

# Memorandum of Understanding

between

**County of San Mateo**

and

**Probation and Detention Association  
(PDA)**

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**October 17, 1999 – April 22, 2006**

**PROBATION AND DETENTION ASSOCIATION (PDA)**  
**Memorandum of Understanding**

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# **MEMORANDUM OF UNDERSTANDING**

The San Mateo County Probation and Detention Association and representatives of the County of San Mateo have met and conferred in good faith regarding wages, hours and other terms and conditions, have exchanged freely information, opinions, and proposals and have endeavored to reach agreement on all matters relating to the employment conditions and employer-employee relations of such employees. This Memorandum of Understanding is entered into pursuant to the Meyers-Milias-Brown Act (Government Code Sections 3500 et seq) and has been jointly prepared by the parties. This MOU shall be presented to the County Board of Supervisors and if appropriate to the Civil Service Commission as the joint recommendations of the undersigned for salary and employee benefit adjustments for the period commencing October 17, 1999, and ending April 22, 2006.

## **Section 1. Recognition**

The San Mateo County Probation and Detention Association, hereinafter referred to as "PDA", is the recognized employee organization affiliated with Teamsters Local 856 for the Probation and Detention Unit, certified pursuant to Resolution No. 38586, adopted by the Board of Supervisors on May 16, 1978.

## **Section 2. Association Security**

### **2.1 Dues Deduction**

PDA may have members' dues deducted from their pay checks under the County Controller's procedures. Deductions shall be made only on the employee's signed authorization on a form furnished by the County and shall continue until 1) the employee revokes the authorization in writing or 2) the employee transfers to another representation unit. Employees may also authorize dues deduction for the California Probation, Parole and Correctional Association, but deduction may not be authorized for any other organization.

### **2.2 Maintenance of Membership**

Members who pay dues through paycheck deductions and all employees who become members and pay dues through such deductions shall continue to pay dues for the duration of this and each subsequent MOU. For a period of ninety-seventy days prior to the expiration of this and any subsequent MOU, members shall have the right to withdraw membership by discontinuing dues deduction. The employee shall communicate the withdrawal in writing to the Controller by certified mail. Employees subsequently employed in a position outside of the unit represented by PDA shall not be required to continue dues deduction.

PDA shall hold the County and its officers and employees, including but not limited to the Controller, harmless for following the instructions contained in dues deduction authorizations. The County shall deliver revocations of membership to PDA on a biweekly basis and include verification that receipt was by certified mail. The Controller shall accept authorization for dues deduction on a biweekly basis.

2.3 Agency Shop

- A. PDA agrees that it has a duty to provide fair and nondiscriminatory representation to all unit employees regardless of whether they are members.
- B. All employees employed in the PDA representation unit shall as a condition of employment either:
  - 1. Become and remain a member of PDA; or
  - 2. Pay an agency fee which does not exceed an amount which may be lawfully collected under applicable constitutional, statutory, and case law (e.g., *Hudson v. Chicago Teachers Union, Local No. 1*), which shall be less than the monthly dues made during the duration of this MOU, it being understood that it shall be the sole responsibility of PDA to determine an agency fee which meets the above criteria; or
  - 3. Do both of the following:
    - a. Execute a written declaration that the employee is a member of a *bonafide* religion, body, or sect which has historically held a conscientious objection to joining or financially supporting any public employee organization as a condition of employment; and
    - b. Pay a sum equal to the agency shop fee to one of the negotiated non-religious, non-labor, charitable funds that is exempt from taxation under Section 501(c) (3) of the Internal Revenue Code.
- C. As a condition of employment, all new workers who are hired into a classification covered by this MOU, shall at the time of hire execute an authorization for the payroll deduction of one of the options specified in Section B. 1, 2, and 3 above.
- D. Employees who elect membership shall continue to pay dues for the duration of this and each subsequent MOU. For a period of 90 to 70 days prior to the expiration of this and any subsequent MOU, any employee who is a member shall have the right to withdraw by discontinuing dues deduction and selecting one of the options specified in Section B above. Said withdrawal shall be communicated by the employee during that period of time in writing to the Controller by certified mail and must be postmarked during the 90 to 70 day period.
- E. PDA shall provide the County with sufficient copies of its "Hudson Procedure" for the determination and protest of its agency shop fees so that the County can provide a copy of the "Hudson Procedure" to every employee hired into a PDA represented class. PDA shall provide a copy of said "Hudson Procedure" to every fee payer covered by this MOU annually, and as a condition to any change in the agency shop fee. Failure by an employee to invoke the Hudson Procedure within one month after actual notice of the Hudson Procedure shall be a waiver by the employee of their right to contest the amount of the agency shop fee.

- F. If, after all other involuntary and insurance premium deductions are made in any pay period, the balance is not sufficient to pay the deduction of dues, agency fee, or charity fee required by this Section, no such deduction shall be made for the current pay period.
- G. The provisions of Sections B and C shall not apply during periods that an employee is separated from the representation unit but shall be reinstated upon the return of the employee to the representation unit. The term separation includes transfer out of the unit, layoff, and leave of absence of more than 30 days.
- H. Annually, PDA shall provide Employee Relations with copies of the financial report which PDA annually files with the California Franchise Tax Board (CT-2) and the IRS (Form 990). Such report shall be available to employees in the unit. Failure to file such a report within sixty days after the end of its fiscal year shall result in the termination of all agency shop fee deductions without jeopardy to any employee, until said report is filed.
- I. Compliance
  - 1. PDA shall provide all employees hired into a job class covered by this MOU with an "Employee Authorization for Payroll Deduction" card.
  - 2. If the form authorizing payroll deduction is not returned to the Controller within 30 calendar days after notice of the agency fee provision and PDA's "Hudson Procedure," and the dues, agency fee or charitable contribution required under Section B.3 are not received and the employee has not timely invoked the Hudson Procedure, or if invoked the employee's Hudson Procedure rights have been exhausted, PDA may in writing direct the County to withhold the agency fee from the employee's salary, in which case the employee's monthly salary shall be reduced by an amount equal to the agency fee and the County shall pay PDA an equal amount.
- J. PDA shall indemnify, defend, and save the County harmless against any and all claims, demands, suits, orders, or judgments, or other forms of liability that arise out of or by reason of this union security section, or action taken or not taken by the County under this Section. This includes, but is not limited to, the County's attorneys' fees and costs.
- K. In the event that employees in a bargaining unit represented by PDA vote to rescind "Agency Shop," the provisions of Section 2.2 shall apply to dues-paying members of PDA.

