# Professional Services Agreement Between the County of San Mateo and Kimberly Bazar, M.D. For Medical Specialty Services

THIS PROFESSIONAL SERVICES AGREEMENT is entered into by and between the County of San Mateo, San Mateo County Health Center ("County") and Dr. Kimberly Bazar ("Contractor").

#### WITNESSETH:

WHEREAS, County operates health care facilities collectively known as the "San Mateo County Health Center."

WHEREAS, it is necessary and desirable that Contractor be retained for the purpose of performing professional services described for the San Mateo County Health Center; and

WHEREAS, pursuant to Government Code 31000, County may contract with independent contractors for the furnishing of such services to or for the County; and

WHEREAS, Contractor desires to provide such services all upon the terms and conditions stated below, and this Agreement is entered into for the purpose of defining the parties' respective rights and responsibilities

NOW, THEREFORE, in consideration of the mutual agreements set out below, the parties agree as follows:

#### Section 1 – Contractor's Obligations

1.1 Organizational Status

Contractor represents and warrants that she is:

1.1.1 An individual health care provider duly licensed, certified, accredited or otherwise duly authorized to practice medicine in the specialty of Medical Specialty, in the State of California.

## 1.2 Contractor's Representatives

1.2.1 The term "Contractor" shall mean Dr. Kimberly Bazar only and no other person or entity. Dr. Kimberly Bazar has no authorized representatives for purposes of this Agreement.

#### 1.3 **Qualifications**

The following indicate qualifications that must be satisfied by each Contractor as a condition of providing services under this Agreement:

- 1.3.1 Must be accepted by the County's Chief Executive Officer, or designee; said acceptance may be withdrawn immediately by the County's Chief Executive Officer, or designee, in his or her reasonable discretion at any time with written notice to Contractor.
- 1.3.2 Shall at all time keep and maintain a valid license to engage in the practice of medicine in the State of California and Active Medical Staff membership and/or privileges as may be required under the Bylaws of County for Contractor to provide the services contemplated by this Agreement.
- 1.3.3 Contractor shall be certified by the AMA/AOA recognized Board in the relevant areas (or eligible for certification by such Board by virtue of having successfully completed all educational and residency requirements required to sit for the Board examinations).
- 1.3.4 Contractor is not currently excluded, debarred or otherwise ineligible to participate in federal health care programs or in federal procurement or non-procurement programs; nor has Contractor been convicted of a criminal offense of related to the provision of health care items or services.

# 1.4 Services to be Performed by Contractor

In consideration of the payments hereinafter set forth, Contractor, under the general direction of the Chief Executive Officer, or her designee, with respect to the product or results of Contractor's services shall provide medical services as described in **SCHEDULE A**, attached hereto and incorporated by reference herein. Such services shall be provided in a professional and diligent manner.

## 1.5 Payments

- 1.5.1 <u>Payment Amount.</u> In full consideration of Contractor's performance of the services described in SCHEDULE A, the amount that County shall be obligated to pay for services rendered under this Agreement shall be that specified in SCHEDULE B.
- 1.5.2 <u>Rate of Payment</u>. The rate and terms of payment shall be as specified in **SCHEDULE B**, attached hereto and incorporated herein. Any rate increase is subject to the approval of the Chief Executive Officer, or her designee, and shall not be binding on County unless so approved in writing. Each payment shall be conditioned on the Contractor's performance of the

provisions of this Agreement, to the reasonably satisfaction of the Chief Executive Officer, or her designee.

1.5.3 <u>Submitting Invoices</u>. Contractor shall submit an invoice for services to County for payment in accordance with the provisions of **SCHEDULE B**. County shall pay Contractor within five business days after submission of an invoice by Contractor to County.

#### 1.6 <u>No Substitutes</u>

Contractor shall not be responsible for finding a substitute for Contractor. County shall be solely responsible for finding a substitute for Contractor and maintain a separate agreement with such substitute.

#### 1.7 General Duties of Contractor

In addition to the services performed in **SCHEDULE A**, Contractor shall perform the following duties only to the extent such duties fall with the designated hours of the clinics the Contractor is required to hold pursuant to **SCHEDULE A**:

- 1.7.1 <u>Administrative and Miscellaneous Duties and Responsibilities</u> Contractor will cooperate with the administration of the medical practice. Such cooperation shall include, but not be limited to, the following: maintaining medical records in a timely fashion (including the appropriate use of dictation, or other technology, as required by County), billing, peer review and County's compliance programs. Contractor shall provide appropriate supervision and review of services rendered by physician assistants and other non-physicians involved in the direct medical care of County's patients.
- 1.7.2 <u>Billing and Compliance</u>. Contractor shall prepare such administrative and business records and reports related to the service in such format and upon such intervals as County shall reasonably require. Contractor shall not directly submit a billing or statement of charges to any County patient or other entity for services arising from the practice of medicine, nor shall Contractor make any surcharge or give any discount for care provided without the prior written authorization of County. The County has complete authority to determine write-offs and take any other action relating to billing and collection of fees for clinical services. All accounts receivable generated for services rendered by Contractor pursuant to this Agreement are the property of the County. Contractor shall participate in all compliance programs adopted by County. Contractor shall have the right to review any and all billings for her services bearing her name or Provider Number. Contractor is required to request the correction of any errors including

providing a refund to payors if warranted. Contractor agrees to keep accurate and complete records pursuant to **SCHEDULE C**, incorporated by reference herein.

