COUNTY OF SAN MATEO Departmental Correspondence

DATE: SEP 2 6 2001

HEARING DATE: OCT 2 3 2061

TO:

Honorable Board of Supervisors

FROM:

Gale Bataille, Director, Mental Health Services (Al Bataille

SUBJECT:

Agreement with Serafima Rovinsky, M.D.

RECOMMENDATION

Adopt a resolution authorizing the President of the Board to execute an agreement with Serafima Rovinsky, M.D.

Background

For the most part, Mental Health Services Division has been successful in its recruitment and retention of psychiatrists for permanent positions. There are, however, gaps in coverage of necessary psychiatric services. Contracting psychiatrists, who have flexibility and availability to work part-time, fill these gaps.

Discussion

Physicians are exempt from Administrative Memorandum B-1, which governs the selection of independent contractors and requires a Request for Proposals process. However, Mental Health Services Division runs advertisements for specialty psychiatric services on a regular basis in Northern California Psychiatric Newsletter and other venues as needed.

This contractor has been a provider at Cordilleras Mental Health Center for several years now and continues to be the most qualified candidate for this position. Contractor will provide psychiatric services for San Mateo County Medi-Cal beneficiaries and San Mateo County indigent clients at Cordilleras Mental Health Center, including: admission assessments and treatment planning, psychiatric and psychopharmacological treatment of admitted clients, participation in Quality Assurance and Utilization Review meetings, consultations with clinical staff as needed, and participation in clinical case conferences as needed. Previous contract with this provider was for a one-year term. To simplify our contract process, this contract will be for a two-year period.

Honorable Board of Supervisors Agreement/Serafima Rovinsky, M.D. Page 2

Term

The term of the agreement is from July 1, 2001 through June 30, 2003 and has been reviewed and approved by Risk Management and County Counsel. Contract was forwarded to contractor on July 17, 2001. The contract is late due to the contractor delaying the return of the signed contract documents until September.

Fiscal Impact

Contractor shall be paid at the rate of \$74.55 per hour for the first year of the contract and \$79.02 per hour for the second year. The change in the rate reflects a 6% COLA increase. Contract amount shall not exceed \$127,770 for the two-year term. It is estimated that \$62,026 will be required for the first year of the contract and has been included in the 2001-02 Mental Health Services' Division budget. Sales tax through realignment will cover 82% or \$50,862. The remaining \$11,164 represents the net county cost. A similar arrangement will be in place for FY 2002-03.

RECOMMENDED

HEALTH SERVICES DEPARTMENT

RESOLUTION NO.	
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BOARD OF SUPERVISORS, COUNTY OF SAN MATEO, STATE OF CALIFORNIA

* * * * * * * * * *

RESOLUTION AUTHORIZING EXECUTION OF AN AGREEMENT WITH SERAFIMA ROVINSKY, M.D.

RESOLVED, by the Board of Supervisors of the County of San Mateo, State of California, that;

WHEREAS, there has been presented to this Board of Supervisors for its consideration and acceptance an agreement, reference to which is hereby made for further particulars, whereby Scrafima Rovinsky, M.D., shall provide psychiatric services for San Matco County mental health clients; and

WHEREAS, this Board has been presented with a form of the Agreement and has examined and approved it as to both form and content and desires to enter into the Agreement:

NOW, THEREFORE, IT IS HEREBY DETERMINED AND ORDERED that the President, of this Board of Supervisors be, and is hereby, authorized and directed to execute said Agreement for and on behalf of the County of San Mateo, and the Clerk of this Board shall attest the President's signature thereto.

AGREEMENT WITH SERAFIMA ROVINSKY, M.D. . .