#### 2.4 Communications with Employees

The Probation Department shall allow PDA use of available bulletin board space for communications involving official organization business, such as times and places of meetings, provided such use does not interfere with department needs. PDA may distribute materials to unit employees through County mail distribution channels if approved by Employee Relations. This privilege may be revoked in the event of abuse after Employee Relations consults with PDA representatives. PDA representatives shall give notice to Employee Relations at least 24 hours in advance of contacting employees during the duty period of the employees, provided

that solicitation for membership and other internal employee organization business shall be conducted only during the non-duty hours of all employees concerned. Pre-arrangement for routine contact may be made by agreement between PDA and the department head and, when made, shall continue until revoked.

#### **2.5 Use of County Buildings**

County buildings and facilities may be made available for use by employees or PDA representatives in accordance with such administrative procedures as may be established by the County Manager or department head concerned.

#### **2.6 Advance Notice**

Except in cases of emergency as provided below, PDA, if affected, shall be given reasonable advance written notice of any ordinances, resolution, rule or regulation directly relating to matters within the scope of representation proposed to be adopted by the County and shall be given the opportunity to meet with the appropriate management representatives prior to adoption.

In cases of emergency when the foregoing procedure is not practical or in the best public interest, the County may adopt or put into practice immediately such measures as are required. At the earliest practicable date thereafter the PDA shall be provided with the notice described in the preceding paragraph and be given an opportunity to meet with the appropriate management representatives.

### **Section 3. No Discrimination**

There shall be no discrimination because of race, creed, color, national origin, sex, sexual orientation, or legitimate association activities against any employee or applicant by PDA, the County or anyone employed by the County; and to the extent prohibited by state and federal law there shall be no discrimination because of age. There shall be no discrimination against any handicapped person solely because of such handicap unless that handicap prevents the person from meeting the minimum standards established.

### **Section 4. PDA Representatives**

#### **4.1 Attendance at Meetings**

County employees who are official PDA representatives shall be given reasonable time off with pay to meet and confer or consult with management representatives or to be present at hearings where matters within the scope of representation are being considered. The use of time for this purpose shall be reasonable and shall not interfere with the performance of County services as determined by the County. Representatives shall submit requests to Employee Relations at least two working days in advance whenever possible. Except by agreement with Employee Relations the number of employees excused for such purposes shall not exceed three at any one time. If any employee's request is not approved, disapproval shall be subject to appeal to the County Manager whose decision shall be final.

#### 4.2 Handling of Grievances

PDA shall designate a reasonable number of representatives to assist in resolving grievances depending on geographical locations, hours of employment, and departmental organizational structure, notifying Employee Relations of the individuals designated. Alternates may be designated to perform such functions during the absence or unavailability of the designees. Representatives may be relieved from work duties by their supervisors to investigate and process grievances initiated by other employees within the same work area or representation unit. Release time requests shall not be denied unreasonably. Representatives shall promptly report to PDA any grievances which may arise and cannot be adjusted on the job. Supervisory employees shall not represent non-supervisory employees in grievances. Neither a representative nor PDA shall order any changes, and no change shall be made except with the consent of the department head.

### **Section 5. Salaries**

- 5.1 The salary ranges for all employees represented by PDA will be as set forth in the Exhibits which are attached hereto and made a part hereof. The rates of pay set forth in the Exhibits represent the standard biweekly rate of pay for full-time employment, unless the schedule specifically indicates otherwise and represent the total compensation due employees, except for overtime compensation and other benefits specifically provided for by the Board of Supervisors or by this MOU. The rates of pay in the Exhibits do not include reimbursement for actual and necessary expenses for traveling, subsistence, and general expenses authorized and incurred incident to County employment.

As reflected in the Exhibits, salaries for all covered classifications shall be adjusted as follows. Effective October 12, 2003, there shall be an increase of 4%; effective October 10, 2004, there shall be an increase of 4%.

- 5.2 Except as herein otherwise provided, the entrance salary for employees entering County service shall be the minimum salary for the class to which appointed. When warranted, the Employee and Public Services Director, with the department head's recommendation, may approve an entrance salary which is more than the minimum salary. The Director's decision shall be final. Such a salary may not be more than the maximum salary for the class to which the employee is appointed unless such salary is designated as a Y rate by the Board of Supervisors.
- 5.3 Permanent and probationary employees in regular established positions shall be considered on their salary anniversary date for advancement to the next step in the salary schedule for their classes as follows. A step shall be defined as 5.74% and all references to a "step" in this agreement are understood to equate to 5.74%. All increases shall be effective at the beginning of the next full pay period.
- (1) After completion of 1040 regular hours satisfactory service in Step A of the salary schedule, and upon recommendation of the appointing authority, the employee shall be advanced to the next higher step in the salary schedule for the classification. If an employee is appointed at a step higher than the first step of the salary range for that classification, the first merit increase shall be after completion of 2080 regular hours of satisfactory service.



- (2) After the completion of 2080 regular hours of satisfactory service in each of the salary steps above A, and upon recommendation of the appointing authority, the employee shall be advanced to the next higher step in the salary schedule for the classification until the top of the range is reached.
- (3) When recommended by the appointing authority and approved by the Employee and Public Services Director, employees may receive special merit increases at intervals other than those specified in this section. The Director's decision shall be final.
- (4) If an employee completes the 1040 or 2080 hours in the middle of a pay period, the employee shall be eligible for an increase as follows:
  - if the merit increase period is completed during the first week of a pay period the increase will be made effective the start of the then current pay period.
  - if the merit increase period is completed during the second week of a pay period the increase will be made effective the start of the next pay period.

5.4 Employees shall be considered for salary step increases based on their appointment date or revised salary anniversary date. If an employee begins service later than the first business day of a biweekly pay period, or has changes which would cause the salary anniversary date to be on other than the first business day of a biweekly pay period, the salary anniversary date shall be determined from the first day of the following biweekly pay period.

Changes in an employee's salary because of promotion, upward reclassification, postponement of salary step increase, or special merit increase will set a new salary anniversary date as stated in the preceding paragraph. Employees rejected during the probationary period who revert to their former class shall return to the salary anniversary date held in the former class unless otherwise determined by the Employee and Public Services Director. Employees' salary anniversary dates shall not be affected by a transfer, downward reclassification or a demotion.

A permanent employee accepting provisional employment in a higher or different class in the Classified Service who reverts to the former class shall retain the salary anniversary date in the former class on the same basis as if there had been no such provisional appointment. Salary range adjustments for a class will not set a new salary anniversary date for employees serving in that class.

When recommended by appointing authority and approved by the EPS Director, provisional and temporary employees shall be advanced to the next higher step upon completion of the periods of service prescribed in this Section, provided their service has been satisfactory. When recommended by the appointing authority and approved by the EPS Director, continuous service in a provisional, temporary, or extra help capacity shall be added to service in a regular established position to determine salary anniversary date, eligibility for salary increases, and vacation and sick leave accrual. Such service may not be added if it preceded a period of over 28 consecutive calendar days during which the employee was not in a pay status except when the absence was due to an injury or disease for which he/she is entitled to and currently receiving Worker's Compensation benefits.