- 1.7.3 <u>Compliance with Rules and Regulations</u>. Contractor agrees to abide by rules, regulations and guidelines of County, as the County may from time to time amend, add or delete rules, regulations or guidelines at County's sole discretion. County represents and warrants that such amendment will not affect the enforceability or terms of this Agreement.
- 1.7.4 <u>Managed Care Contracts</u>. Contractor is obligated to participate in, and observe, the provisions of all managed care contracts which County may enter into on behalf of Contractor for health care services with, managed care organizations including, but not limited to Health Maintenance Organizations (HMOs), Independent Practice Associations (IPAs), Preferred Provider Organizations (PPOs), Medical Service Organizations, (MSOs), Integrated Delivery Systems (IDSs) and Physician-Hospital Organizations (PHOs).
- 1.7.5 <u>Requirement of Physician to Notify County of any Detrimental</u> <u>Professional Information or Violation of Contract Rules or Policies</u> During the term of this Agreement, Contractor shall notify County immediately, or as soon as is possible thereafter, in the event that:
  - (A) Contractor's license to practice medicine in any jurisdiction is suspended, revoked, or otherwise restricted;
  - (B) A complaint or report concerning Contractor's competence or conduct is made to any state medical or professional licensing agency;
  - (C) Contractor's privileges at any hospital, health care County or under any health care plan are denied, suspended, restricted or terminated or under investigation for medical disciplinary cause or reason;
  - (D) Contractor's controlled substance registration certificate (issued by the Drug Enforcement Administration) if any, is being, or has been suspended, revoked or renewed;
  - (E) Contractor's participation as a Medicare or MediCal provider is under investigation or has been terminated.
  - (F) There is a material change in any of the information the Contractor has provided to County concerning Contractor's professional qualifications or credentials.

(G) Contractor's conviction of a felony or crime of moral turpitude.

# 1.8 <u>Citizenship Duties of Contractor's</u>

Contractor shall also perform the following duties only to the extent such duties fall with the designated hours of the clinics the Contractor is required to hold pursuant to SCHEDULE A:

- (A) Contractor will exercise reasonable efforts to meet County expectations of outpatient clinic productivity, as determined by relevant standards, adjusted for local conditions.
- (B) Contractor will exercise reasonable efforts to be physically present in the designated location and prepared to perform designated duties during the entire duration of the relevant work schedule as detailed in SCHEDULE A, and specifically, will exercise reasonable efforts to commence work on time, and not leave until duties are complete.
- (C) Contractor will exercise reasonable efforts to work cooperatively with County designees to optimize work flow, including participating in work-flow analysis, appropriate use of scheduling, division of duties, optimal use of clinic staff, and other activities as designated by County.
- (D) Contractor will exercise reasonable efforts to maintain appropriate medical records including the use of dictation or other technology required by County.
- (E) Contractor will exercise reasonable efforts to schedule the provision of services, including, but not limited to, outpatient, operating room, and procedures, in a manner that complies with the County's staffing needs. Elective procedures will be scheduled during routine staffing hours, unless otherwise dictated by patient care or other exceptional circumstances.
- (F) Contractor will exercise reasonable efforts to attempt to provide two (2) months notice, but under no circumstances shall provide less than two (2) weeks, for non-emergency absences from assigned duties. Notice shall be provided electronically or in writing to all relevant service areas.
- (G) Contractor will exercise reasonable efforts to participate in coordination and optimization of services, including but not limited to active participation in Quality Improvement and Utilization Management efforts.
- (H) Contractor will exercise reasonable efforts to communicate effectively and

coordinate care and services with Primary Care providers, including, but not limited to, direct contact with individual providers where clinically indicated, and participation in Primary Care provider education including presentations at noon conferences.

- (I) Contractor will exercise reasonable efforts to comply with County request to staff services at satellite, community-based clinics other than San Mateo County General Hospital, provided that total services do not exceed those specified in SCHEDULE A.
- (J) Contractor will restrict her practice largely to her relevant specialty, and will not engage in substantial provision of medical services outside her relevant specialty, specifically Dermatology, even if qualified by board specialty or hospital privileges to do so. Patients requiring Primary Care services will be referred to the Primary Care Department for care. This requirement will not be construed as to abrogate, or diminish in any degree, the contractors legal obligations enjoining patient abandonment.
- (K) Contractor will conduct herself with professionalism at all times, which includes, but is not limited to, courteous and respectful conduct towards, and reasonable cooperation with, all County employees.
- (L) Contractor's professionalism and citizenship will be assessed no less than annually, and total compensation will be affected, as per **SCHEDULE D**, entitled "Professionalism and Citizenship Incentive", incorporated by reference herein.

## 1.9 <u>Provision of Records For County</u>

Contractor shall furnish any and all information, records and other documents related to Contractor's services hereunder which County may reasonably request in furtherance of its quality assurance, utilization review, risk management, and any other plans and/or programs adopted by County to assess and improve the quality and efficiency of County's services. As reasonably requested and only to the extent such duties fall with the designated hours of the clinics the Contractor is required to hold pursuant to **SCHEDULE A**, Contractor shall participate in one or more of such plans and/or programs.

#### 1.10 Cooperation With County In Maintaining Licenses

Only to the extent such duties fall with the designated hours of the clinics the Contractor is required to hold pursuant to **SCHEDULE A**, Contractor shall assist County in obtaining and maintaining any and all licenses, permits and other authorization, plus achieving accreditation standards, which are dependent upon, or applicable to, in whole or in part, Contractor's services under this Agreement.

# 1.11 <u>Contractor's Conflict Of Interest</u>

Contractor shall inform County of any other arrangements which may present a material conflict of interest or materially interfere in Contractor's performance of its duties under this Agreement. In the event Contractor pursues conduct which does, in fact, constitute a conflict of interest or which materially interferes with (or is reasonably anticipated to interfere with) Contractor's performance under this Agreement, County may exercise its rights and privileges under Section 3.4 below.