FOR PSYCIATRIC SERIVCES

THIS AGREEMENT, entered into this	day of
, 20, by and between the COUNTY (OF SAN MATEO,
hereinafter called "County," and SERAFIMA ROVINSKY, M.D., hereinafter	er called "Contractor";
WITNESSETH:	

WHEREAS, it is necessary and desirable that Contractor be retained for the purpose of performing the professional services hereinafter described for the Health Services Agency, Mental Health Services Division; and

WHEREAS, pursuant to Government Code, Section 31000, County may contract with independent contractors for the furnishing of such services to or for County or any Department thereof;

NOW, THEREFORE, IT IS HEREBY AGREED BY THE PARTIES HERETO AS FOLLOWS:

1. Services to be Performed by Contractor

In consideration of the payments hereinafter set forth, Contractor, under the general direction of the Director of Health Services, or her designee, with respect to the product or result of Contractor's services, shall provide psychiatric services as described in Schedule A, attached hereto and incorporated by reference herein. Such services shall be provided in a professional and diligent manner.

2. Payments

A. <u>Maximum Amount</u>. In full consideration of Contractor's performance of the services described in Schedule A, the amount that County shall be obligated to pay for services rendered under this Agreement shall not exceed ONE HUNDRED TWENTY-SEVEN THOUSAND SEVEN HUNDRED SEVENTY DOLLARS (\$127,770) for the contract term.

- B. Rate of Payment. The rate and terms of payment shall be as specified in Schedule B, attached hereto and incorporated herein. Any rate increase is subject to the approval of the Director of Health Services or her designee, and shall not be binding on County unless so approved in writing. In no event may the rates established in Schedule B be increased to the extent that the maximum County obligation shall exceed the total specified in paragraph 2A above. Each payment shall be conditioned on the performance of the services described in Schedule A to the full satisfaction of the Director of Health Services or her designee.
- C. <u>Time Limit for Submitting Invoices</u>. Contractor shall submit an invoice for services to County for payment in accordance with the provisions of Schedule B. County shall not be obligated to pay Contractor for the services covered by any invoice if Contractor presents the invoice to County more than one hundred eighty (180) days after the date Contractor renders the services, or more than ninety (90) days after this Agreement terminates, whichever is earlier.

3. Relationship of Parties

It is expressly understood that this is an agreement between two (2) independent contractors and that no agency, employee, partnership, joint venture or other relationship is established by this Agreement. The intent by both County and Contractor is to create an independent contractor relationship. Contractor expressly acknowledges and accepts his/her tax status and the tax consequences of an independent contractor. Further, as an independent contractor, Contractor expressly acknowledges and accepts that he/she has no rights, benefits, privileges and/or claims in any form whatsoever under, from, through and/or pursuant to the San Mateo County Civil Service Rules.

4. Insurance

Contractor shall not commence work under this Agreement until all insurance required under this section has been obtained and such insurance has been approved by the Director of Health Services. Contractor shall furnish the Health Services Agency with Certificates of Insurance evidencing the required coverage and there shall be a specific contractual liability endorsement extending Contractor's coverage to include the contractual liability assumed by Contractor pursuant to this Agreement. These Certificates shall specify or be endorsed to provide

that thirty (30) days' notice must be given, in writing, to the Health Services Agency of any pending change in the limits of liability or of any cancellation or modification of the policy.

In the event of the breach of any provision of this section, or in the event any notice is received which indicates any required insurance coverage will be diminished or canceled, County at its option, may, notwithstanding any other provision of this Agreement to the contrary, immediately declare a material breach of this Agreement and suspend all further work pursuant to this Agreement.

A. <u>Workers' Compensation and Employer Liability Insurance</u>. Contractor shall have in effect during the entire life of this Agreement, Workers' Compensation and Employer Liability Insurance providing full statutory coverage. In signing this Agreement, County makes the following certification, required by Section 1861 of the California Labor Code:

I am aware of the provisions of Section 3700 of the California Labor Code which require every employer to be insured against liability for Workers' Compensation or to undertake self-insurance in accordance with the provisions of the Code, and I will comply with such provisions before commencing the performance of the work of this Agreement.

B. <u>Liability Insurance</u>. Contractor shall take out and maintain during the life of this Agreement such Bodily Injury Liability and Property Damage Liability Insurance as shall protect him while performing work covered by this Agreement from any and all claims for damages for bodily injury, including accidental death, as well as any and all claims for property damage which may arise from Contractor's operations under this Agreement, whether such operations be by himself or by any subcontractor or by anyone directly or indirectly employed by either of them. Such insurance shall be combined single limit bodily injury and property damage for each occurrence and shall not be less than the amounts specified below.