5.5 Salary Step When Salary Range Is Revised

When the salary range for a class is revised, incumbents in positions to which the revised schedule applies shall remain at the step in the previous range, unless otherwise specifically provided by the Board of Supervisors.

5.6 Salary Step After Promotion or Demotion

When an employee is promoted from a position in one class to a position in a higher class, and at the time of promotion is receiving a base salary equal to, or greater than the minimum base rate for the higher class, that employee shall be entitled to the next step in the salary schedule of the higher class which is at least one step above the rate he/she has been receiving except that the next step shall not exceed the maximum salary of the higher class. If an employee is demoted, voluntarily or otherwise, that employee's compensation shall be adjusted to the salary prescribed for the class to which demoted, and the specific rate of pay within the range shall be determined by the EPS Director whose decision shall be final; provided, however, that the Board of Supervisors may provide for a rate of pay higher than the maximum step of the schedule for the classification and designate such rate of pay as a Y rate.

5.7 Should the County determine that recruitment and retention of employees in the unit is directly impacted by salary and benefit levels outlined in this MOU, the County may at its sole discretion increase such salary and benefit levels after discussing such increases with PDA.

5.8 Reclassification of Position

An employee reclassified to a lower class shall have the right of either (1) transferring to a vacant position in his/her present class in the same or another department, provided the head of the department into which the transfer is proposed agrees, or (2) continuing in the same position in the lower class at a "Y" rate of pay when the incumbent's pay is higher than the maximum step of the salary range for the lower class.

5.9 "Y" Rate Process

Employees reclassified downward shall continue in their present salary range, with cost of living adjustments, for two years, at which point their salary shall be frozen ("Y"-rated) until the salary assigned to the lower class equals or exceeds the "Y" rate. These "Y" rate provisions shall not apply to layoffs, demotions, or other personnel actions resulting in an incumbent moving from one position to another.

## **Section 6. Days and Hours of Work**

The standard work week for employees occupying full-time positions consists of 40 hours unless otherwise specified by the Board of Supervisors. The appointing authority shall fix the hours of work with due regard for the convenience of the public and the laws of the State and the County. Employees occupying part-time positions shall work such hours and schedules as the Board and the appointing authority shall prescribe.

## **Section 7. Overtime**

### **7.1 Authorization**

All compensable overtime must be authorized by the appointing authority or his/her representative in advance of being worked. If prior authorization is not feasible due to emergency conditions a confirming authorization must be made on the next regular working day following the day on which the overtime was worked. Overtime must be in the job class in which the person is regularly employed or in a class for which the employee is authorized higher pay for work in a higher class.

### **7.2 Definition**

Except as otherwise provided by Charter, any authorized time worked in excess of the 40 hour weekly work schedule shall be considered overtime compensable at the rate of one and one-half times the overtime worked whether by monetary payment or compensatory time off. Overtime resulting from required attendance at training classes or training meetings shall be compensable at the straight-time rate in an amount equal to the overtime worked unless monetary payment at a different rate is prescribed for an employee covered by the Fair Labor Standards Act. In determining eligibility for overtime compensation any absence with pay shall be considered time worked. The smallest increment of time that may be credited as overtime is 6 minutes. Portions of 6 minutes worked at different times shall not be added together to credit overtime. Overtime shall be calculated from the employee's base pay only.

### **7.3 Work Groups**

The EPS Director shall allocate all job classes to the following described work groups for purposes of determining categories of employees to be compensated by monetary payment or by compensatory time off. The decision of the Director shall be final; provided, however, that prior to changing the work group of an existing class covered by this MOU the Director shall notify PDA of the contemplated change and if requested, discuss with PDA the reasons for the work group change.

Work Group 1: Work Group 1 employees may be compensated for overtime by monetary payment or by compensatory time off, at the employee's option. Comp time off in excess of 80 hours must be liquidated by monetary payment. All monetary payments for overtime must be paid no later than the next biweekly payroll following the pay period in which the overtime was worked.

Work Group 2: Work Group 2 employees may be compensated for overtime only by being allowed comp time off. Effective December 31, 1973, no more than 240 hours of such comp time off may be accumulated at any one time.

Work Group 3: Work Group 3 shall be eliminated effective October 30, 1999, and employees in Work Group 3 shall be moved to Work Group 1.

Probation Officers assigned to overtime duty as Duty Officers on weekends shall be compensated at the hourly equivalent rate of one and one-half times their normal base pay.

Should the County, through some future Federal ruling, be exempted from the Fair Labor Standards Act, the County shall revert to the base rate for the computation of overtime.

Employees required to report back to work during off-duty hours shall be compensated for a minimum of 3 hours of overtime. An employee receiving call-back pay shall not be entitled to on-call pay simultaneously.

**7.5 Compensatory Time Off**

Utilization of comp time off shall be by mutual agreement between the employee and the department head. Accrued comp time must be used by Work Group 2 employees prior to retirement or termination; otherwise, it shall be forfeited. The smallest increment of compensatory time which may be taken off is six minutes.

**Section 8. Shift Differential**

- 8.1 (1) Shift differential pay, for the purpose of this Section, is defined as pay at a rate which is one step above the employee's base pay in the salary range for his/her classification. If the base pay is at the top step, shift differential pay shall be computed at one step above such base pay.
- (2) Notwithstanding paragraph (1) above, the monthly shift differential pay for full-time employees shall be at least \$35.00 above the employee's base pay, to be prorated for part-time employees.
- 8.2 Full-time employees who are assigned to and are working a shift which starts between 2:00 p.m. and 5:00 a.m. shall be paid at shift differential rates for all hours worked during such shift.
- 8.3 Full-time employees assigned to and working a shift of eight hours or more which starts before 2:00 p.m. and includes at least five hours worked after 2:00 p.m. shall be paid shift differential rates for all hours so worked after 2:00 p.m.

**Section 9. On-Call Duty**

When warranted and in the interest of the County operation, the department head may assign employees to "on-call" status. Employees shall be paid an hourly rate of \$3.25 for time in which they are required to be in an on-call status. This rate shall be increased to \$3.50 effective October 15, 2000, to \$3.75 effective October 14, 2001, and to \$3.95 effective March 2, 2003.

**Section 10. Layoff and Re-Employment**

**10.1 Notice of Layoff**

The department head will give at least 14 days advance written notice to employees to be laid off unless a shorter period of time is authorized by the EPS Director.

**10.2 Precedence by Employment Status**

No permanent employee shall be laid off while employees in an extra help, temporary, provisional, or probationary status are retained in the same class unless that employee has been offered the extra help, temporary, or provisional appointment. The order of layoff among employees not having permanent status shall be according to the following categories:

- (1) Extra help or seasonal
- (2) Temporary
- (3) Provisional
- (4) Probationary

Layoffs shall be by job classification according to reverse order of seniority as determined by total continuous County civil service, except as specified above.

