis with those received by non-disabled persons. Contractor shall agree to be in compliance with Section 504 requirements by 1) signing the Letter of Assurance, attached and incorporated herein as Attachment 1, or 2) by developing a plan for compliance to be submitted to the Section 504 Coordinator, Department of Health Services, as soon as possible but not later than by the end of the current Fiscal Year.

B. Non-Discrimination - General. No person shall, on the grounds of age (over 40), ancestry, creed, color, disability, marital status, medical conditions, national origin, political or religious affiliation, race, sex, sexual orientation or any non-job-related criteria be excluded from participation in, be denied the benefits, or be subjected to discrimination under this Agreement.

C. Non-Discrimination - Employment. Contractor shall ensure equal employment opportunity based on objective standards of recruitment, selection, promotion, classification, compensation, performance evaluations, and management relations, for all employees under this Agreement. Contractor's equal opportunity employment policies shall be made available to County upon request.

D. Violation of the Non-Discrimination Provisions.

1. Violation of the non-discrimination provisions of this Agreement shall be considered a breach of this Agreement and subject the Contractor to penalties, to be determined by the County Manager, including but not limited to:

- a) Termination of this Agreement;
- b) Disqualification of the Contractor from bidding on or being awarded a County Contract for a period of up to 3 years;
- c) Liquidated damages of \$2,500 per violation;
- d) Imposition of other appropriate contractual and civil remedies and sanctions, as determined by the County Manager.

2. To effectuate the provisions of this paragraph, the County Manager shall have the authority to:

- a) Examine Contractor's employment records with respect to compliance with this paragraph;
- b) set off all or any portion of the amount described in this paragraph against amounts due to Contractor under the Contract or any other contract between Contractor and County.

Contractor shall report to the County Manager the filing by any person in any court of any complaint of discrimination or the filing by any person of any and all charges with the Equal Employment Opportunity Commission, the Fair Employment and Housing Commission or

any other entity charged with the investigation of allegations within 30 days of such filing, provided that within such 30 days such entity has not notified Contractor that such charges are dismissed or otherwise unfounded. Such notification shall include the name of the complainant, a copy of such complaint and a description of the circumstance. Contractor shall provide County with a copy of their response to the complaint when filed.

8. Equal Benefits Compliance. With respect to the provision of employee benefits, Contractor shall comply with the County Ordinance which prohibits contractors from discriminating in the provision of employee benefits between an employee with a domestic partner and an employee with a spouse.

9. Child Abuse Prevention, Reporting and Fingerprinting Requirements. Contractor agrees to ensure that all known or suspected instances of child abuse or neglect are reported to a child protective agency as defined in Penal Code Section 11165.9. This responsibility shall include:

A. A requirement that all employees, consultants, or agents performing services under this contract who are required by Penal Code Section 11166(a), to report child abuse or neglect, sign a statement that he or she knows of the reporting requirement and will comply with it.

B. Establishing procedures to ensure reporting even when employees, consultants, or agents who are not required to report child abuse under Penal Code Section 11166(a), gain knowledge of, or reasonably suspect that a child has been a victim of abuse or neglect.

C. Contractor agrees that its employees, subcontractors, assignees, volunteers, and any other persons who work at the program and/or provide services under this Agreement and who will have a supervisory or disciplinary power over a minor or any person under his/her care (Penal Code 11105.3) will be fingerprinted in order to determine whether they have a criminal history which would compromise the safety of children with whom Contractor's employees, subcontractors, assignees or volunteers have contact. All fingerprinting will be at County's sole discretion and Contractor's sole expense.

D. The fingerprinting process will be completed and the results of the process will be obtained before any of Contractor's employees, subcontractors, assignees or volunteers are assigned or permitted to work at the program.

E. Contractor will maintain, and make available to County upon request, a written certification for each individual employee, subcontractor, assignee, volunteer and any other person who works at the program and/or provides services under this agreement. Such certification shall state that the individual has been fingerprinted, that the results of the fingerprinting process have been obtained, and that the process has

disclosed no criminal history on the part of the individual which would compromise the safety of persons with whom that individual has contact.

10. Assignments and Subcontracts.

A. Without the written consent of the Director of Human Services or her designee, this Agreement is not assignable in whole or in part. Any assignment by Contractor without the written consent of the Director of Human Services or her designee violates this Agreement and shall automatically terminate this Agreement.

B. Contractor shall not employ subcontractors or consultants to carry out the responsibilities undertaken pursuant to this contract without the written consent of the Director of Human Services or her designee.

C. All assignees, subcontractors, or consultants approved by the Director of Human Services or her designee shall be subject to the same terms and conditions applicable to Contractor under this Agreement, and Contractor shall be liable for the assignee's, subcontractor's or consultant's acts and/or omissions.

D. All agreements between Contractor and subcontractor and/or assignee for services pursuant to this Agreement shall be in writing and shall be provided to County.

11. Records.

A. Contractor agrees to provide to County, to any Federal or State department having monitoring or reviewing authority, to County's authorized representatives and/or their appropriate audit agencies upon reasonable notice, access to and the right to examine and audit all records and documents necessary to determine compliance with relevant Federal, State, and local statutes, rules and regulations, and this Agreement, and to evaluate the quality, appropriateness and timeliness of services performed.

B. Contractor shall maintain and preserve all records relating to this Agreement in its possession of any third party performing work related to this Agreement for a period of **five (5) years** from the termination date of this Agreement, or until audit findings are resolved, whichever is greater.

12. Compliance with Applicable Laws.

All services shall be performed in accordance with all applicable Federal, State, County and Municipal laws, ordinances, regulations, and funding mandates, including but not limited to appropriate licensure, certification regulations, and requirements pertaining to confidentiality, civil rights, and quality assurance. Contractor will timely and accurately complete, sign, and submit all necessary documentation of compliance.

13. **Entire Agreement.**

This Agreement is entire and contains all the terms and conditions agreed upon by the parties. No alteration or variation shall be valid unless made in writing and signed by the parties hereto, and no oral understanding or agreement shall be binding on the parties hereto.

14. This Agreement is not a representation or indication of **subsequent funding or contracting** for the services described herein. The levels of services and payments set forth in this Agreement are not necessarily inclusive of start-up costs, or computed on an annualized basis.

15. **Interpretation and Enforcement.**

A. Any notice, request, demand or other communication required or permitted hereunder shall be deemed to be properly given when deposited in the United States mail, postage prepaid, or when deposited with a public telegraph company for transmittal, charges prepaid, addressed to:

(1) In the case of County, to:
San Mateo County Human Services Agency
Alcohol and Drug Services
400 Harbor Boulevard, Building C
Belmont CA 94002
(650) 802-6400

(2) In the case of Contractor, to:

The Latino Commission on Alcohol and Drug Abuse Services
of San Mateo County
301 Grand Avenue, Suite 301
South San Francisco, CA 94080

B. **Controlling Law.** The validity of this Agreement and of its terms or provisions, as well as the rights and duties of the parties hereunder, the interpretation and performance of this Agreement shall be governed by the laws of the State of California.