#### 1.12 Non-Permitted Uses of County

Contractor agrees not to use any part of the County for any purpose other than the performance of services under this Agreement. Without limiting the generality of the foregoing, Contractor agrees that no part of the premises of County shall be used at any time as an office for private practice and delivery of care for non-County patients.

## 1.13 <u>No Contract in County Name</u>

Contractor shall not have the right or authority to enter into any contract in the name of County or otherwise bind County in any way without the express written consent of County.

## 1.14 <u>Regulatory Standards</u>

Contractor shall perform all services under this Agreement in accordance with any and all regulatory and accreditation standards applicable to County and the service, including, without limitation, those requirements imposed by the Joint Commission on Accreditation of Healthcare Organizations, the Medicare/Medicaid conditions of participation and any amendments thereto.

#### 1.15 Availability of Records For Inspection

As and to the extent required by law, upon written request of the Secretary of Health and Human Services, the Comptroller General or any of their duly authorized representatives, Contractor shall make available those contracts, books, documents and records necessary to verify the nature and extent of the costs of providing services under this Agreement. Such inspection shall be available for up to four (4) years after the rendering of such services. If Contractor carries out any of the duties of this Agreement through a subcontract with a value of \$10,000 or more over a twelve (12) month period with a related individual or organization, Contractor agrees to include this requirement in any such subcontract. This section is included pursuant to and is governed by the requirements of 42 U.S.C. Section 1395x(v)(1) and the regulations thereto. No attorney-client, or accountant-client, or other legal privilege will be deemed to have been waived by County or Contractor by virtue of this Agreement.

# 1.16 <u>Professional Standards</u>

Contractor shall perform his or her duties under this Agreement in accordance with the rules of ethics of the medical profession. Contractor shall also perform her duties under this Agreement in accordance with the appropriate standard of care for her medical profession and specialty.

## Section 2 - Change of Circumstances

2.1 In the event (i) Medicare, Medicaid, or any third party payor or any federal, state or local legislative or regulative authority adopts any law, rule, regulation, policy, procedure or interpretation thereof which establishes a material change in the method or amount of reimbursement or payment for services under this Agreement, or if (ii) any or all such payors/authorities impose requirements which require a material change in the manner of either party's operations under this Agreement and/or the costs related thereto, then, upon the request of either party materially affected by any such change in circumstances, the parties shall enter into good faith negotiations for the purpose of establishing such amendments or modifications as may be appropriate in order to accommodate the new requirements and change of circumstances while preserving the original intent of this Agreement to the greatest extent possible. If, after thirty (30) days of such negotiations, the parties are unable to reach an agreement as to how or whether this Agreement shall continue, then either party may terminate this Agreement upon thirty (30) days' prior written notice. Notwithstanding any of the provisions above in this Section 2.1, County shall pay without delay and without any reduction whatsoever all amounts due to Contractor for services performed by her pursuant to this Agreement.

#### Section 3 - Term and Termination

#### 3.1 <u>Term</u>

This Agreement shall commence on April 15, 2002, (the "Effective Date") and shall continue for three year(s). Unless sooner terminated, this Agreement shall expire and be of no further force and effect as of the end of business on the 15th day of April, 2005.

# 3.2 Extension of Term

The term of the Agreement may be extended by mutual written, signed agreement by both parties.

# 3.3 <u>Termination</u>

This Agreement may be terminated by either party hereto for any reason whatsoever at any time upon ninety (90) days' written notice to the other party.

## 3.3.1 <u>Automatic Termination</u>

This Agreement shall be immediately terminated as follows:

- (A) Upon Contractor's loss, restriction or suspension of her professional license to practice medicine in the State of California;
- (B) Upon Contractor's suspension or exclusion from the Medicare or MediCal Program;
- (C) If the Contractor violates the State Medical Practice Act;
- (D) If the Contractor's professional practice jeopardizes imminently the safety of patients.
- (E) If Contractor is convicted of a felony or crime of moral turpitude under state or federal law;
- (F) If Contractor violates ethical and professional codes of conduct of the workplace as specified under state and federal law;
- (G) Upon revocation cancellation, suspension or limitation of the Contractor's medical staff privileges at the County;
- (H) Contractor has a guardian or trustee of its person or estate appointed by a court of competent jurisdiction;
- (I) Contractor becomes disabled so as to be unable to perform the duties required by this Agreement;
- (J) Contractor fails to maintain professional liability insurance required by this Agreement;

- (K) Upon County's loss of certification as a Medicare and/or Medi-Cal provider;
- (L) Upon the closure of County;

#### 3.3.2 Breach of Material Terms

Either party may terminate this Agreement at any time in the event the other party engages in an act or omission constituting a material breach of any term or condition of this Agreement. The party electing to terminate this Agreement shall provide the breaching party with not less than thirty (30) days advance written notice specifying the nature of the breach. The breaching party shall then have thirty (30) days from the date of the notice in which to remedy the breach and conform its conduct to this Agreement. If such corrective action is not taken within the time specified, this Agreement shall terminate at the end of the sixty (60) day period without further notice or demand.

#### 3.3.3 Patient Records Upon Termination and Notice to Patients

All original patient records shall be property of the County. Upon termination of this Agreement, Contractor shall return any such records as may be in Contractor's possession to County, subject to Contractor's right to copies of records.

#### Section 4 - Insurance and Indemnification

## 4.1 <u>Insurance</u>

A. The insurance arrangement currently being used by Contractor in the course of the performance of her duties at County, the terms of which are attached hereto as Exhibit 1, has been approved by the Chief Executive Officer and is deemed to be sufficient for the purposes of this Agreement and the performance of the duties hereunder by Contractor.