The following provisions shall apply in computing total continuous service:

- (1) Time spent on military leave, leaves to accept temporary employment outside the County government and leave to accept a position in the unclassified service shall count as County service.
- (2) Periods of time during which employees are required to be absent from their position due to an injury or disease for which they are entitled to and currently receiving Worker's Compensation benefits shall be included in length of service in determining that employee's seniority rights.
- (3) Time worked in an extra help status shall not count as County service.
- (4) Time worked in permanent, probationary, provisional, or temporary status shall count as County service. Part-time status shall count at the rate of 1 year of continuous employment for each 2080 straight-time hours worked.

If 2 or more employees have the same seniority, the examination scores for their present classifications shall determine seniority.

### 10.3 Procedures

- (1) Employees laid off shall have any of the following three choices:
  - (a) Taking a voluntary demotion within the same department to a classification in which the employee had prior probationary or permanent status provided such a position is held by an employee with less seniority.
  - (b) On a County-wide basis, displacing the employee in the same class having the least seniority in County service. For the purpose of such County-wide move, County service, including military leave, shall be allowed at the rate of two-thirds of the actual time so served.
  - (c) On a County-wide basis, taking a voluntary demotion to a class in which the employee had prior probationary or permanent status provided such a position is held by an employee with less seniority. For the purpose of such County-wide move, County service, including military leave, shall be allowed at the rate of two-thirds of the actual time so served.
- (2) Displaced employees may request the EPS Director to place their name on the promotional eligible list or open eligible list for any classification for which, in the Director's opinion, the employee is qualified. The employee's name will be above the

names of persons who have not been displaced, ranked in the order specified in subsection 10.2.

- (3) Pursuant to the Civil Service Rules as revised, an employee may, with the approval of the EPS Director and the gaining department head, demote or transfer to a vacant position for which he/she possesses the necessary skills and fitness.
- (4) At the sole discretion of the EPS Director, an employee may be allowed to transfer and displace a less senior employee in a position in which he/she had prior probationary or permanent status and which the Director determines is equivalent with respect to duties and responsibilities to the position the employee presently occupies.
- (5) In addition to all other options, employees in class at risk of being eliminated, as determined by the affected department head, may also be placed on the reinstatement list.
- (6) A transfer is defined as a change from one position to another in the same class, the salary range of which is not more than 10.0% higher.
- (7) Part time employees shall not displace full-time employees, unless the part-time employee has held full-time status in the class.

#### 10.4 Names of Employees Laid Off to be Placed on Reemployment and General Eligible Lists

The names of employees laid off shall be placed on reemployment eligible lists as hereinafter specified. Former employees appointed from a reemployment eligible list shall be restored all rights accrued prior to being laid off, such as sick leave, vacation credits, and credit for years of service. However, such reemployed employees shall not be eligible for benefits for which they received compensation at the time of or subsequent to the date they were laid off.

The departmental reemployment eligible list for each class shall consist of the names of employees and former employees having probationary or permanent status who were laid off or whose positions were reallocated downward as a result of reclassification. The rank order on such lists shall be determined by relative seniority as specified in section 10.2. Such lists shall take precedence over all other eligible lists in making certifications to the department in which the employee worked.

The general reemployment eligible list for each class shall consist of the names of employees and former employees having probationary or permanent status who were laid off or whose positions were reallocated downward as a result of reclassification. The rank order on such lists shall be determined by relative seniority. Such lists shall take precedence over all other eligible lists, except departmental reemployment eligible lists, in making certifications on a County-wide basis.

The provisions of this subsection 10.4 shall not apply to employees who have accepted severance pay upon termination of employment.

#### 10.5 Abolition of Position

The provisions of this Section 10 shall apply when an occupied position is abolished resulting in a classified employee losing status in his/her assigned classification in his/her assigned department.

- 10.6 In the event that an employee's position is abolished and he/she is unable to displace another County employee as provided in this Section 10, such employee shall receive reimbursement of 50% of the cash value of his/her unused sick leave; provided that he/she shall be eligible for reimbursement only if he/she remains in the service of the County until his/her services are no longer required by the department head. The County shall make every effort to secure comparable employment for the displaced employee in other agencies, and if such employment is secured, the employee will not be entitled to the aforementioned reimbursement.

## **Section 11. Holidays**

- 11.1 Regular full-time employees in established positions shall be entitled to take all authorized holidays at full pay not to exceed eight hours for any one day, provided they are in a pay status on both their regularly scheduled workdays immediately preceding and following the holiday. Part-time employees shall be entitled to holiday pay in proportion to the average percentage of full-time hours worked in the two pay periods immediately before the pay period with the holiday. If two or more holidays fall on succeeding or alternate pay periods, the average full-time hours worked in the two pay periods immediately preceding the first holiday shall be used to determine the holiday pay entitlement for the subsequent holiday.

- 11.2 The holidays in this County are:

- (1) January 1 ..... (New Years Day)
- (2) Third Monday in January ..... (Martin Luther King, Jr.'s Birthday)
- (3) February 12 \* ..... (Lincoln's Birthday)\*
- (4) Third Monday in February ..... (Washington's Birthday)
- (5) Last Monday in May ..... (Memorial Day)
- (6) July 4 ..... (Independence Day)
- (7) First Monday in September ..... (Labor Day)
- (8) Second Monday in October ..... (Columbus Day)
- (9) November 11 ..... (Veterans Day)
- (10) Fourth Thursday in November ..... (Thanksgiving Day)
- (11) Friday following Thanksgiving Day
- (12) December 25 ..... (Christmas)
- (13) Every day appointed by the President of the United States or the Governor of the State of California to be a day of public mourning, thanksgiving, or holiday. The granting of such holidays shall be discretionary with the Board of Supervisors.

\* Effective February 12, 2001, the Lincoln's Birthday holiday shall be eliminated and replaced with a floating holiday (8 hours of holiday time) which will accrue on each February 12.

- 11.3 If one of the holidays listed above falls on Sunday and the employee is not regularly scheduled to work that day, the employee's first regularly scheduled workday following the holiday shall be considered a holiday.

- 11.4 If any of the holidays listed above falls on a day other than Sunday and the employee is not regularly scheduled to work that day, or if an employee is required to work on a holiday, the employee shall be entitled to equivalent straight time off with pay. This equivalent straight time off earned is limited to 120 hours with any time earned in excess of 120 hours cashed out at the equivalent straight time rate. If an employee leaves County service with accrued hours, those hours will be cashed out.

In County facilities where there is 24 hour per day coverage, employees working such coverage shall observe holidays on the actual date of the holiday.