Such insurance shall include:

1)	Comprehensive General Liability	\$0
2)	Motor Vehicle Liability Insurance	.\$0
3)	Professional Liability	.\$1,000,000
	If this Agreement remains in effect more than one (1) year	r from the date of

its original execution, County may, at its sole discretion, require an increase in the amount of

liability insurance to the level then customary in similar County agreements by giving sixty (60) days' notice to Contractor.

County and its officers, agents, employees and servants shall be named as additional insured on any such policies of insurance, which shall also contain a provision that the insurance afforded thereby to County, its officers, agents, employees, and servants shall be primary insurance to the full limits of liability of the policy, and that if County or its officers and employees have other insurance against the loss covered by such a policy, such other insurance shall be excess insurance only. Said certificate(s) of insurance is (are) attached hereto and incorporated by reference herein as Attachment II (and III).

5. Non-Discrimination

Contractor shall comply with the non-discrimination requirements described in Schedule C, which is attached hereto, and incorporated herein.

Contractor shall comply with County admission and treatment policies which shall provide that patients are accepted for care without discrimination on the basis of race, color, religion, sex, sexual orientation, national origin, age, handicap, or political affiliation.

Violation of the non-discrimination provisions of this Agreement shall be considered a breach of this Agreement and subject Contractor to penalties, to be determined by the County Manager, including, but not limited to:

- i. termination of this Agreement;
- ii. disqualification of Contractor from bidding on or being awarded a County contract for a period of up to three (3) years;
- iii. liquidated damages of TWO THOUSAND FIVE HUNDRED DOLLARS(\$2,500) per violation;
- iv. imposition of other appropriate contractual and civil remedies and sanctions, as determined by the County Manager.

To effectuate the provisions of this paragraph, the County Manager shall have the authority to:

- i. examine Contractor's employment records with respect to compliance with this paragraph;
- ii. set off all or any portion of the amount described in this paragraph against amounts due to Contractor under the Contract or any other contractor between Contractor and County.

Contractor shall report to the County Manager the filing by any person in any court of any complaint of discrimination or the filing by any person of any and all charges with the Equal Employment Opportunity Commission, the Fair Employment and Housing Commission or any other entity charged with the investigation of allegations within thirty (30) days of such filing, provided that within such thirty (30) days such entity has not notified Contractor that such charges are dismissed or otherwise unfounded. Such notification shall include the name of the complainant, a copy of such complaint, and a description of the circumstance. Contractor shall provide County with a copy of its response to the complaint when filed.

With respect to the provision of employee benefits, Contractor shall comply with the County Ordinance which prohibits contractors from discriminating in the provision of employee benefits between an employee with a domestic partner and an employee with a spouse.

6. Assignments and Subcontracts

- A. Without the written consent of the Director of Health Services or her designee, this Agreement is not assignable in whole or in part. Any assignment by Contractor without the written consent of the Director of Health Services or her designee is a breach of this Agreement and shall automatically terminate this Agreement.
- B. Contractor shall not employ subcontractors or consultants to carry out the responsibilities undertaken pursuant to this contract without the written consent of the Director of Health Services or her designee.
- C. All assignees, subcontractors, or consultants approved by the Director of Health Services or her designee shall be subject to the same terms and conditions applicable to Contractor under this Agreement, and Contractor shall be liable for the assignee's, subcontractor's or consultant's acts and/or omissions.

D. All agreements between Contractor and subcontractor and/or assignee for services pursuant to this Agreement shall be in writing and shall be provided to County.

7. Amendment of Agreement

This Agreement is complete and contains all the terms and conditions agreed upon by the parties. No amendment shall be valid unless made in writing and signed by the parties hereto, and no oral understanding or agreement shall be binding on the parties hereto.

8. Records

- A. Contractor agrees to provide to County, to any federal or state department having monitoring or reviewing authority, to County's authorized representatives and/or their appropriate audit agencies upon reasonable notice, access to and the right to examine and audit all records and documents necessary to determine compliance with relevant federal, state, and local statutes, rules and regulations, and this Agreement, and to evaluate the quality, appropriateness and timeliness of services performed.
- B. Contractor shall maintain and preserve all financial records relating to this Agreement for a period of four (4) years from the termination date of this Agreement, or until audit findings are resolved, whichever is greater.