## 4.2 <u>Mutual Hold Harmless</u>

It is agreed that Contractor shall defend, save harmless and indemnify County, its officers and employees, from any and all liability, claims, actions, damages or losses of any kind, including injuries or damage to persons and/or property which arise out of the terms and conditions of this Agreement and/or and which result from the negligent acts or omissions of the Contractor, its officers and/or employees.

It is further agreed that County shall defend, save harmless, and indemnify the Contractor, its officers, and employees from any and all liability, claims, actions,

damages or losses of any kind, including injuries or damages to persons and/or property which arise out of the terms and conditions of this Agreement and/or which result from the negligent acts or omissions of County, its officers, and/or employees.

In the event of concurrent negligence of the Contractor, its officers and/or employees, and County, its officers, and/or employees, then the liability for any and all claims for injuries or damages to persons and/or property which arise out of the terms and conditions of this Agreement shall be apportioned under the California theory of comparative negligence as established presently, or as may be hereafter modified.

#### Section 5 - Miscellaneous Provisions

#### 5.1 Notice Requirements

Any notice required or desired to be given in respect to this Agreement shall be deemed to be given upon the earlier of (i) actual delivery to the intended recipient or its agent, or (ii) upon the third business day following deposit in the United States mail, postage prepaid, certified or registered mail, return receipt requested. Any such notice shall be delivered to the respective addresses set out below, or to such other address as a party shall specify in the manner required by this Section 5.1. The respective addresses are:

- If to County: Nancy Steiger, CEO San Mateo County Health Center 222 W 39<sup>th</sup> Ave San Mateo, CA 94403
- With Copy to: County Counsel's Office 400 County Center Redwood City, CA 94063.
- If to Contractor: Dr. Kimberly Bazar 912 Dennis Drive Palo Alto, CA 94303

#### 5.2 Entire Agreement

This Agreement contains the entire agreement of the parties hereto and supersedes all prior agreements, contracts and understanding, whether written or otherwise, between the parties relating to the subject matter hereof. This Agreement may be executed in one or more counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same instrument.

#### 5.3 Partial Invalidity

In the event any provision of this Agreement is found to be legally invalid or

unenforceable for any reason, the remaining provisions of the Agreement shall remain in full force and effect provided the fundamental rights and obligations remain reasonably unaffected.

# 5.4 Assignment

Because this is a personal service contract, Contractor may not assign any of its rights or obligations hereunder without the prior written consent of County. County may assign this Agreement to any successor to all or substantially all, of County's operating assets or to any affiliate of County. This Agreement shall inure to the benefit of and be binding upon the parties hereto and their respective successors and permitted assigns.

## 5.5 <u>Independent Contractor</u>

Contractor is performing services and duties under this Agreement as independent contractors and not as employees, agents, partners of, or joint ventures with County. County does retain responsibility for the performance of Contractor as and to the extent required by law and the accreditation standards applicable to County. Such responsibility, however, is limited to establishing the goals and objectives for the service and requiring services to be rendered in a competent, efficient and satisfactory manner in accordance with applicable standards and legal requirements. Contractor shall be responsible for determining the manner in which services are provided and insuring that services are rendered in a manner consistent with the goals and objectives referenced in this Agreement.

#### 5.6 <u>Regulatory Requirements</u>

The parties expressly agree that nothing contained in this Agreement shall require Contractor to refer or admit any patients to, or order any goods or services from County. Notwithstanding any unanticipated effect of any provision of this Agreement, neither party will knowingly or intentionally conduct himself in such a manner as to violate the prohibition against fraud and abuse in connection with the Medicare and Medicaid programs (42 USC Section 1320a-7b).

## 5.7 Alternate Dispute Resolution

The parties firmly desire to resolve all disputes arising hereunder without resort to litigation in order to protect their respective reputations and the confidential nature of certain aspects of their relationship. Accordingly, any controversy or claim arising out of or relating to this Agreement, or the breach thereof, shall be mediated by an independent professional mediator. If mediation is unsuccessful, then the parties will attempt to resolve the matter through non-binding arbitration, which shall be conducted by an independent professional arbitrator. If non-binding

arbitration is unsuccessful, then the parties may take the dispute to Superior Court in San Mateo County.

# 5.8 <u>Third Party Beneficiaries</u>

This Agreement is entered into for the sole benefit of County and Contractor. Nothing contained herein or in the parties' course of dealings shall be construed as conferring any third party beneficiary status on any person or entity not a party to this Agreement.

# 5.9 <u>Governing Law</u>

This Agreement shall be governed by the laws of the state of California.

# 5.10 <u>Amendments</u>

All amendments shall be approved by the Board of Supervisors.