- 11.5 Extra help employees are not entitled to holiday pay or to time off with pay in lieu of holiday pay.
- 11.6 Employees in Work Group 1 working on a holiday shall be compensated for time worked at the rate of time and one-half the straight-time rate as provided in Section 7 (Overtime).
- 11.7 One Probation Officer assigned to Intake in Juvenile Probation and one Probation Officer assigned to the Community Release Program when working a holiday shall receive monetary compensation for such time worked at the rate of time and one-half the straight time rate.

## **Section 12. Election Days**

The County does not intend to prohibit employees from being absent from work on election days if employees can charge time off to the floating holiday, accumulated vacation, or compensatory time. Every effort will be made to grant their requests unless the absences would be likely to create serious problems in rendering proper services to the public.

## **Section 13. Vacations**

### **13.1 Vacation Allowance**

Employees, excluding extra help, or as herein otherwise provided, shall be entitled to vacation with pay in accordance with the following schedules. Accruals shall be prorated for any employees, except extra help who work less than full time during a pay period.

- (1) During the first five (5) years of continuous service, vacation will be accrued at the rate of 4.0 hours per biweekly pay period worked.
- (2) After the completion of five (5) years of continuous service, vacation will be accrued at the rate of 4.9 hours per biweekly pay period worked.
- (3) After the completion of ten (10) years of continuous service, vacation will be accrued at the rate of 5.9 hours per biweekly pay period worked.
- (4) After the completion of fifteen (15) years of continuous service, vacation will be accrued at the rate of 6.5 hours per biweekly pay period worked.
- (5) After the completion of twenty (20) years of continuous service, vacation will be accrued at the rate of 6.8 hours per biweekly pay period worked.



- (6) After the completion of twenty-five (25) years of continuous service, vacation will be accrued at the rate of 7.4 hours per biweekly pay period worked.
- (7) No employee will be allowed to carry an accumulation of more than 52 biweekly pay periods' vacation accrual at any one time. However, employees may accrue unlimited vacation time in excess of the maximum allowance when such vacation accrues due to remaining in a pay status during periods of illness or injury which precluded liquidating vacation earned in excess of the maximum allowed.
- (8) No vacation will be permitted prior to the completion of thirteen (13) full biweekly pay periods of continuous service.
- (9) Vacation may be used in increments of 6 minutes.
- (10) Extra help do not accrue vacation credits, except that the service in an extra help capacity may be included with service in a regular established position in computing vacation allowance for purposes of this Section. Such service in an extra help capacity may not be included if it preceded a period of over 28 days during which the employee was not in a pay status.

#### 13.2 Vacation Schedule

The time at which employees are granted vacation shall be at the discretion of the appointing authority. Length of service and seniority of employees shall be given consideration in scheduling vacations and in giving preference as to vacation time.

#### 13.3 Vacation Allowance for Separated Employees

When an employee is separated from County service any remaining vacation allowance shall be added to the final compensation.

#### 13.4 Vacation Pay

Payment for vacation shall be at the base pay of the employee plus applicable differential, if any, as provided in Section 32.

### **Section 14. Sick Leave**

#### 14.1 Accrual

All employees, except extra help, shall accrue sick leave at the rate of 3.7 hours for each biweekly pay period of full-time work. Such accrual shall be prorated for any employees, except extra help, who work less than full time during a pay period. For the purpose of this Section absence in a pay status shall be considered work.

#### 14.2 Usage

Employees are entitled to be paid for sick leave used, to a maximum of the time accrued, under the following conditions:

- (1) The employee's illness, injury, or exposure to contagious disease which incapacitates him/her from performance of duties. This includes disabilities caused or contributed to by pregnancy, miscarriage, abortion, childbirth, and recovery therefrom as determined by a licensed physician, or, under the Kaiser plan, a licensed health care professional.

- (2) The employee's receipt of required medical or dental care or consultation.
- (3) The employee's attendance on a member of the immediate family who is ill.
- (4) The employee's preparation for or attendance at the funeral of a member of the immediate family.

For the purposes of this Section immediate family means parent, spouse, domestic partner, son, daughter, sibling, step-children, mother-in-law, father-in-law, grandparents or grandchildren. For the purpose of paragraph (4) above only, immediate family also includes son-in-law, daughter-in-law, grandparents-in-law and siblings-in-law. Use of sick leave for this expanded definition is limited to three days if travel is required.

- (5) The employee's attendance to an adoptive child or to a child born to the employee or the employee's spouse for up to 6 weeks immediately after the birth or arrival of the child in the home.

#### 14.3 Procedures for Requesting and Approving Sick Leave

When the requirement for sick leave is known to the employee in advance of the absence, the employee shall request authorization for sick leave at such time, in the manner hereinafter specified. In all other instances the employee shall notify his/her supervisor as promptly as possible by telephone or other means. Before an employee may be paid for the use of accrued sick leave he/she shall complete and submit to his/her department head a signed statement, on a prescribed form, stating the dates and hours of absence and such other information as is necessary for the request to be evaluated. If an employee does not return to work prior to the preparation of the payroll, other arrangements may be made with the approval of the department head and the Controller.

The department head may require a physician's statement from an employee who applies for sick leave, or make whatever investigation into the circumstances that appears warranted before taking action on the request.

#### 14.4 Accounting for Sick Leave

Sick leave may be used in increments no smaller than six minutes. Payment for sick leave used shall be at the employee's base pay plus applicable differential, if any, as provided in Section 32.

#### 14.5 Credits

When an employee who has been working as extra help is appointed to a permanent position such appointee may receive credit for such extra help period of service in computing accumulated sick leave, provided that no credit shall be given for service preceding any period of more than 28 consecutive calendar days in which an employee was not in a pay status.

If an employee who has unused sick leave accrued is laid off and subsequently re-employed in a permanent position, such sick leave credits shall be restored upon reemployment. The employee shall not have any portion of sick leave credits restored for which he/she received compensation at the time of or subsequent to the day of layoff.

#### 14.6 Incapacity to Perform Duties

If the appointing authority has been informed through a doctor's report of a medical examination that an employee is not capable of properly performing his/her duties, he/she may

require the employee to absent himself/herself from work until the incapacity is remedied. During such absence the employee may utilize any accumulated sick leave, vacation, holiday and compensatory time.

#### 14.7 Use of Sick Leave While on Vacation

An employee who is injured or who becomes ill while on vacation may be paid for sick leave in lieu of vacation provided that the employee:

- (1) was hospitalized during the period for which sick leave is claimed, or,
- (2) received medical treatment or diagnosis and presents a statement indicating illness or disability signed by a physician covering the period for which sick leave is claimed, or,
- (3) was preparing for or attending the funeral of a member of the immediate family.

To have sick leave considered in lieu of vacation the request and substantiation must be provided within 10 days of the employees' return to work.

#### 14.8 Sick Leave During Holidays

Paid holidays shall not be considered as part of any period of sick leave, unless the employee is scheduled to work on that holiday.