16. **Term of the Agreement.**

Subject to compliance with the terms and conditions of this Agreement, the term of this Agreement shall be from July 1, 2001, through June 30, 2002. This Agreement may be terminated by Contractor, Director of Human Services or her designee at any time without a requirement of good cause upon thirty (30) days' written notice to the other party.

IN WITNESS WHEREOF, the parties hereto, by their duly authorized representatives, have affixed their hands.

COUNTY OF SAN MATEO

By: _____
Michael D. Nevin, President
Board of Supervisors

Date: _____

ATTEST:

Clerk of Said Board

Date: _____

THE LATINO COMMISSION ON ALCOHOL AND
DRUG ABUSE SERVICES OF SAN MATEO COUNTY

Debra Camarillo
Executive Administrator
Name, Title - Print



Signature

Date: 7/10/01

Contractor's Tax I.D. # 943149136

EXHIBIT A
Center for Substance Abuse Treatment (CSAT) Funded
Alcohol and Drug Treatment Services and Payments
THE LATINO COMMISSION ON ALCOHOL AND DRUG ABUSE SERVICES OF SAN
MATEO COUNTY
July 1, 2001 through June 30, 2002

Contractor will provide the following services and admit individuals, **hereinafter referred to as program participants**, in accordance with the guidelines and requirements of the Catalog of Federal Domestic Assistance Number 93-230; the Grant Award No. 1 H79 TI11561-01, Title: The Latino Project Expanded Substance Abuse Treatment dated September 21, 1998, which is the funding source for these services; and the PHS Grants Policy Statement (Rev. April 1, 1994), which is a compilation of the salient features of policies and various policy issues regarding the administration of grant awards.

I. CSAT FUNDED RESIDENTIAL LATINO ALCOHOL AND DRUG TREATMENT EXPANSION SERVICES:

A. CSAT Residential Women, and Men's Latino Alcohol and Drug Treatment Expansion Units of Service:

1. Admit to Contractor's CSAT women's residential Latino alcohol and drug treatment expansion services a minimum of **eight (8)** program participants who meet the CSAT criteria specified in Federal Grant #1 H79 TI11561-01. Provide a total of **one thousand four hundred sixty (1,460)** days of CSAT women's residential Latino alcohol and drug treatment expansion services to be allocated by Contractor.
2. Admit to Contractor's CSAT men's residential Latino alcohol and drug treatment expansion services a minimum of **eight (8)** program participants who meet the CSAT criteria specified in Federal Grant #1 H79 TI11561-01. Provide a total of **one thousand four hundred sixty (1,460)** days of CSAT men's residential Latino alcohol and drug treatment expansion services to be allocated by Contractor.

B. CSAT Women's, and Men's Residential Latino Alcohol and Drug Treatment Expansion Services:

Contractor's basic CSAT women's, and men's residential Latino alcohol and drug treatment expansion services will include, but not be limited to the following:

1. Intake, assessment (using the Addiction Severity Index [ASI], Lite -CF), recovery planning, aftercare, relapse prevention, and case management

services for each program participant.

2. One alcohol- and drug-free socialization activity for residents per quarter.
3. Classes focusing on family values, and parenting skills.
4. Sexuality workshops regarding sexuality and relationships.
5. Nutrition classes focusing on proper nutritional needs.
6. Meditation practices.
7. Art therapy.
8. "Twelve step" presentations covering such topics as sponsorship, co-dependency, and relapse.
9. Arrange for the following:
 - a. Project Read staff teaching on a semi-weekly basis basic reading skills, G.E.D. preparation, and civil service requirements.
 - b. Provide bank account training on how to utilize a bank account, balance a check book, and read bank statements.
 - c. Planned Parenthood will provide education on sexually transmitted diseases and family planning.
 - 1) Planned Parenthood will also provide education on feminine hygiene and breast cancer to the women program participants.
 - d. Vocational Rehabilitation staff will assist program participants in the transition of post-recovery home life.
 - e. Assist with housing resources for program participants who are in the process of transition.
 - f. Provide resource services around the issues of immigration.

C. CSAT Women's, and Men's Residential Alcohol and Drug Treatment Service Delivery:

Contractor will provide services to program participants upon admission to Contractor's alcohol and drug treatment program as follows:

1. Months one, two, and three:
 - a. Provide program participants with ninety (90) days of residential treatment services.
2. Month four:
 - a. Begin to transition program participants to intensive day treatment (IDT) services:
 - 1) weeks one and two program participants will continue in residential treatment, with a transition two days per week in intensive day treatment (for a total of 5 hours of IDT).
 - 2) weeks three and four program participants will continue in residential treatment, with a transition three days per week in intensive day treatment (for a total of 5 hours of IDT).
3. Month five:
 - a. Begin to transition program participants from residential treatment to intensive day treatment and clean and sober living transitional housing (upon bed availability):
 - 1) program participants will continue in residential treatment one day per week, and intensive day treatment four times per week.
4. Month six:
 - a. Begin to transition program participants to employment:
 - 1) program participants will continue in intensive day treatment for two weeks, with employment transition anticipated in week three.
 - 2) begin employment placement with aftercare support.
5. Month seven:
 - a. Program participants will enter into working phase with aftercare support.

D. CSAT Residential Alcohol and Drug Treatment Expansion Service Evaluation: Contractor will participate in the evaluation component specific to the CSAT residential alcohol and drug treatment expansion services specified in Grant Award #1 H79 TI11561-01. This CSAT evaluation is in addition to other evaluation and outcome measure activities required by this Agreement.

E. **Rates of Payment:**

Monthly County payment to Contractor is determined by dividing the entire fiscal obligation into twelve (12) monthly payments. In full consideration of CSAT services provided by Contractor:

1. County shall pay Contractor ELEVEN THOUSAND FOUR HUNDRED TWENTY-FIVE DOLLARS AND EIGHT CENTS (\$11,425.08) per month, not to exceed a maximum contract obligation of ONE HUNDRED THIRTY-SEVEN THOUSAND ONE HUNDRED ONE DOLLARS (\$137,101) for the term of the agreement. This is the portion of the contract designated for CSAT funded women's Latino residential treatment expansion services.
2. County shall pay Contractor TEN THOUSAND SIX HUNDRED NINETY-NINE DOLLARS AND THIRTY-THREE CENTS (\$10,699.33) per month, not to exceed a maximum contract obligation of ONE HUNDRED TWENTY EIGHT THOUSAND THREE HUNDRED NINETY-TWO DOLLARS (\$128,392) for the term of the agreement. This is the portion of the contract designated for CSAT funded men's Latino men's residential treatment expansion services.
3. **All payments under this Agreement must directly support services specified in this Agreement.**

II. **CSAT FUNDED FAITH INITIATIVE PROGRAM SERVICES AND RATES OF PAYMENT:**

Contractor will assist CSAT program participants who seek support for their recovery through a faith establishment by providing access to services in conjunction with a community faith organization which provides services specific to the population identified in the Contractor's CSAT proposal.