# 5.11 <u>HIPAA</u>

For the purposes of compliance with the privacy provisions of the Health Insurance Portability and Accountability Act of 1996 (HIPAA), Contractor relationship with the County may be considered as that of "Business Associate." As used hereunder, the terms "Business Associate", "Protected Health Information",  $\Box$ "use" and "disclosure" shall have the meanings ascribed to them in 42 CFR Section 164.101 and 164.501. If Contractor is a "Business Associate", then Sections 5.12.1 through 5.12.9 shall apply:

- 5.11.1 Contractor agrees to conduct its business with County in accordance with all applicable laws and regulations, including HIPAA and the regulations promulgated thereunder. Contractor further agrees to comply with all policies and procedures adopted by County related to use and disclosure of Protected Health Information.
- 5.11.2 Disclosure by County to Contractor of any Protected Health Information will be made for the sole purpose of helping the County carry out its healthcare functions and to allow Contractor to complete its obligations pursuant to this Agreement. Protected Health Information will not be disclosed for independent use by Contractor. Contractor represents and warrants that it will use Protected Health Information only to complete its obligations pursuant to this Agreement, and as may otherwise be required by law.
- 5.11.3 Contractor represents and warrants that it will safeguard and protect all

Protected Health Information from misuse and/or disclosure, and that upon Contractor's learning of any misuse or improper disclosure of such Protected Health Information, Contractor will take immediate steps to stop such impermissible use or disclosure and to prevent further dissemination and misuse of such Protected Health Information. Contractor further represents and warrants that it will immediately report to County any use or disclosure of Protected Health Information not provided for by this Agreement of which it becomes aware.

- 5.11.4 Any breach by Contractor of its obligations under the confidentiality provisions of this contract and/or HIPAA will be grounds for immediate contract termination at the discretion of County.
- 5.11.5 [Intentionally omitted.]
- 5.11.6 Contractor agrees to make available Protected Health Information in accordance with the requirements of CFR 164.524, 164.526 and 164.528.
- 5.11.7 Contractor agrees to make its internal practices, books, and records relating to the use and disclosure of Protected Health Information available to the Secretary for purposes of determining County's compliance with this HIPAA.
- 5.11.8 Contractor agrees that upon termination of this Agreement, Contractor shall return or destroy all Protected Health Information, and Contractor agrees that it will not maintain copies of such Protected Health Information in any form. The provisions of this Agreement regarding uses and disclosures of Protected Health information shall continue beyond termination of this Agreement.
- 5.11.9 Notwithstanding any other provision of this Agreement to the contrary, if any, nothing in this Agreement, or in the parties' course of dealings, shall be construed as conferring any third-party beneficiary status on any person or entity not named a party to this Agreement.

#### 5.12 Non-Discrimination

Contractor shall comply with the non-discrimination requirements described in **SCHEDULE E**, which is attached hereto, and incorporated herein.

Contractor shall comply with the County admission and treatment policies which shall provide that patients are accepted for care without discrimination on the basis of race, color, religion, sex, sexual orientation, national origin, age, handicap, or political affiliation. Violation of the non-discrimination provisions of this Agreement shall be considered a breach of this Agreement and subject Contractor to penalties, to be reasonably determined by the County Manager, including, but not limited to:

- i. termination of this Agreement;
- ii. disqualification of Contractor from bidding or being awarded a County contract for a period of up to three (3) years:
- iii. liquidated damages of TWO THOUSAND FIVE HUNDRED DOLLARS (\$2,500) per violation;
- iv. imposition of other appropriate contractual and civil remedies and sanctions, as determined by the County Manager.

To effectuate the provisions of this paragraphs, the County Manager shall have the authority to:

- i. Examine Contractor=s employment records with respect to compliance with this paragraph;
- ii. Set of all or any portion of the amount described in this paragraph against amount due to Contractor and County.

Contractor shall report to the County Manager the filing by any person in any court of any complaint of discrimination or the filing by any person of any and all charges with the Equal Employment Opportunity Commission, the Fair Employment and Housing Commission or any other entity charged with the investigation of allegations within thirty (30) days of such filing, provided that within such thirty (30) days such entity has not notified Contractor that such charges are dismissed or otherwise unfounded. Such notifications shall include the name of the complainant, a copy of such complaint, and description of the circumstance. Contractor shall provide County with a copy of its response to the complaint when filed.

With respect to the provisions of employee benefits, Contractor shall comply with the County Ordinance which prohibits contractors from discriminating in the provision of employee benefits between an employee with a domestic partner and an employee with a spouse.

#### 5.13 General Standards

Contractor shall maintain its operations in compliance with all applicable laws and rules relating to licensure and certification, including but not limited to, Title 22 of

the California Administrative Code, those necessary to participate in the Medicare and Medi-Cal programs under Title VIII and Title XIX, respectively, of the Social Security Act, and those required by the Joint Commission on Accreditation of Healthcare Organizations ("JCAHO"). Contractor shall provide satisfactory evidence of such licenses and certificates. Contractor shall inform Hospital of any notice of any incident within its operations which may affect any license or certification held by Contractor.

## 5.14 <u>Confidentiality of Patient Information</u>

Contractor shall keep in strictest confidence and in compliance with all applicable state and federal law any patient information. Contractor shall not disclose such information except as permitted by law.

#### 5.15 Non-Disclosure of Names

Notwithstanding any other provision of this Agreement, names of Patients receiving public social services hereunder are confidential and are to be protected from unauthorized disclosure in accordance with Title 42, Code of Federal Regulations, Section 431.300 et seq. and Section 14100.2 of the California Welfare and Institutions Code and regulations adopted thereunder.

For the purpose of this Agreement, all information, records, data, and data elements collected and maintained for the operation of the Agreement and pertaining to Patients shall be protected by Contractor from unauthorized disclosure.

With respect to any identifiable information concerning a Medi-Cal Patient that is obtained by Contractor, Contractor: (i) will not use any such information for any purpose other than carrying out the express terms of this Agreement (ii) will promptly transmit to DHS and the applicable Medi-Cal plan all request for disclosure of such information; and, (iii) will not disclose except as otherwise specifically permitted by this Agreement, any such information to any part other than DHS and the applicable Med-Cal Plan, without prior written authorization specifying that the information is releasable until Title 42, CFR, Section 431.300 et seq., Section 14100.2 Welfare and Institutions Code, and regulations adopted thereunder, or as ordered by a court or tribunal of competent jurisdiction and (iv) will, at the expiration or termination of this Agreement, return all such information to DHS and the applicable Med-Cal Plan or maintain such information according to written procedures sent to Health Plan by DHS and the applicable Med-Cal Plan for this purpose.