#### 14.9 Catastrophic Leave Program

##### (a) Purpose

The Catastrophic Leave Policy is designed to assist employees who have exhausted paid time credits due to serious or catastrophic illness, injury or condition of the employee or his/her family. This policy allows other employees to make voluntary grants of time to that employee so that s/he can remain in a paid status for a longer period of time, thus partially ameliorating the financial impact of the illness, injury or condition.

##### (b) Program Eligibility

Leave credits may voluntarily be transferred from one or more donating employees to another receiving employee under the following conditions:

1. The receiving employee is a permanent full or part-time employee whose participation has been approved by his/her department head;
2. The receiving employee, and/or the employee's family member, has sustained a life threatening or debilitating illness, injury or condition. (The department head may require that the condition be confirmed by a doctor's report);
3. The receiving employee has exhausted all paid time off;
4. The receiving employee must be prevented from returning to work for at least 30 days and must have applied for a medical leave of absence.

##### (c) Transferring Time

1. Vacation and holiday time may be transferred by employees in all work groups. Comp time may be transferred by employees in work groups 1, 4, and 5.

2. Sick leave may be transferred at the rate of 1 hour of sick leave for every 4 hours of other time (holiday, vacation, MOT or comp time).
3. Donated time will be converted from the type of leave given to sick leave and credited to the receiving employee's sick leave balance on an hour-for-hour basis and shall be paid at the rate of pay of the receiving employee.
4. Donations must be a minimum of 8 hours and, thereafter, in whole hour increments.
5. The total leave credits received by the employee shall normally not exceed 3 months; however, if approved by the department head, the total leave credits received may be up to a maximum of 6 months.
6. Donations approved shall be made on a Catastrophic Leave Time Grant form signed by the donating employee and approved by the receiving employee's department head. Once posted, these donations are irrevocable except as described in paragraph #7 below.
7. In the event of the untimely death of a Catastrophic Leave recipient, any excess leave will be returned to the donating employees on a last in/first out basis (excess leave would be returned to the last employee(s) who donated).

(d) Appeal Rights

Employees denied participation in the program by the department head may appeal the decision to the Employee and Public Services Director and the County Manager whose decision shall be final.

## **Section 15. Leaves of Absence**

### **15.1 General**

Employees shall not be entitled to leaves of absence as a matter of right, but only in accordance with the provisions of law and this code. Unless otherwise provided, the granting of a leave of absence also grants to the employee the right to return to a position in the same class, or equivalent class in the same department, as held at the time the leave was granted. The granting of any leave of absence shall be based on the presumption that the employee intends to return to work upon the expiration of the leave. However, if a disability retirement application has been filed with the County Board of Retirement a leave may be granted pending a decision by that Board. Nothing in this Section 15 shall abridge an employee's rights under the Family and Medical Leave Act.

**Total Period of Leave:** Except for Disability Leaves as provided above and in Section 15.4 (2) (c) below, no leave of absence or combination of leaves of absence when taken consecutively, shall exceed a total period of twenty-six (26) biweekly pay periods.

**Approval and Appeals:** Initial action to approve or disapprove any leave of absence shall be by the employee's department head; however, leaves of absence of more than 2 biweekly pay periods must also be approved by the EPS Director. Denial of requested leave in whole or in part at the department head level may be appealed by the employee to the EPS Director, whose decision shall be final.

15.2 Benefit Entitlement

Employees on leaves of absence without pay for more than 2 biweekly pay periods shall not be entitled to payment of the County's portion of the premiums for their health, dental, life, or long term salary continuation insurance, except as provided hereinafter. The entitlement to payment of the County's portion of the premiums shall end on the last day of 2 full biweekly pay periods in which the employees was absent. An employee who is granted a leave of absence without pay for reasons of the employee's illness or accident shall be entitled to have 2 biweekly pay periods of the County's portion of the insurance premiums for each year of County service, or major fraction thereof, up to a maximum of 26 biweekly pay periods.

15.3 Seniority Rights and Salary Adjustments

Authorized absence without pay which exceeds 28 consecutive calendar days, for either: (1) a leave of absence for personal reasons; (2) a leave of absence on account of illness or injury not compensated through Worker's Compensation benefits; or (3) a leave of absence to fill an unexpired term in an elective office shall not be included in determining salary adjustment rights, or any seniority rights based on length of employment.

15.4 Job Incurred Disability Leave

(1) Job Incurred Disability Leave With Pay

(A) Definition: Disability leave with pay is an employee's absence from duty with pay because of disability caused by illness or injury arising out of and in the course of employment which has been declared to be compensable under the Workers' Compensation Law. Only permanent or probationary employees occupying permanent positions are eligible for disability leave with pay.

(B) Payment: Payment of disability leave shall be at the base pay of the employee and shall be reduced by the amount of temporary disability indemnity received pursuant to Workers' Compensation Law.

(C) Application for and Approval of Job Incurred Disability Leave With Pay: In order to receive pay for disability leave an employee must submit a request on the prescribed form to the appointing authority describing the illness or accident and all information required for the department head to evaluate the request. The employee must attach to the request a statement from a physician certifying to the nature, extent, and probable period of illness or disability.

No job incurred disability leave with pay may be granted until after the State Compensation Insurance Fund or County's Workers' Compensation Adjustor has declared the illness or injury to be compensable under Worker's Compensation Law and has accepted liability on behalf of the County, or the Workers' Compensation Appeals Board has ordered benefits to be paid.

(D) Length of Job Incurred Disability Leave With Pay: Except for Safety members of the Retirement System, eligible employees shall be entitled to disability leave for the period of incapacity as determined by a physician, but not to exceed a

maximum of 90 calendar days for any one illness or injury. Members of this unit who elect safety retirement and thereby become safety members, as defined in the Government Code and in determinations made by the San Mateo County Board of Retirement, may be found eligible for disability leave up to a maximum of 26 biweekly pay periods. Holidays falling within the period of disability shall extend the maximum days allowed by the number of such holidays.

(2) Job Incurred Disability Leave Without Pay

- (A) Definition: Disability leave without pay is an employee's absence from duty without County pay because of disability caused by illness or injury arising out of and in the course of employment which has been declared to be compensable under Workers' Compensation Law. Only permanent or probationary employees occupying permanent positions are eligible for disability leave without pay. Such leave is taken after the disabled employee has used up allowable disability leave with pay, as well as accrued credits for sick leave. At the employee's option, vacation and compensatory time off accruals may also be used.
- (B) Application for and Approval of Job Incurred Disability Leave Without Pay: In order to receive disability leave without pay an eligible employee must submit a request on the prescribed form to the appointing authority describing the illness or accident and all information required for the appointing authority to evaluate the request. The employee must attach a physician's statement certifying to the nature, extent, and probable period of illness or disability.
- (C) Length and Amount of Job Incurred Disability Leave Without Pay: Job incurred disability leave without pay may not exceed 26 biweekly pay periods for any one injury. The combined total of disability leave with pay and disability leave without pay for one accident or illness may not exceed 32 biweekly pay periods. However, Safety members of the Retirement System may be allowed a maximum of 52 biweekly pay periods for any one injury total disability leave. In the event an employee is disabled and is receiving Worker's Compensation benefits this leave may be extended as long as such disability continues.