- A. Contractor will provide access for its program participants to weekly Sunday services as follows:
 1. The weekly Inter-Faith group will discuss practical approaches in addressing a variety of topics including, but not limited to: gender issues, parenting skills/roles, healthy relationships, and character building.
 2. The weekly support group will provide a safe environment where program participants can discuss issues that arise during treatment and how to work toward on-going recovery, and ways to improve their quality of life.

3. Contractor will assist with the cost of the space rental and materials for the weekly groups, and costs associated with round-trip transportation from Contractor's facility to the Inter-Faith services.
4. Contractor will provide one hundred (100) staff hours dedicated to CSAT Faith Initiative program services outlined above. Contractor will submit to County monthly updates and reports on the number of staff hours provided in the prior month.

B. Rates of Payment:

Monthly County payment to Contractor is determined by dividing the entire fiscal obligation into three (3) monthly payments. In full consideration of CSAT services provided by Contractor:

1. County shall pay Contractor THREE HUNDRED THIRTY-THREE DOLLARS AND THIRTY-THREE CENTS (\$333.33) per month, not to exceed a maximum contract obligation of ONE THOUSAND DOLLARS (\$1,000) for the period July 1, 2001 through September 30, 2001.
2. **All payments under this Agreement must directly support services specified in this Agreement.**

EXHIBIT B
Outcome Based Management and Budgeting Responsibilities
LATINO COMMISSION ON ALCOHOL AND DRUG ABUSE SERVICES OF SAN
MATEO COUNTY

July 1, 2001 through June 30, 2002

Contractor's Responsibilities:

Engage in activities and supply information required to implement the County's Outcome-Based Management and Budgeting (OBM) initiative. Activities include, but are not limited to:

- A. Attending planning and informational meetings;
- B. Developing program performance and outcome measurements;
- C. Collecting and submitting data necessary to fulfill measurement requirements;
- D. Participating in technical assistance and training events offered by the Human Services Agency and seeking technical assistance and training necessary to fulfill measurement requirements; and
- E. Participating in a review of performance and outcome information;
- F. Complying with OBM Implementation Guidelines as specified in memos released by the Human Service Agency.

Human Services Agency's (HSA) Responsibilities

- A. Provide technical assistance and support to assist Contractor's implementation of the County's Outcome-Based Management and Budgeting (OBM) initiative.
- B. Issue and review OBM Implementation Guidelines.
- C. Conduct review of performance and outcome information.

ATTACHMENT 1

(Required only from Contractors who provide services directly to the public
on County's behalf)

Assurance of Compliance with Section 504 of the Rehabilitation Act of 1973, as Amended

The undersigned (hereinafter called the "Contractor(s)") hereby agrees that it will comply with Section 504 of the Rehabilitation Act of 1973, as amended, all requirements imposed by the applicable DHHS regulation, and all guidelines and interpretations issued pursuant thereto.

The Contractor(s) give/gives this assurance in consideration of and for the purpose of obtaining contracts after the date of this assurance. The Contractor(s) recognize/recognizes and agree/agrees that contracts will be extended in reliance on the representations and agreements made in this assurance. This assurance is binding on the Contractor(s), its successors, transferees, and assignees, and the person or persons whose signatures appear below are authorized to sign this assurance on behalf of the Contractor(s).

The Contractor(s): (Check a or b)

- a. employs fewer than 15 persons.

- b. employs 15 or more persons and, pursuant to Section 84.7 (a) of the regulation (45 C.F.R. 84.7 (a)), has designated the following person(s) to coordinate its efforts to comply with the DHHS regulation.

DEBRA CAMARILLO

Name of 504 Person - Type or Print


LATINO COMMISSION 301 GRAND AVE., SUITE #301

Name of Contractor(s) - Type or Print Street Address or P.O. Box

SOUTH SAN FRANCISCO CALIFORNIA (\$) *)

City State Zip Code

I certify that the above information is complete and correct to the best of my knowledge.

7/10/01 

Date Signature and Title of Authorized Official
Executive Administrator

*Exception: DHHS regulations state that: "If a recipient with fewer than 15 employees finds that, after consultation with a handicapped person seeking its services, there is no method of complying with (the facility accessibility regulations)...other than making a significant alteration in its existing facilities, the recipient may, as an alternative, refer the handicapped person to other providers of those services that are accessible."

ATTACHMENT 2

FINGERPRINTING COMPLIANCE

Agreement with

LATINO COMMISSION

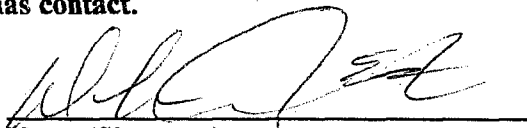
Name of Contractor

for

ALCOHOL AND DRUGS TREATMENT SERVICES

Services

- A. In accordance with the Child Abuse Prevention and Reporting section of this Agreement, Contractor agrees that its employees, subcontractors, assignees, volunteers and any other persons who **work at the program and/or provide services under this agreement**, and who will have supervisory or disciplinary power over a minor or any person under his/her care (Penal Code 11105.3) will be fingerprinted in order to determine whether they have a criminal history which would compromise the safety of children with whom Contractor's employees, subcontractors, assignees or volunteers have contact. All fingerprinting will be at County's sole discretion and Contractor's sole expense.
- B. **The fingerprinting process will be completed and the results of the process will be obtained before any of Contractor's employees, subcontractors, assignees or volunteers are assigned or permitted to work at the program.**
- C. **Contractor will maintain, and make available to County upon request, a written certification for each individual employee, subcontractor, assignee, volunteer and any other person who works at the program and/or provides services under this Agreement. Such certification shall state that the individual has been fingerprinted, that the results of the fingerprinting process have been obtained, and that the process has disclosed no criminal history on the part of the individual which would compromise the safety of persons with whom that individual has contact.**


Name (Signature)