## 5.16 Disclosure of Records

Contractor agrees to provide to County, to any federal or state department having

monitoring or reviewing authority, to County's authorized representatives and/or their appropriate audit agencies upon reasonable notice, access to and the right to examine and audit all records and documents necessary to determine compliance with relevant federal, state, and local statutes, rules and regulations, and this Agreement, and to evaluate the quality, appropriateness and timeliness of services performed. Contractor shall comply with all provisions of the Omnibus Budget Reconciliation Act of 1980 regarding access to books, documents, and records. Without limiting the foregoing, Contractor shall maintain such records and provide such information to Hospital, and to Government Officials as may be necessary for compliance by Hospital with all applicable provisions of all state and federal laws governing Hospital. Upon request, County and Government Officials shall have access to, and copies of, at reasonable times at the Contractor's place of business (or such other mutually agreeable location in California), the medical records, books, charts, and papers relating to the Contractor's provision of health care services to Patients, the cost of such services, and payments received by the Contractor from Patients (or from others on their behalf). Such records described herein shall be maintained at least four (4) years from the end of the Contract year.

All records of Contractor shall be maintained in accordance with the general standards applicable to such book or record keeping and shall be maintained during any governmental audit or investigation.

**IN WITNESS WHEREOF**, County and Contractor have duly executed this Agreement as of the dates set out beneath their respective signatures.

#### CONTRACTOR: Kimberly Bazar, M.D.

(signature)

By:

Title:

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Date: \_\_\_\_\_\_5/16/02

# COUNTY: County of San Mateo, San Mateo County Health Center

(signature)

By:

Jerry Hill, President Board of Supervisors, San Mateo County

Attest:

By\_\_\_\_

Clerk of Said Board

Date:\_\_\_\_\_

#### **SCHEDULE A- SERVICES**

In consideration of the payments specified in Exhibit "B," Contractor shall perform such services described below under the general direction of the Director.

- 1. Provide Professional services in the Division of Dermatology, Department of Medicine, including inpatient, outpatient, and emergency care.
- 2. Contractor or a substitute doctor will hold 78 clinics per year averaged to 1 1/2 days weekly.
- 3. Only to the extent such services fall with the designated hours of the clinics Contractor is required to hold pursuant to Section 2 in this Schedule A, Contractor shall perform the following services:
  - a. Contractor shall participate in such teaching and/or training programs as are, or may be, established by the medical staff at San Mateo County General Hospital and mutually agreed upon by Contractor and County. Each individual's participation in continuing education is documented and considered at the time of reappointment to the medical staff and/or renewal or revision of individual clinical privileges.
  - b. Contractor shall fulfill those requirements for active staff membership set forth in Articles 3 and 4.2 of the San Mateo County General Hospital Medical Staff Bylaws, and maintain such active staff status as a condition of the Agreement.
  - c. Contractor shall attend regularly and serve without additional compensation on committees responsible for Peer Review activities, Quality Assurance, Utilization Review as outlined in the Medical Staff Bylaws, Rules and Regulations.
  - d. Contractor shall provide Medical Staff administrative support to hospital and nursing in meeting surgical and anesthesia standards as defined by Joint Commission, Title 22, and other applicable standards.
- 4. Contractor is required to be available for telephone consultation Monday through Friday from 8:30 a.m. to 8:00 p.m., except (i) for any unavailability due to reasonable vacation/time off for Contractor, in which case, the switchboard will be so notified or (ii) during such times that Contractor is engaged in other business or clinical activities. At her sole discretion, Contractor may go into the hospital in connection with such telephone consultation to treat a patient, and will be paid according to schedule B.

#### SCHEDULE B- PAYMENTS

- Contractor shall be paid at a per diem rate of \$1,173.00 based on a work day commencing at 8:30AM and ending at 4:30PM. Contractor shall submit an invoice to County on a bi-weekly basis for services rendered to County, on a day of the week to be mutually agreed upon by the parties. County shall pay Contractor within 30 days of receipt of invoice.
- 2. An annual 10% performance incentive of \$9,149.40 will be paid according to the provisions of Schedule D.
- 3. Total payment for services provided under this agreement will not exceed THREE HUNDRED ONE THOUSAND NINE HUNDRED THIRTY DOLLARS AND TWENTY CENTS (\$301,930.20).
- 4. Contractor shall be paid at a rate of \$100.00 per visit to the hospital to treat a patient in connection with any telephone consultation pursuant to Schedule A.

# SCHEDULE C- Billing Requirements

All Contractors shall be obligated to comply with the following billing provisions:

## A. <u>OUTPATIENT</u>

Contractor shall submit to County complete, accurate and timely encounter forms here:

"Complete" shall mean:

- all procedure and diagnosis codes shall be present on form in CPT and ICD9 format
- Contractor signature, date and title is present on form
- Referral Authorization Form (RAF) is completed by Contractor as required by MediCal or HPSM regulations

"Accurate" shall mean:

- E & M CPT codes must be consistent with level of care
- Other procedures codes must be consistent with diagnosis
- Procedures must be consistent with Medicare and MediCal guidelines for medical necessity
- All Contractor services must be supported by documentation in patient Chart

"Timely" shall mean:

- Submission of encounter forms to County within three calendar days from date of service

The County will provide physician encounter forms appropriate to specialties covered under this agreement. The County will also provide, at time of service, encounter forms that will be embossed or have a sticker applied with the following information:

- Medical Record number
- Patient Name
- Date of Birth
- Date of Service
- Patient Number
- Financial Class

County will attach a RAF with encounter form where appropriate

## B. <u>INPATIENT</u> (includes Same Day Surgery and Observation)

Submission to County of complete, accurate and timely charge slips and additional documentation needed for billing:

"Complete" shall mean:

Charge slips shall include:

- Date of Service
- Appropriate CPT code
- Physician signature and title
- Patient name
- Medical Record number

"Timely" shall mean:

Contractor charge slips are submitted to the County within three calendar days of date of service.