9. Compliance with Applicable Laws

All services to be performed by Contractor pursuant to this Agreement shall be performed in accordance with all applicable federal, state, county, and municipal laws, including, but not limited to, the Americans with Disabilities Act of 1990, as amended, and Section 504 of the Rehabilitation Act of 1973, as amended and attached hereto and incorporated by reference herein as Attachment I, which prohibits discrimination on the basis of handicap in programs and activities receiving any federal or county financial assistance. Such services shall also be performed in accordance with all applicable ordinances and regulations, including, but not limited to, appropriate licensure, certification regulations, provisions pertaining to confidentiality of records, and applicable quality assurance regulations.

10. Interpretation and Enforcement

A. Any notice, request, demand or other communication required or permitted hereunder shall be deemed to be properly given when deposited in the United States mail, postage prepaid, or when deposited with a public telegraph company for transmittal, charges prepaid, addressed:

1) In the case of County, to:

San Mateo County Mental Health Services Division 225 37th Avenue San Mateo, CA 94403

or to such person or address as County may, from time to time furnish to Contractor.

2) In the case of Contractor, to:

Serafima Rovinsky, M.D. 2709 Jackson Street San Francisco, CA 94115

B. <u>Controlling Law</u>. The validity of this Agreement and of its terms or provisions, as well as the rights and duties of the parties hereunder, the interpretation and performance of this Agreement shall be governed by the laws of the State of California.

11. Term of the Agreement

Subject to compliance with the terms and conditions of this Agreement, the term of this Agreement shall be from July 1, 2001 through June 30, 2003. This Agreement may be terminated by Contractor, Director of Health Services or her designee at any time upon sixty (60) days' written notice to the other party.

Parties recognize that Contractor has performed services from July 1, 2001 through date of execution of this Agreement in anticipation of execution of this Agreement. Parties agree that services are subject to all terms and conditions contained herein.

IN WITNESS WHEREOF, the parties hereto, by their duly authorized representatives, have affixed their hands.

COUNTY OF SAN MATEO	SERAFIMA ROVINSKY, M.D.	
By: Michael D. Nevin, President Board of Supervisors, San Mateo County	By: S. Bosinery W	
Date:	Date: 7/30/0/	
ATTEST:		
By:Clerk of Said Board	Date:	

Attachment I

(Required only from Contractors who provide services directly to the Public on County's behalf.)

Assurance of Compliance with Section 504 of the Rehabilitation Act of 1973, as Amended

The undersigned (hereinafter called the "Contractor(s)") hereby agrees that it will comply with Section 504 of the Rehabilitation Act of 1973, as amended, all requirements imposed by the applicable DHHS regulation, and all guidelines and interpretations issued pursuant thereto.

The Contractor(s) gives/give this assurance in consideration of and for the purpose of obtaining contracts after the date of this assurance. The Contractor(s) recognizes/recognize and agrees/agree that contracts will be extended in reliance on the representations and agreements made in this assurance. This assurance is binding on the Contractor(s), its successors, transferees, and assignees, and the person or persons whose signatures appear below are authorized to sign this assurance on behalf of the Contractor(s).

The Contracto	or(s): (C	Check a or b)			
a.	(<u>/</u>)	employs fewer than 15	persons. — O		
b. () employs 15 or more persons and, pursuant to Section 84.7 (a) of the regulation (45 C.F.R. 84.7 (a)), has designated the following person(s) to coordinate its efforts to comply with the DHHS regulation.					
Name of 504 Person - Type or Print					
	Serafir	na Rovinsky, M.D.		2709 Jackso	n Street
Name	of Contr	actor(s) - Type or Print		Street Address	or PO Box
San Francisco)			CA	941/54115 Zip Code
City				State	Zip Code
I certify that the above information is complete and correct to the best of my knowledge.					
9/7/01	<i>(</i>		SROV	(May	ma
' 'Date	e		Signature and Tit	le of Authorize	d Official

*Exception: DHHS regulations state that:

"If a recipient with fewer than 15 employees finds that, after consultation with a handicapped person seeking its services, there is no method of complying with (the facility accessibility regulations)...other than making a significant alteration in its existing facilities, the recipient may, as an alternative, refer the handicapped person to other providers of those services that are accessible."