Executive Administrator

Title

7/10/01

Date

ATTACHMENT 3
HIV/AIDS Services

July 1, 2001 through June 30, 2002

- I. **Contractor will provide the following HIV/AIDS services which are part of all Contractors' basic alcohol and drug treatment program(s):**
- A. **Contractor's Director(s) of programs will attend administrator training provided jointly by the San Mateo County AIDS Program and the Human Services Agency's Alcohol and Drug Program, or equivalent training designed specifically for administrators of alcohol and/or drug treatment programs and approved by the Alcohol and Drug Services Manager.**
 - B. **Contractor's staff will attend a minimum of two (2) hours of HIV update training specifically designed for counselors in alcohol and drug recovery programs. This requirement may be met by attendance at the San Mateo County AIDS Program in-service for alcohol and drug treatment staff, or equivalent training approved by the Alcohol and Drug Services Manager. Seventy-five percent (75%) of staff will receive this training.**
 - C. **Contractor will make available to each program participant (and families as appropriate) individual HIV/AIDS as a recovery issue, risk assessment and prevention education, culturally sensitive materials, and necessary knowledge and skills for attitude and behavior change. Contractor must have up-to-date information readily available for participants on HIV testing and counseling, needle exchange programs and written information on HIV/AIDS and Hepatitis A,B and C. Contractor must also make access to condoms available to all program participants.**
 - D. **Contractor will consult with San Mateo County AIDS Program to determine the best way for the AIDS Program, or Contractor's staff trained by the AIDS Program, to deliver HIV/AIDS group education to program participants. HIV/AIDS group education for participants will occur monthly for two (2) hours, with participant attendance expected. Group education will be designed with recovery as a focus, and will include culturally sensitive materials, and necessary knowledge and skills for attitude and behavior change.**
 - E. **Contractor will coordinate with the Alcohol and Drug Services Manager, and San Mateo County AIDS Program to develop a plan for either on-site or easy access to HIV antibody testing including phlebotomy, for program participants through County AIDS Program HIV testing services. HIV antibody testing and counseling will be conducted according to California State Law including HIV testing/AIDS confidentiality laws, and California State Office of AIDS guidelines by certified HIV counselors.**

ATTACHMENT 4

Payment Procedures

**THE LATINO COMMISSION ON ALCOHOL AND DRUG ABUSE SERVICES OF SAN
MATEO COUNTY**

July 1, 2001 through June 30, 2002

I. PAYMENTS:

- A. In the event that Contractor provides less than all services specified in the Exhibits, County reserves the right to pay only for the actual services provided plus an additional ten percent (10%) of the maximum contract obligation specified in Paragraph 3.A. of the body of this Agreement, subject to Paragraph I.B. of this Attachment. The payment of the additional ten percent (10%) of the maximum contract obligation will compensate Contractor for maintaining the program on a continuous basis. County shall bear no other responsibility to compensate Contractor for that service. In no event will the total payments to Contractor under this Agreement exceed the maximum contract obligation specified in Paragraph 3.A. of the body of this Agreement.
- B. Final settlement payment for this Agreement shall be no greater than the actual net allowable costs for actual or accrued expenditures made pursuant to the annual budget for contracted services submitted by Contractor for the term of the contract. Actual net allowable costs will be determined by the final/year-end Cost Report.
1. Contractor will submit to County for review and approval an annual budget covering all contracted services under this Agreement. The budget will be submitted prior to execution of this Agreement.
 2. Contractor will submit to County a final/year-end Cost Report no later than August 15, 2002.
 3. Contractor's final-year-end Cost Report may serve as Contractor's final budget revision upon approval of the Alcohol and Drug Services Manager. Subject to Paragraph 3.E. of the body of this Agreement, Contractor may transfer funds between personnel and operating expenses in the final/year-end Cost Report.
- C. County will pay Contractor upon Contractor's timely submission of satisfactorily completed documents, as follows: Monthly reports of direct services provided in the previous month and monthly bills in accordance with the County billing format.

1. County may withhold all or part of Contractor's monthly payment if Contractor fails to submit timely satisfactorily completed reports, including but not limited to the following. This may apply to previous contract periods. County will release withheld payments to Contractor when County determines that Contractor has satisfactorily submitted all required documents.
 - a. annual budget proposal
 - b. cost allocation plan
 - c. participant fee schedule
 - d. California Alcohol and Drug Data System (CADDs) participant records, and/or Prevention Activities Data System (PADs) as appropriate by program modality
 - e. quarterly revenue, expenditure and units of service reports
 - f. monthly Drug Abuse Treatment Access Report (DATAR) as appropriate by program modality
 - g. monthly units of service reports
 - h. monthly hours of staff availability reports (for services other than residential)
 - i. quarterly narrative report
 - j. outcome objectives data/report
 - k. final/year-end cost report
 - l. **Addiction Severity Index (ASI) at intake; 3 month and 9 month follow-up**
 - m. **capacity/utilization report to State**
2. County shall pay Contractor within thirty (30) days of receipt of invoice, provided invoice is accurate and any supporting documentation required for payment of invoice is also accurate. Invoices and/or supporting documentation that is inaccurate or contains inconsistencies must first be corrected and a new invoice submitted. County shall pay Contractor within thirty (30) days of receipt of corrected invoice and/or supporting documentation.
3. County may withhold all or part of Contractor's total payment if the Director of Human Services or her designee reasonably determines that Contractor has not satisfactorily performed the services described in the Exhibits and Attachments to this agreement.
 - a. County will give thirty (30) days' prior written notice to Contractor of County's intent to withhold payment.
 - b. If County reasonably determines that circumstances warrant

immediate action, County may withhold payment immediately upon County's written notice with justification to Contractor.

4. When County plans not to renew an agreement in the following fiscal year or when County plans to terminate this Agreement early, County may withhold all or part of Contractor's final payment until:
 - a. Contractor satisfactorily submits all reports required by this Agreement and until County has reviewed all of these reports, including the final Cost Report.
 - b. Federal, state, or county government completes any audit that has been commissioned or is underway and submits the audit report, and County has reviewed said audit report.
5. Services provided in excess of the maximum financial obligation of County will be solely at Contractor's risk and financial responsibility.
6. **If Contractor anticipates inability to provide the fully contracted units of service for one or more cost centers, Contractor must notify the Alcohol and Drug Services Manager, in writing, immediately upon discovery of such inability. Contractor will exercise best efforts to make said notification by February 28, 2002.**

ATTACHMENT 5
Monitoring Procedures
THE LATINO COMMISSION ON ALCOHOL AND DRUG ABUSE SERVICES OF SAN
MATEO COUNTY
July 1, 2001 through June 30, 2002

I. CONTRACTOR'S RESPONSIBILITIES:

A. Reporting Requirements for Alcohol and Drug Treatment Services:

1. Submit to County the monthly units of service report describing actual delivery of services provided under the Exhibits. Submit report within ten calendar (10) days after the end of each month.
2. Submit to County a copy of the monthly Drug Abuse Treatment Access Report (DATAR). Submit a copy of report within ten (10) calendar days after the end of each month. Submit original report to the California Department of Alcohol and Drug Programs.
3. **Submit to County Quarterly Expenses, Revenues and Units of Service reports outlining expenditures made, revenues received and units of service provided for each quarter, year-to-date. Submit reports within ten (10) calendar days after the end of each quarter.**
4. Submit to County **quarterly narrative** reports describing actual delivery of services provided under the Exhibits and listing the current members of Contractor's governing board. Explain any variations from expected service levels. **Submit quarterly narrative reports by the end of the month following each quarter.**
 - a. If the mid-year report due January 31, 2002 indicates that Contractor has not provided forty-five percent (45%) of the anticipated year-to-date services, County may require Contractor to submit monthly **narrative** reports describing actual delivery of services provided under the Exhibits for the remainder of the contract term.
5. Submit to County the alcohol and drug treatment outcome objectives data based on data collected from the Addiction Severity Index, (ASI). Contractor will utilize the ASI as the baseline tool for outcome measures. Submit data as specified in the March 28, 2000 Addiction Severity Index memo from Alcohol and Drug Services and any addendums thereto, and as directed by the County Alcohol and Drug Services Manager or her

designee.