"Additional documentation" shall mean:

- Discharge summary is completed in the time and manner specified in the Medical Staff Bylaws
- Operative notes are complete in the time and manner specified in the Medical Staff Bylaws
- History and Physical is complete in patient chart
- Short Stay/Admission form completed with CPT for all surgeries

#### SCHEDULE D- 10% Professionalism & Citizenship Compensation Incentive

In addition to the payments pursuant to Section 1.5 and Schedule B hereto, County shall pay Contractor an additional bonus incentive pay of up to 10% of total annual compensation (the "Professionalism & Citizenship Compensation Incentive"), based on the result of an annual evaluation that will consider the following four criteria, each weighted equally (2.5%):

- 1. Patient Satisfaction Ratings
- 2. Peer Ratings (Primary/ Referring Contractor Satisfaction)
- 3. Staff Ratings (nurses, clerks, other clinic staff)
- 4. Organizational Citizenship Ratings (as defined in contract section "Citizenship Duties")

These shall be evaluated annually, between October and December, and the result shall be used to calculate the Professionalism & Citizenship Compensation Incentive. If the evaluation fails to be conducted or completed at no fault of the Contractor, the provider will be granted maximum credit for the incomplete component. The components of the evaluation will be conducted by the relevant part of the organization, under the general direction of the CEO, and coordinated thru the Medical Staff Office. Responsibility for conducting the surveys will be as follows: Patient Satisfaction ratings will be conducted by Administration; Peer Ratings to be coordinated by the Medical Staff Office; the Staff Ratings by Administration; Organizational Citizenship Ratings by the Administration.

The ratings, for all four measures shall have, or be translated into, a three point system: 1=improvement needed, 2=meets expectations, 3=exceeds expectations. For each of the four areas, a score of 1 will translate into 0%; score of 2=1.25%; a score of 3=2.5%.

Appeals regarding interpretation or validity of the evaluation will be adjudicated by a panel appointed by the Chief Executive Officer and the Medical Director and its decision will be binding to both parties.

The language and administration of the provisions of Schedule D and Paragraph 1.8 "Citizenship Duties of Contractor" is subject to development and refinement through language mutually agreed upon by the Chief Executive Officer and Contractor(s). Such development and refinement is to be completed by December 31, 2002 and the provisions are to be implemented beginning April 1, 2003.

#### **SCHEDULE E- Non-Discrimination**

Contract between County of San Mateo and **Kimberly Bazar**, M.D., hereinafter called "Contractor."

- a. No person shall, on the grounds of race, color, creed, national origin, religious affiliation or non-affiliation, sex, sexual orientation, marital status, age (over forty (40)), disability, medical condition (including but not limited to AIDS, HIV positive diagnosis, or cancer), political affiliation or union membership be excluded from participation in, be denied the benefits of, or be subjected to discrimination under this Agreement.
- b. Contractor shall insure equal employment opportunity based on objective standards of recruitment, selection, promotion, classification, compensation, performance evaluations, and management relations, for all employees under this contract. Contractor's personnel policies shall be made available to County upon request.
- c. Contractor shall assure compliance with Section 504 of the Rehabilitation Act of 1973 by submitting a signed letter of assurance (Attachment I) of compliance. Contractor shall be prepared to submit a self-evaluation and compliance plan to County upon request within one (1) year of the execution of this Agreement.



THE POLICY IDENTIFIED BY A POLICY POLICY NUMBER IS IN FORCE ON THE DATE OF THIS CERTIFICATE OF INSURANCE. INSURANCE IS AFFORDED ONLY WITH RESPECT TO THOSE COVERAGES FOR WHICH A SPECIFIC LIMITS OF INSURANCE HAS BEEN ENTERED AND IS SUBJECT TO ALL THE TERMS OF THE POLICY HAVING REFERENCE THERETO. THIS CERTIFICATE OF INSURANCE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED UNDER THE POLICY.

#### **CERTIFICATE OF INSURANCE**

POLICY PERIOD 1/01/2002 TO 1/01/2003

#### NAMED INSURED AND MAILING ADDRESS

KIMBERLY BAZAR MD 2400 SAMARITAN DR # 203 SAN JOSE CA 95124

#### POLICY NUMBER: ACCOUNT NUMBER:

0000050876 0000050876

MEDICAL SPECIALTY: 013 DERMATOLOGY - NO LIPO OR COSM

RETROACTIVE DATE: D1/19/2000

#### ADDRESS OF INSURED PREMISES

2400 SAMARITAN DR # 203 SAN JOSE CA 95124

COVERAGES (CLAIMS MADE)	LIMITS OF INSURANCE		
PROFESSIONAL LIABILITY FOR DIRECT PATIENT TREATMENT	7		
PROFESSIONAL COMMITTEE ACTIVITIES	-	2,000,000 4.000,000	EACH CLAIM Aggregate per calendar year
OFFICE PREMISES LIABILITY			

The SCPIE Indemnity Company does not undertake any obligation to advise any party, other than the named insured, of any changes in or termination of insurance coverage.