SCHEDULE A

SERAFIMA ROVINSKY, MD JULY 1, 2001 THROUGH JUNE 30, 2003

SERVICES

Contractor shall provide the services described below in a manner consistent with the terms and provisions of this Agreement.

- A. Contractor will provide psychiatric services for San Mateo County Medi-Cal beneficiaries and San Mateo County indigent clients at Cordilleras Mental Health Center, including: admission assessments and treatment planning, psychiatric and psychopharmacological treatment of admitted clients, participation in Quality Assurance and Utilization Review meetings, consultations with clinical staff as needed, and participation in clinical case conferences as needed.
- B. Contractor shall work under the general direction of the Mental Health Services Director or her designee.
- C. Contractor shall work an average of sixteen (16) hours per week.
- D. Case documentation shall be maintained in compliance with Short-Doyle Medi-Cal standards as described in DMH Notice 94-14, the Rehabilitation option including completion of the Physician's Initial Note, Mental Health Services' Medication Consent Forms, and progress and prescribing notes. Charts shall be subject to annual medication monitoring review.

SCHEDULE B

SERAFIMA ROVINSKY, MD JULY 1, 2001 THROUGH JUNE 30, 2003

PAYMENTS

A. Maximum Obligation

- 1. For the first (1st) year of the term of this Agreement (July 1, 2001 through June 30, 2002), County shall pay Contractor at a rate of SEVENTY-FOUR DOLLARS AND FIFTY-FIVE CENTS (\$74.55) per hour for an average of sixteen (16) hours per week, not to exceed SIXTY-TWO THOUSAND TWENTY-SIX DOLLARS (\$62,026).
- 2. After the first (1st) year, County shall pay Contractor at a rate of SEVENTY-NINE DOLLARS AND TWO CENTS (79.02) per hour for an average of sixteen (16) hours per week, not to exceed SIXTY-FIVE THOUSAND SEVEN HUNDRED FORTY-FIVE DOLLARS (\$65,745).
- 3. Notwithstanding the method of payment set forth herein, in no event shall County pay or be obligated to pay Contractor more than the sum of ONE HUNDRED TWENTY-SEVEN THOUSAND SEVEN HUNDRED SEVENTY DOLLARS (\$127,770) for services provided under Schedule A of this Agreement.
- B. Contractor shall submit an invoice describing the number of hours worked for the previous month.
- C. Contractor is expected to be responsible for all other expenses incurred during the performance of services rendered under this Agreement.

SCHEDULE C

Contract between County of San Mateo and Serafima Rovinsky, M.D., hereinafter called "Contractor."

- a. No person shall, on the grounds of race, color, creed, national origin, religious affiliation or non-affiliation, sex, sexual orientation, marital status, age (over forty (40)), disability, medical condition (including but not limited to AIDS, HIV positive diagnosis, or cancer), political affiliation or union membership be excluded from participation in, be denied the benefits of, or be subjected to discrimination under this Agreement.
- b. Contractor shall insure equal employment opportunity based on objective standards of recruitment, selection, promotion, classification, compensation, performance evaluations, and management relations, for all employees under this contract. Contractor's personnel policies shall be made available to County upon request.
- c. Contractor shall assure compliance with Section 504 of the Rehabilitation Act of 1973 by submitting a signed letter of assurance (Attachment I) of compliance. Contractor shall be prepared to submit a self-evaluation and compliance plan to County upon request within one (1) year of the execution of this Agreement.