15.5 Leave of Absence Without Pay

- (1) Qualifying: Only permanent or probationary employees in permanent positions are eligible for leaves of absence without pay under the provisions of this Section.
- (2) Granting of Leaves of Absence Without Pay: An appointing authority may grant leaves of absence without pay for personal reasons up to a maximum of 2 biweekly pay periods.
- (3) Leaves of Absence Without Pay for Non-Job Incurred Illness or Injury: Leaves of absence without pay on account of illness or injury which are not job incurred may be granted for a maximum of 26 full biweekly pay periods. This includes disabilities caused or contributed to by pregnancy, miscarriage, abortion, childbirth, and recovery

therefrom. Such leaves will be granted only after all accrued sick leave credits have been used and must be substantiated by a physician's statement.

- (4) Leaves of Absence Without Pay for Personal Reasons: Leaves of absence without pay on account of personal reasons may be granted for a maximum period of 13 full biweekly pay periods. Such leaves shall only be granted after all accrued vacation and holiday credits have been used.
- (5) Parental Leave: Employees/parents of either sex may be granted a leave of absence without pay for the purpose of fulfilling parenting responsibilities during the period of one year following the child's birth, or one year following the filing of application for adoption and actual arrival of child in the home. Such leave shall be for maximum period of thirteen biweekly pay periods. Use of accrued vacation, sick, comp time or holiday credits shall not be a pre-condition for the granting of such parental leave.

#### 15.6 Military Leaves of Absence

The provisions of the Military and Veterans Code of the State of California shall govern military leave of County employees.

#### 15.7 Absence Due to Required Attendance in Court

Upon approval by the department head, any employee, other than extra help shall be permitted absence from duty for appearance in Court because of jury service, in obedience to subpoena or by direction of proper authority, in accordance with the following provisions:

- (1) Absence from duty will be with full pay for each day the employee serves on the jury or testifies as a witness in a criminal case, other than as a defendant, including necessary travel time. As a condition of receiving such full pay, the employee must, within 15 days of receipt, remit to the County Treasurer through the department head all fees received except those specifically allowed for mileage and expenses.
- (2) Attendance in Court in connection with an employee's usual official duties or in connection with a case in which the County of San Mateo is a party, together with travel time necessarily involved, shall not be considered absence from duty within the meaning of this Section.
- (3) Absence from duty will be without pay when the employee appears in private litigation to which the County of San Mateo is not a party.
- (4) Any fees allowed, except for reimbursement of expenses incurred, shall be remitted to the County Treasurer through the employee's department head.

#### 15.8 Absence Without Leave

- (1) Refusal of Leave or Failure to Return After Leave: Failure to report for duty after a leave of absence request has been disapproved, revoked, or canceled by the appointing authority, or at the expiration of a leave, shall be considered an absence without leave.
- (2) Absence Without Leave: Absence from duty without leave for any length of time without an explanation satisfactory to the appointing authority is cause for dismissal. Absence without leave for 4 or more consecutive days without an explanation satisfactory to the appointing authority shall be deemed a tender of resignation. If

within 30 days after the first day of absence without leave a person who has been absent makes an explanation satisfactory to the Board of Supervisors, the Board may reinstate such person.

#### 15.9 Educational Leave of Absence With Pay

Educational leave of absence with pay may be granted to employees under the conditions specified in this Section. In order to be granted educational leave of absence with pay an employee must submit on the prescribed form a request to the appointing authority containing all information required to evaluate the request.

The County may, after approval of an employee's application, grant leave of absence with pay for a maximum of 65 working days during any 52 biweekly pay periods for the purpose of attending a formal training or educational course of study. Eligibility for such leaves will be limited to employees with at least 13 biweekly pay periods of continuous service and who are not extra help, or temporary. Such leaves will be granted only in cases where there is a reasonable expectation that the employee's work performance or value to the County will be enhanced as a result of the course of study. Courses taken as part of a program of study for a college undergraduate or graduate degree will be evaluated individually for job-relatedness under the above-described criteria.

The employee must agree in writing to continue working for the County for at least the following minimum periods of time after expiration of the leave of absence:

<u>Length of Leave of Absence</u>	<u>Period of Obligated Employment</u>
44 to 65 workdays	52 biweekly pay periods
22 to 43 workdays	26 biweekly pay periods
6 to 21 workdays	13 biweekly pay periods

### **Section 16. Hospitalization and Medical Care**

16.1 The County and covered employees share in the cost of health care premiums. The County will pay 90% of the total premium for the Kaiser and Aetna Plans and 80% of the total premium for the Blue Shield Plan. Covered employees will pay 10% of the total premium for the Kaiser and Aetna Plans and 20% of the total premium for the Blue Shield Plan.

16.2 For County employees occupying permanent part-time positions, who work a minimum of forty, but less than sixty hours in a biweekly pay period, the County will pay one-half of the hospital and medical care premiums described above.

For County employees occupying permanent part-time positions who work a minimum of sixty, but less than eighty hours in a biweekly pay period, the County will pay three-fourths of the hospital and medical care premiums described above.

In either case cited above the County contribution shall be based on the designation by management of the position as either half-time or three-quarter time, not on the specific number of hours worked.



- 16.3 Unless otherwise provided in this MOU, employees whose employment with the County is severed by reason of retirement during the term of this MOU shall be reimbursed by the County for the unused sick leave at time of retirement on the following basis:

For each 8 hours of unused sick leave at time of retirement, the County shall pay for one month's premium for health or dental coverage for the employee and eligible dependents (if such dependents are enrolled in the plan at the time of retirement) provided that the County shall not be obligated to contribute in excess of \$140.00 per month for the retired employee. The \$140 rate shall be increased to \$145 for employees who retire on or after October 1, 2000, to \$150 for employees who retire on or after October 1, 2001, to \$160 for employees who retire on or after March 1, 2003, and to \$165 for workers who retire on or after November 7, 2004.

For employees who retire with 45% or more of the total amount of sick leave they could have accrued during their career with the County of San Mateo, the conversion rates in the paragraph above shall be changed to \$160 effective October 17, 1999, \$165 effective October 1, 2000, \$170 effective October 1, 2001, \$190 effective March 1, 2003, and \$195 effective November 7, 2004.