By SCPIE INDEMNITY COMPANY

President SIE-30C/S-CA (Rev 10/98) DATE ISSUED: 05/16/2002

MMC

Reprint

SCPIE Indemnity Company 1888 Century Park East \* Suite 800 \* Los Angeles California 90067-1712 \* 310 551-5900 \* 800 962-5549



SENT BY:

# Entity, Employee and Premises Exclusion Endorsement

SIE-25-CA

Amending Policy Number: 50876

Named Insured Physician: Kimberly Bazar MD

Effective Date of This Endorsement: 08/01/2000 12:01 A.M. Standard Time Date Issued: 05/16/02

In consideration of the premium charged, it is hereby understood and agreed that the above captioned policy (the "Policy") is amended in the following particulars:

- 1. There is no coverage under the Policy for the entity designated below.
- No employee of the entity designated below (whether or not such employee is also the employee of the named insured physician under the Policy) is an insured with respect to any coverage afforded by this Policy, but this exclusion shall not apply to the named insured physician named above.
- 3. **Insured Premises** with respect to Coverage C under the Policy shall not include any premises that are owned, leased or otherwise used by or under the control of the entity designated below.

#### Designated Entity San Mateo County General Hospital 222 West 39th Ave San Mateo CA 94403

All other terms and conditions remain unchanged.

SCPIE INDEMNITY COMPANY

Ву

President

SIE-25-CA (Rev 4/93)

# COUNTY OF SAN MATEO

Equal Benefits Compliance Declaration Form

I Vendor Identification Name of Contractor: Kimberly A. Bazar M.D. mberly A. Bazar m.i Contact Person: Address: Inive Jennis Lo Alto CA 94303 650/280-9116 Fax Number 975/250-2574 Phone Number: II Employees Does the Contractor have any employees? \_\_\_\_Yes \_\_\_\_No Does the Contractor provide benefits to spouses of employees? \_\_\_\_Yes \_\_\_No \*If the answer-to one or both of the above is no, please skip to Section IV.\* III Equal Benefits Compliance (Check one) Yes, the Contractor complies by offering equal benefits, as defined by Chapter 2.93, to its employees with spouses and its employees with domestic partners. Yes, the Contractor complies by offering a cash equivalent payment to eligible employees in lieu of equal benefits. No. the Contractor does not comply. The Contractor is under a collective bargaining agreement which began on \_\_\_\_\_ (date) and expires on \_\_\_\_ (date). IV Declaration I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct, and that I am authorized to bind this entity contractually. Executed this (b day of many, 2002at Para 1+0, (City) Kimberly A.F. Name (Please Print) landy Signat SS# 045-52-3117 Contractor Tax Identification Number

San Mateo County General Hospital BOARD AGENDA ROUTING SLIP

Hospital Dept: <u>Hospital and Clinics</u> SUBJECT: <u>Agreement with Kimberly Bazar, M.D.</u> FILE NAME: <u>SVSMCHSA1B/HOSPCONTRACTS/</u> CONTENT SERVICES NUMBER: PROGRAM MANAGER: <u>Adam Paul</u> CONTRACT ADMINISTRATOR: <u>Tere Larcina</u>

- 1. Review by Hospital Dept Mgr Comments:
- 2. Review by Hospital Fiscal Officer Comments:
- 3. Review by Risk Management Comments:
- 4. Review by County Counsel Comments:
- 5. Review by Chief Operating Officer Comments:
- 7. ATR Approval by Finance Director
- 8. Review and Signature by Nancy Steiger Comments:

Initials-Date

Initials-Date

Initials-Date

Initials-Date

Initials-Date

Initials-Date

\_\_\_\_\_

Date Received (log in) by Administration:

Date sent to County Manager:\_\_\_

TOTAL P.02



THE POLICY IDENTIFIED BY A POLICY NUMBER IS IN FORCE ON THE DATE C THIS CERTIFICATE OF INSURANCE. INSURANCE IS AFFORDED ONLY WITH RESPECT TO THOSE COVERAGES FOR WHICH A SPECIFIC LIMITS OF INSURANC HAS BEEN ENTERED AND IS SUBJECT TO ALL THE TERMS OF THE POLICY HAVING REFERENCE THERETO. THIS CERTIFICATE OF INSURANCE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED UNDER THE POLICY.

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NAMED INSURED AND MAILING ADDRESS

KIMBERLY BAZAR MD 2400 SAMARITAN DR # 203 SAN JOSE CA 95124 POLICY NUMBER: 0000050876 ACCOUNT NUMBER: 0000050876

MEDICAL SPECIALTY: 013 DERMATOLOGY - NO LIPO OR COSM

RETROACTIVE DATE: 01/19/2000

4,000,000 AGCREGATE PER CALENDAR YEAR

ADDRESS OF INSURED PREMISES

2400 SAMARITAN DR # 203 SAN JOSE CA 95124

COVERAGES (CLAIMS MADE)

PROFESSIONAL LIABILITY FOR DIRECT PATIENT TREATMENT

PROFESSIONAL COMMITTEE ACTIVITIES

OFFICE PREMISES LIABILITY

The SCPIE Indemnity Company does not undertake any obligation to advise any party, other than the named insured, of any changes in or termination of insurance coverage.

By SCPIE INDEMNITY COMPANY

DATE ISSUED: 12/24/2001

LIMITS OF INSURANCE

2,000,000 EACH CLAIM

President

(Rev-10/98)

SIE-30C/S-CA

SCPIE Indemnity Company

1888 Century Park East \* Suite 800 \* Los Angeles California 90067-1712 \* 310 551-5900 \* 800 962-5549



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