COUNTY OF SAN MATEO

HEALTH SERVICES ADMINISTRATION

MEMORANDUM

	DATE: Se	eptember 18, 2001
TO:	Priscilla Morse, Risk Management/Insura	ance Division
FROM:	Mary Vozikes, Menial Health Services/P	ONY #MLH 322
CONTRACTO	OR: Serafima Rovinsky, M.D.	
DO THEY TRA	AVEL: No	
PERCENT OF	FTRAVEL TIME:	
NUMBER OF	EMPLOYEES: No	
DUTIES (SPE	CIFIC): See attached	
COVERAGE	 -:	
Moto Profe	prehensive General Liability: or Vehicle Liability: essional Liability: ker's Compensation:	\$0 \$0 \$1,000,000 \$No
APPROV	VEWAIVE	MODIFY

REMARKS/COMMENTS:

ulla Morse SIGNATURE

Medical Insurance Exchange of California

LAREMONT AVENUE OAKLAND, CALIFORNIA 94618-1324 TELEPHONE (510) 428-9411 FROM OUTSIDE CALIFORNIA (600) 227-4527

CERTIFICATE OF INSURANCE

equested, we are pleased to certify that Professional Liability Insurance on a "claims made" basis is in effect for the insured ed herein, subject to the provisions of the policy designated.

SERAFIMA ROVINSKY, M.D.

2709 JACKSON STREET

POLICYHOLDER:

SAN FRANCISCO, CA 94115

POLICY NUMBER:

DR02-01826I

RETROACTIVE DATE:

ORIGINAL EFFECTIVE DATE: JUNE 18, 1987 JUNE 18, 1987

POLICY EFFECTIVE DATE:

FEBRUARY 01, 2001

POLICY EXPIRATION DATE: FEBRUARY 01, 2002

SPECIALTY:

PSYCHIATRY

SUB-SPECIALTY:

NO SUBSPECIALTY

LIMITS OF LIABILITY:

OF AT LEAST

EACH CLAIM

\$1,000,000

Any one claim or suit or maximum

for the results of one injury

ANNUAL AGGREGATE

\$3,000,000

Aggregate annual maximum for the

results of all claims.

This Certificate is not an insurance policy and does not amend or alter the coverage afforded by the policy listed on the Certificate.

Notwithstanding any requirement, term or condition of any contract or other document with respect to which the Certificate is issued, the insurance afforced by the policy listed on the Certificate is subject to all terms of such policy.

Countersigned:

Medical Underwriters of California

Attorney-in-Fact

This certificate issued to.

SERAFIMA ROVINSKY, M.D.

JANUARY 03, 2001

COUNTY OF SAN MATEO

Equal Benefits Compliance Declaration Form

l Vendor Identification				
Name of Contractor:	Serafima Rovinsky, M.D.			
Contact Person:	same			
Address:	2709 Jackson Street			
-	San Francisco, California			
Phone Number:	Fax Number:			
II Employees				
Does the Contractor have	any employees? Yes No			
Does the Contractor provi	de benefits to spouses of employees?Yes _/_No			
If the answer to	one or both of the above is no, please skip to Section IV.			
its employees with space of the Contractor of the Contractor of the Contractor of the Contractor is un	complies by offering equal benefits, as defined by Chapter 2.93, to couses and its employees with domestic partners. complies by offering a cash equivalent payment to eligible equal benefits.			
IV Declaration				
foregoing is true and co	of perjury under the laws of the State of California that the rrect, and that I am authorized to bind this entity contractually.			
Executed this 7 st day of	1/30, 2001 at Reelwood Chy Co (City) (State)			
S- Rolling Signature	Seralime Rojîns Name (Please Print)			
Y Title	· · · M Mo - 2			



REPORT OF INDEPENDENT CONTRACTOR(S)



See detailed instructions on reverse side. Please type or print.

05420700

SERVICE-RECIPIENT (BUSINESS OR GOVERNMENT ENTITY):	
DATE FEDERAL ID NO. CA EMPLOYER ACCOUNT NO.	SOCIAL SECURITY NO. NO. OF
SERVICE-RECIPIENT NAME / BUSINESS NAME	CONTACT PERSON
ADDRESS	TELEPHONE NO.
CITY	STATE ZIP
SERVICE-PROVIDER (INDEPENDENT CONTRACTOR):	
FIRST NAME MI LAST NAME O LO 1 1 1 1 1 1 1 1 1 1	
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