II. COUNTY'S RESPONSIBILITIES:

- A. A County program liaison will monitor the submission of all correspondence required in this Agreement, including, but not limited to, the following:
1. Monthly reports.
 2. Financial reports such as annual budgets, cost allocation plans, and cost reports.
 3. Quarterly **Expenses, Revenues and Units of Service** reports.
 4. Quarterly narrative reports.
 5. Outcome data/reports.
 6. Other requested reports.
- B. A County program liaison will visit Contractor during the contract term. The visits shall be for the purpose of reviewing any aspect of Contractor's program operations. The visit may include, but is not limited to, the following tasks:
1. Review of all pertinent participant records.
 2. Appropriate interviews/discussions with participants served by Contractor.
 3. Review and monitor all correspondence and reports submitted by Contractor related to Contractor's services provided under this Agreement.
 4. Meet with appropriate program management and operations staff.
 5. Conduct site visit(s) to Contractor's program(s) at least once during the term of the Agreement to review all aspects of program operations. Site visit(s) may include a review of Contractor's programmatic and fiscal documentation related to required reports on services specified in the Exhibits.
 - a. Provide a written site review report documenting areas of compliance and any necessary corrective action(s) required.

6. A County program liaison will attend an organized activity of a selected component or selected components of Contractor's program(s) at least once during the contract term.

- C. Alcohol and Drug Services will conduct monthly provider meetings with representatives of all contracted service providers and appropriate staff. The purpose of these meetings shall be information sharing, discussion of service delivery, progress on stated goals and objectives, and communication regarding policy and procedure issues.

- D. Provide ongoing technical assistance as needed.

- E. Alcohol and Drug Services shall act as intermediary on behalf of each contracted alcohol and drug service provider in the submission of the CADDs and/or PADS forms to the State of California.

Att5nop.wp

ATTACHMENT 6

**Program Specific Requirements
THE LATINO COMMISSION ON ALCOHOL AND DRUG ABUSE SERVICES OF SAN
MATEO COUNTY
July 1, 2001 through June 30, 2002**

I. GENERAL ADMINISTRATIVE REQUIREMENTS:

- A. Attend each of the following meetings:
 - 1. Monthly Alcohol and Drug Treatment Provider's meetings.
 - 2. Other meetings as required by the County.

- B. Acknowledge the San Mateo County Alcohol and Drug Services and/or the County of San Mateo as a funding source on newly developed promotional materials. (Type size of acknowledgment should be in keeping with the text print size of the materials.)

- C. Subcontracting requirements:
 - 1. Pursuant to Paragraph 9 of the body of this Agreement, Contractor may subcontract for provision of services described in this Agreement with written approval of the Director of Human Services or her designee.

- D. If Contractor subcontracts for any services under this Agreement, Contractor will guarantee that any and all subcontractors have and maintain the same level of insurance coverage required of the Contractor under this Agreement. Contractor and County will be listed as additional insured on all applicable insurance of subcontractor.

II. ADMINISTRATIVE REQUIREMENTS FOR TREATMENT PROGRAMS:

- A. Maintain alcohol and drug treatment program participant records that include the following:
 - 1. CADDS form
 - 2. Intake form
 - 3. Signed fee determination
 - 4. Redetermination of fee every six (6) months
 - 5. Medical history

6. Social history
 7. Alcohol and drug history
 8. Presenting problem
 9. Completed Addiction Severity Index (ASI)
 10. Recovery plan
 11. Progress notes
 12. Closure summary/discharge plan
 13. Documented quarterly review by consultant/supervisor
 14. Signed release of information as required
 15. Signed consent to treatment
 16. Signed confidentiality agreement
 17. Supplementary intake and exit data survey forms (as requested by County)
- B. Administer the ASI to all adult treatment program participants who were not assessed via an ASI within 30 days prior to admission to Contractor's program(s), and as specified in the March 28, 2000 Addiction Severity Index memo and any addendums thereto from Alcohol and Drug Services. Submit outcome reports on data collected by the ASI as directed by the County Alcohol and Drug Program Manager, or her designee.
- C. **Make efforts to diversify program revenue sources.**
- D. Obtain and maintain California Department of Alcohol and Drug Programs certification and/or licensure of Contractor's alcohol and drug treatment program(s).
- E. Individuals will not be refused Contractor's basic alcohol and drug treatment services based on the individual's inability to pay. Contractor's basic alcohol and drug treatment plan will be submitted to, and approved by the County Alcohol and Drug Services Manager.
- F. **Contractor shall maintain a sliding fee scale and written payment policies which will ensure that clients' payments are commensurate with their ability to pay for services. The sliding fee scale will ensure services for those clients who are not able to pay. These documents and any amendments thereto will be submitted to Alcohol and Drug Services Manager or her designee for approval within thirty (30) days of the execution of this Agreement.**

1. **The approved client fee schedule submitted to Alcohol and Drug Services is the fee schedule that must be utilized for all clients being served in slots supported by this Agreement. All written and verbal communications regarding fees must be consistent with this approved client fee schedule. It is not appropriate to negotiate for the purpose of referrals or other reasons, by indicating the fee can be waived or reduced. If a program wants to alter their approved fee schedule, they must submit a new fee schedule to the Alcohol and Drug Services Manager or her designee for review and approval.**

- G. Contractor's program(s) will abide by the decision of the Alcohol and Drug Services Manager, in the event that a participant appeals the manner or amount of his/her fee determination.

III. PROGRAM CERTIFICATION:

In performing the services described in the Exhibits, Contractor shall perform the following services and abide by the following provisions:

A. Program Requirements:

1. Commence new program services no later than ninety (90) days after initiation of any start-up activities that are funded by County.
2. Make use of available community resources, including recreational resources.
3. Operate program(s) during times that provide reasonable accessibility for program participants with hours of operation posted in a conspicuous location.
4. Perform outreach activities to encourage individuals in need of alcohol and/or other drug services to reach these services.

B. Underserved Populations Requirements:

1. Work collaboratively with the County to provide outreach activities and prevention and treatment services to special and/or underserved populations that address their needs.
 - a. Work collaboratively with the County to provide multilingual, multicultural, and special population-oriented programs and/or

alcohol- and drug-related materials in order to meet the needs of the people in the community(ies) served by Contractor.

b. Work collaboratively with County to ensure that Contractor's program does not deny services based on language ability.

c. Special and/or underserved populations include the following:

- 1) Non-English speaking
- 2) Hearing impaired
- 3) Physically impaired
- 4) Gay/lesbian
- 5) Elderly (for adult services)
- 6) Pregnant women
- 7) HIV-positive
- 8) **Persons with a co-occurring disorder**
- 9) Diverse cultures

2. Demonstrate a commitment, in good faith, to recruit and retain program staff who can communicate with and relate to diverse populations.

3. Assure that Contractor's program staff receive training that addresses the prevention and treatment issues and approaches relevant to the special and/or underserved populations designated in Paragraph B.1.c. above.

C. Program participants who fall into the following categories will be considered to have a "co-occurring disorder." Contractor will abide by the following definitions and protocol for such individuals:

1. Definition of co-occurring disorder:

a. An individual is considered to have a "co-occurring disorder" if they have both a DSM-IV mental health diagnosis and a DSM-IV substance use disorder diagnosis. These diagnoses, along with assessment of current acuity of symptoms and behavioral management issues, will be considered when determining the appropriate level of care for each client.

2. Protocol:

a. Category I - basic mental health issues and substance use disorders: Examples would include most individuals diagnosed with Adjustment Disorders, Somatoform Disorders, Dysthymic Disorders and most Personality Disorders, who have some behavioral problems, in addition to the Substance Use Disorder(s). Such individuals may or may not be on medications.

- b. **Category II - complex mental health issues and substance use disorders:**
Examples would include most individuals diagnosed with Dissociative Disorders, Eating Disorders, Anxiety Disorders, Attention Deficit Disorders, Major Depressive Disorders that are not substance-induced, and some Personality Disorders with complex behavioral issues (such as some individuals with Borderline Personality Disorders), in addition to the Substance Use Disorder(s). Many, but not all, of these individuals may be on medications. In addition, some individuals in Category III who are stabilized on medications and who do not have significant behavioral problems may be in this category.
- c. **Category III - serious mental health issues and substance use disorders:**
Examples would include most individuals diagnosed with Schizophrenia, other Psychotic Disorders, Bipolar Disorders, severe Major Depressive Disorders, as well as individuals with very complex behavioral problems and Dissociative Disorders, Eating Disorders, and Borderline Personality Disorders, in addition to the Substance Use Disorder(s). Almost all of the individuals will be on medications.

Note: Individuals who have a diagnosis of Antisocial Personality Disorder, Mental Retardation, Learning Disorders, Autistic Disorders, Delirium, Dementia or Amnesic and other Cognitive Disorders and Substance Use Disorders, but none of the psychiatric diagnoses noted above, are considered to have a "co-occurring disorder" for the purposes of this protocol.

D. Administrative Requirements:

1. Allow San Mateo County Drug and Alcohol Advisory Board (DAAB) members to visit Contractor's program site(s) at a mutually agreed time. One (1) day advance notification will suffice when a member of the DAAB and the Alcohol and Drug Services Manager, agree that an immediate visit is necessary.
2. Provide statistical information upon reasonable request of County.

E. Facility Requirements:

1. Maintain wheelchair accessibility to program activities according to governing law, including the Americans With Disabilities Act (ADA), as applicable.
2. Provide service site(s) that will promote attainment of Contractor's program objectives. Arrange the physical environment to support those activities.
3. Decrease program costs when possible by procuring items at no cost from County surplus stores and by accepting delivery of such items by County.

F. Governance and Operational Requirements:

1. Comply with all federal, state, and San Mateo County governmental agencies regulations and requirements **including applicable provisions of the County's Combined Negotiated Net Amount and Drug Medi-Cal Contract** that are or become effective during the term of the contract that relate to providing publicly funded alcohol and drug services.
2. Develop and enforce written policies and procedures, to be maintained in an operations manual available to all staff and volunteers. Include the following:
 - a. A conflict of interest policy applicable to all of Contractor's program's employees, which includes, but is not limited to, financial conflict of interest.
 - b. Personnel policies that discuss the following:
 - 1) Criteria regarding employment of disabled people, including recovering alcohol and drug abusers, for each position, including the minimum length of recovery required for each position.
 - a) Include criteria regarding the employment of current program participants.
 - 2) Criteria describing the required academic and/or experiential background of Contractor's program's treatment and prevention staff in alcohol and drug use and related problems, including recognition of referral criteria such as jaundice, convulsions, and disorientation.

- c. Program eligibility standards and policies and procedures for admission to and termination from the program.
- d. Procedures for obtaining medical, psychiatric evaluation, and emergency services.
- e. Policies for maintaining participant records consistent with state and federal laws. Surrender such records to County should Contractor's program cease operations.
- f. A statement of participants' rights and the grievance procedure utilized to respond to complaints. The statement and the grievance procedure must be available to program participants.
- g. A confidentiality policy that complies with all applicable laws, including the following:
 - 1) Federal Department of Health and Human Services, Public Health Service, 42 Code of Federal Regulation Part 2, entitled, "Confidentiality of Alcohol and Drug Abuse Patient Records; Final Rule."
 - 2) California "Mandated Blood Testing and Confidentiality to Protect Public Health Act" of 1985 and all amendments, regarding AIDS/HIV issues.
 - 3) Health and Safety Code Section 11812(c).
- h. An abstinence-based prevention and recovery philosophy by which Contractor will maintain program structure, operation, and staffing.
 - 1) Contractor agrees that the use, sale, or distribution of alcohol and illicit drugs will be prohibited on all program premises; and at any event that is sponsored by or on behalf of Contractor's program (unless otherwise agreed upon in writing by the Alcohol and Drug Services Manager).
 - 2) Contractor agrees that all materials utilized by Contractor and that all activities conducted by Contractor will not promote the use of alcohol or illicit drugs.
 - 3) Contractor agrees not to accept any donations (including, but not limited to, money, goods, services, promotional

materials, entertainment, or use of any goods) from any company or organization whose principal business is the manufacture, sale, distribution, or promotion of alcohol or tobacco, including but not limited to, companies of the alcohol or tobacco industries.

- I. A policy statement on smoking in program facilities and during program activities.
- j. A policy statement on the use of medically-prescribed drugs for dually diagnosed participants or participants who have other medical problems.
- k. A policy statement on prevention of violence in the workplace.

G. Conflict of Interest Requirements:

1. If Contractor is a nonprofit agency, Contractor will comply with the California Corporations Code on Non-Profit Corporations.
2. Do not permit any member of Contractor's governing board to have or acquire, directly or indirectly, any personal financial interest in the performance of this Agreement, as by providing goods or services for compensation, or otherwise, without having first disclosed the same to the governing board.
3. Disclose to County in writing, within fourteen (14) calendar days of the occurrence of any of the following circumstances:
 - a. When any of the following persons or organizations performs for compensation any administrative or operational functions for Contractor with respect to the performance of this contract (including, but not limited to, fiscal accounting or bookkeeping functions).
 - 1) Any member of Contractor's governing board.
 - 2) Any person who is related by blood or marriage to a manager or a member of Contractor's governing board.
 - 3) Any organization in which any person who is related by blood or marriage to a manager or member of Contractor's governing board has a substantial personal financial interest.

- b. When Contractor enters into any agreement for the acquisition of goods or services for more consideration that would be paid for equivalent goods or services on the open market.
4. If the Alcohol and Drug Services Manager, reasonably determines that any activity constitutes a conflict of interest which is detrimental to program participants, program implementation, or program functioning, County may require Contractor to cease said activity.
5. If Contractor does not cooperate with any of the provisions of Paragraphs 1 through 4 of this Section, County may withhold payment subsequent to Contractor's non-cooperation. County will describe intention to withhold payment with justification in writing to Contractor.

IV. FISCAL CERTIFICATIONS:

In performing the services described in the Exhibits, Contractor shall perform the following services and abide by the following provisions:

- A. In the event that Contractor's program owes money to any county agency for services or goods received specifically pursuant to this Agreement or owes money based on any audit as described in Paragraph IV.A.2. hereinbelow, County may, at its option, deduct the amount owed from any payment due to Contractor or that will become due to Contractor under this Agreement.
 1. In the event that Contractor has already received payment for services, Contractor shall promptly refund to County, upon County's request, the amount to be withheld.
 2. In the event that the federal, state, or San Mateo County government performs an audit of Contractor's program provided pursuant to this Agreement, and determines that funds should be withheld from County due to Contractor's performance, Contractor shall be liable to County for the full amount of the funds withheld.
- B. Maintain all financial records, perform all cost allocations, and complete all financial reports according to standard accounting practices, as well as the California Department of Alcohol and Drug Programs's Alcohol Services Reporting System Manual (ASRS) and the Federal Office of Management and Budget's (OMB) Circular Nos. A-128 and A-133.
- C. If it is deemed necessary by the Alcohol and Drug Services Manager, hire a Certified Public Accountant to perform a fully certified audit of Contractor's

program at Contractor's expense.

1. Contractor will perform audit according to standard accounting practices.
2. This expense is an allowable cost in Contractor's program budget.
3. If County reasonably believes that governing board may not have met its fiduciary and/or other contractual responsibilities, the Alcohol and Drug Services Manager may reserve the right to develop the use of said audit and to approve the selection of the auditor.

D. If Contractor receives **THREE HUNDRED THOUSAND DOLLARS (\$300,000)** or more in federal funds in a fiscal year, Contractor must have a single audit in accordance with Circular No. A-128 or A-133. If Contractor is a non-profit organization with only one federal program, the audit can be made for that one program only.

1. Contractors receiving annually an aggregate of **ONE HUNDRED FIFTY THOUSAND DOLLARS (\$150,000)** or more of funds from the County must have a financial audit.
2. All audits must be conducted in accordance with government Auditing Standards (1994 Revision), prescribed by the U.S. Comptroller General, covering all County programs.
3. Contractor may conduct audit either annually or biannually. If Contractor conducts audit biannually, audit must cover a two- (2-) year period.
4. Audit reports will identify each County program covered by the audit, including contract amounts and contract periods.
5. If a funding source has more stringent and specific audit requirements than the audit requirements set forth in Paragraphs D.1 through 3, directly above, those audit requirements shall apply in addition to the audit requirements set forth herein.
6. Contractor will permit independent auditors to have access to Contractor's records and financial statements as necessary to comply with all applicable audit requirements.
7. The cost of the audit must be reasonable and is an allowable cost in Contractor's program budget.

8. Contractor will submit a copy of the audit report to County no later than ninety (90) days after termination of this Agreement. Contractor may submit a written request for additional time to complete the audit report, subject to County's written approval.
- E. **Make no capital equipment purchases not already included in Contractor's approved budget, with contract funds, without prior written approval from the Director of Human Services or her designee.**
 1. **County has the option to retain ownership of capital equipment purchased with contract funds.**
- F. Contractor will spend no contract funds on fundraising.
- G. Contractor will notify County upon Contractor's program's receipt of any annual donation valued at TWO THOUSAND DOLLARS (\$2,000) or more.

V. **UNUSUAL INCIDENTS POLICY:**

Contractor shall report any unusual incidents occurring in connection with the performance of this Agreement with regards to Contractor's program(s), within twenty-four (24) hours of the incident, as well as a written report to the Alcohol and Drug Services Manager, within three (3) calendar days of any unusual incident.

- A. Unusual incidents include, but are not limited to the following:
 1. Summoning of police/fire/emergency services personnel to the program premises in order to handle disturbances or crimes.
 2. The death by any cause of a person currently receiving services from Contractor's program(s).
 3. The death, under unusual circumstances, of any individual who has received services during the past six (6) to twelve (12) months from the agency.
 4. Situations arising which would seriously hamper the ability of the agency to deliver its services under this agreement with the County (including the loss of key personnel).
 5. Serious personal injury.
 6. Serious property damage.

COUNTY OF SAN MATEO

Equal Benefits Compliance Declaration Form

I Vendor Identification

Name of Contractor: LATINO COMMISSION
 Contact Person: DEBRA CAMARILLO
 Address: 301 GRAND AVE. , SUITE 301
SOUTH SAN FRANCISCO, CA. 94080
 Phone Number: (650) 244-1444 Fax Number: (650) 244-1447

II Employees

Does the Contractor have any employees? x Yes ___ No

Does the Contractor provide benefits to spouses of employees? x Yes ___ No

If the answer to one or both of the above is no, please skip to Section IV.

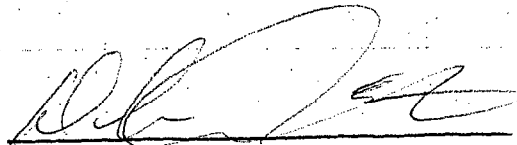
III Equal Benefits Compliance (Check one)

- Yes, the Contractor complies by offering equal benefits, as defined by Chapter 2.93, to its employees with spouses and its employees with domestic partners.
 Yes, the Contractor complies by offering a cash equivalent payment to eligible employees in lieu of equal benefits.
 No, the Contractor does not comply.
 The Contractor is under a collective bargaining agreement which began on _____ (date) and expires on _____ (date).

IV Declaration

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct, and that I am authorized to bind this entity contractually.

Executed this 7 day of June, 2001 at South San Francisco, Ca
 (City) (State)



 Signature

Executive Administrator

 Title

Debra Camarillo

 Name (Please Print)

943149136

 Contractor Tax Identification Number

COUNTY OF SAN MATEO
Departmental Correspondence

Date: July 9, 2001

TO: Priscilla Harris-Morse, Risk Manager

FROM: Jane Marks, Alcohol and Drug Services
Fax: 802-6440; Phone: 802-6418; Pony: HSA202PE

SUBJECT: Contract Insurance Approval

CONTRACTOR: The Latino Commission

DOES CONTRACTOR TRAVEL? IF YES, WHAT PERCENT OF CONTRACTED
Yes TIME?

DUTIES:

Provide residential alcohol and drug treatment to adult men and women.

INSURANCE COVERAGE:	Amount	Approve	Waive	Modify
Comprehensive Liability	\$1M	✓		
<u>X</u> Additional Insured				
Automobile Liability	\$1M	✓		
Professional Liability	\$1M	✓		
Workers' Compensation	Statutory	✓		
<u> </u> No employees				

Remarks/Comments:

This is a renewal certificate.

Signature: Priscilla Morse
Risk Management

Insform.wp

ACORD™ CERTIFICATE OF LIABILITY INSURANCE

DATE
06/06/2001

PRODUCER
ALL-CAL INSURANCE AGENCY
ATTN: KATIE MOWAT
420 FOLSOM ROAD, SUITE C
ROSEVILLE CA 95678-

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW.

INSURERS AFFORDING COVERAGE

INSURED
LATINO COMMISSION ON ALCOHOL & DRUG ABUSE SERVICES OF SAN MATEO COUNTY
301 GRAND AVENUE, # 301
SOUTH SAN FRANCISCO CA 94080-

INSURER A: NONPROFITS INS. ALLIANCE OF CALIFORNIA
INSURER B: CONNECTICUT INDEMNITY COMPANY
INSURER C:
INSURER D:
INSURER E:

COVERAGES

THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. AGGREGATE LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	POLICY NUMBER	POLICY EFFECTIVE DATE (MM/DD/YY)	POLICY EXPIRATION DATE (MM/DD/YY)	LIMITS
A	GENERAL LIABILITY	2000-04560	10/02/2000	10/02/2001	EACH OCCURRENCE \$ 1,000,000
	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY				FIRE DAMAGE (Any one fire) \$ 50,000
A	<input type="checkbox"/> CLAIMS MADE <input checked="" type="checkbox"/> OCCUR	2000-04560	10/02/2000	10/02/2001	MED EXP (Any one person) \$ 5,000
A		2000-04560	10/02/2000	10/02/2001	PERSONAL & ADV INJURY \$ 1,000,000
	GEN'L AGGREGATE LIMIT APPLIES PER:				GENERAL AGGREGATE \$ 1,000,000
A	<input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PROJECT <input type="checkbox"/> LOC	2000-04560	10/02/2000	10/02/2001	PRODUCTS - COMP/OP AGG \$ 1,000,000
A	AUTOMOBILE LIABILITY	2000-04560	10/02/2000	10/02/2001	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000
	ANY AUTO				
A	ALL OWNED AUTOS	2000-04560	10/02/2000	10/02/2001	BODILY INJURY (Per person) \$
	<input checked="" type="checkbox"/> SCHEDULED AUTOS				
A	<input checked="" type="checkbox"/> HIRED AUTOS	2000-04560	10/02/2000	10/02/2001	BODILY INJURY (Per accident) \$
	<input checked="" type="checkbox"/> NON-OWNED AUTOS				
A		2000-04560	10/02/2000	10/02/2001	PROPERTY DAMAGE (Per accident) \$
	GARAGE LIABILITY				
	ANY AUTO		/ /	/ /	AUTO ONLY - EA ACCIDENT \$
					OTHER THAN EA ACC \$
					AUTO ONLY: AGG \$
	EXCESS LIABILITY		/ /	/ /	
	<input type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS MADE				EACH OCCURRENCE \$
					AGGREGATE \$
			/ /	/ /	\$
	DEDUCTIBLE				\$
	RETENTION \$				\$
B	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY	WC 398518	09/24/2000	09/24/2001	<input checked="" type="checkbox"/> WC STATUTORY LIMITS <input type="checkbox"/> OTHER
B	WORKERS COMPENSATION COVERAGE	WC 398518	09/24/2000	09/24/2001	E.L. EACH ACCIDENT \$ 1,000,000
					E.L. DISEASE - EA EMPLOYEE \$ 1,000,000
					E.L. DISEASE - POLICY LIMIT \$ 1,000,000
A	OTHER IMPROPER SEXUAL COND PROFESSIONAL LIAB.	2000-04560	10/02/2000	10/02/2001	PER CLAIM 250,000 LIMIT 1,000,000

DESCRIPTION OF OPERATIONS/LOCATIONS/VEHICLES/EXCLUSIONS ADDED BY ENDORSEMENT/SPECIAL PROVISIONS

THE SAN MATEO COUNTY DRUG AND ALCOHOL DEPARTMENT ITS OFFICERS, AGENTS, EMPLOYEES, AND / OR VOLUNTEERS ARE HEREBY NAMED AS ADDITIONAL INSURED IN REGARDS TO BEING A FUNDING SOURCE FOR THE NAMED INSURED.

CERTIFICATE HOLDER	<input checked="" type="checkbox"/> ADDITIONAL INSURED; INSURER LETTER: <u>A</u>	CANCELLATION
SAN MATEO COUNTY DEPARTMENT OF DRUG & ALCOHOL ATTN: JANE MARKS 400 HARBOR BLVD BLDG C BELMONT CA 94002-		SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, THE ISSUING INSURER WILL ENDEAVOR TO MAIL <u>030</u> DAYS WRITTEN NOTICE TO THE CERTIFICATE HOLDER NAMED TO THE LEFT, BUT FAILURE TO DO SO SHALL IMPOSE NO OBLIGATION OR LIABILITY OF ANY KIND UPON THE INSURER, ITS AGENTS OR REPRESENTATIVES.
		AUTHORIZED REPRESENTATIVE <i>Joe Espinoza</i>

POLICY NUMBER: 2000-04560
WC 398518

POLICY TYPE: Commercial General Liability
Workers Compensation Coverage

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

ADDITIONAL INSURED---DESIGNATED PERSON OR ORGANIZATION:

San Mateo County Drug and Alcohol
Attn: Jane Marks
400 Harbor Blvd. Bldg C
Belmont, CA 94002

THIS ENDORSEMENT MODIFIES INSURANCE PROVIDED UNDER THE FOLLOWING:

POLICY TYPE: Commercial General Liability
Workers Compensation Coverage

SCHEDULE: 10-02-2000 / 10-02-2001
09-24-2000 / 09-24-2001

NAME OF PERSON OR ORGANIZATION:

Latino Commission on Alcohol and Drug Abuse
Services of San Mateo County
301 Grand Avenue Suite # 301
South San Francisco, CA 94080

ADDITIONAL WORDING IF NECESSARY:

The San Mateo County Drug and Alcohol Department its officers, agents, employees, and / or volunteers are hereby named as additional insured in regards to being a funding source for the named insured.

(If no entry appears above, information required to complete this endorsement will be shown in the Declarations as applicable to this endorsement.)

WHO IS AN INSURED (Section II) is amended to include as insured the person or organization shown in the Schedule as an insured but only with respect to liability arising out of your operations or premises owned by or rented to you.

Copyright, Insurance services Office, Inc. 1984

Jane C. Springs
Signature

6/6/01
Date