Effective March 1, 2003, employees may increase the number of hours per month to be converted up to a maximum of 14 hours of sick leave per month. Such conversion may be in one full hour increments above a minimum of 8 hours (e.g., if an employee who retires at the time the conversion rate is \$160 per 8 hours elects to convert 12 hours per month, he/she would be reimbursed \$240 instead of \$160). The number of hours to be converted shall be set upon retirement and can be changed annually during open enrollment, or upon a change in family status that impacts the number of covered individuals (e.g., death of spouse, marriage and addition of spouse).

Should a retired employee die while receiving benefits under this section, the employee's spouse and eligible dependents shall continue to receive coverage to the limits provided above.

The County will provide up to a maximum of 192 hours of sick leave (2 years of retiree health coverage) to employees who receive a disability retirement. For example, if an employee who receives a disability retirement has 100 hours of sick leave at the time of retirement, the County will add another 92 hours of sick leave to his/her balance. This sick leave will be converted at the rate of \$140 per 8 hours of sick leave effective October 17, 1999, \$145 effective October 1, 2000, \$150 effective October 1, 2001, \$160 effective March 1, 2003, and \$165 effective November 7, 2004.

Employees who waive retiree health/dental coverage including COBRA rights, may upon retirement, convert each 8 hours of accrued sick leave for \$100. Should this cashout be determined, either through legislative or judicial action, to constitute compensation earnable for retirement purposes, this provision shall become null and void and the parties will meet to determine if another form of compensation for cashing out sick leave which is not considered compensation earnable for retirement purposes can be mutually agreed upon.

- 16.4 The surviving spouse of an active employee who dies may, if he/she elects a retirement allowance, convert the employee's accrued sick leave to the above specified limits providing that the employee was age 55 or over with at least twenty years of continuous service.
- 16.5 The County will include coverage for young adult dependents and domestic partners in the health plans offered by the County.
- 16.6 The County shall continue its practice of calculating employee contributions for health and dental premiums on a pre-tax basis consistent with Section 125 of the IRS Code.

### **Section 17. Vision Care**

The County shall contribute an amount necessary to provide the current vision care benefits plan for the individual employee and eligible dependents including adult dependents and domestic partners and the children and young adult dependents of domestic partners. The County will pay the entire premium for this coverage. \$10.00 co-pays exist for both examinations and materials.

### **Section 18. Dental Care**

- 18.1 The County shall contribute a sum equal to 90% of the premium for the County Plan and the Delta Dental Plan. All employees must participate in one of these plans.
- 18.2 During an employee's first year of employment with the County, there shall be a cap on County Dental Plan coverage consisting of \$100.00 deductible and 60% U.C.R.
- 18.3 There is an annual \$2500.00 per person maximum benefit in the County Dental Plan.
- 18.4 Young adult dependents and domestic partners are included in the County dental plan.

### **Section 19. Change in Employee Benefit Plans**

- 19.1 During the term of this MOU, the County and PDA shall investigate the feasibility of offering medical plan(s) alternate or in addition to those now being offered.
- 19.2 Agreements reached as part of the Health Care Cost Containment Committee may be implemented outside of negotiations if employee organizations representing a majority of employees agree, providing, however, all employee organizations are given an opportunity to meet and confer regarding such agreements.

### **Section 20. Life Insurance**

- 20.1 Employees shall be covered by life insurance and accidental death insurance as follows:

The County shall provide \$12,000 of life insurance for each employee, \$500 of life insurance for the employee's spouse and up to a maximum of \$500 of life insurance for each of the employee's children, depending on ages.

The County shall provide an additional \$10,000 of life insurance payable to the employee's beneficiary if the employee's death results from an accident either on or off the job.

- 20.2 New employees are guaranteed the option to purchase \$50,000 in supplemental life insurance. Above \$50,000, questionnaire/ physical are required. Current employees with \$32,000 are guaranteed \$32,000 in supplemental life insurance and may purchase more with questionnaire/physical. Current employees with no coverage may purchase any amount with questionnaire/physical. Maximum amount is \$250,000. Amounts may be purchased in \$10,000 increments. Employees may also purchase supplemental life insurance for dependents in Two Thousand Dollar increments up to \$10,000. A dependent spouse may be insured for up to a maximum of \$125,000.

## **Section 21. Income Protection Insurance**

The County shall continue to provide its present long-term income protection plan for permanent employees at no cost to said employees; provided that in order to be eligible, employees must have been employed by the County for three or more years. The maximum monthly salary cap is \$2000. This maximum monthly salary cap shall be increased to \$2400 for new benefits beginning October 17, 1999. Coverage is extended to all otherwise qualified permanent employees. Benefits for psychiatric disabilities that result from stress, depression or other life events is restricted to two years. However, a disability resulting from certain chronic psychotic disorders or a disorder with demonstrable organic brain deficits can qualify for benefits payable up to the age of sixty-five.

Effective with disabilities commencing on or after January 1, 1988, the 120 day disability period required to qualify for long term income protection shall no longer require continuous disability but shall be cumulative for any single medically verified illness or injury within a period of six full months from the date of the disability's onset. The onset date shall be defined as the first workday the employee was unable to work.

## **Section 22. Probationary Period**

- 22.1 Probationary employees shall undergo a probationary period of 1040 regular hours, unless a longer period, not to exceed 2080 regular hours is prescribed by the Civil Service Commission for their classifications. Individual probationary periods may be extended with good cause upon request of the department head and concurrence of the Employee and Public Services Director; however, no probationary period shall exceed 2080 regular hours. If an employee is incapacitated due to medical conditions and is reassigned to work that is not part of the employee's normal duties, the probation period for the primary job will be extended for the duration of the reassignment. The employee shall be notified in writing of the probationary extension at the time of the reassignment.

Time worked by an employee in a temporary, extra help, or provisional status shall not count towards completion of the probationary period. The probationary period shall start from the date of probationary appointment.

- 22.2 An employee who is not rejected prior to the completion of the prescribed probationary period shall acquire permanent status automatically. Former permanent employees appointed from a reemployment eligible list shall be given permanent appointments when re-employed.

Permanent employees who are demoted to lower classifications shall be given permanent appointments in the lower classifications.

- 22.3 An employee who is laid off and subsequently appointed as a result of certification from a general employment eligible list to a position in a different classification than that from which laid off shall undergo the probationary period prescribed for the class to which appointed. Former probationary employees whose names were placed on a reemployment eligible list before they achieved permanent status shall start a new probationary period when appointed from a reemployment eligible list.

- 22.4 The appointing authority may terminate a probationary employee at any time during the probationary period without right of appeal in any manner and without recourse to the procedures provided in Section 30, except when the employee alleges that the termination was due to discrimination prohibited by county, state, or federal statutes or regulations. If discrimination is alleged, the appeal or grievance shall be decided solely on the basis of whether or not the termination was due to discrimination; and unless it is determined that there was discrimination, the person or persons hearing the appeal or grievance shall not substitute their judgment for that of the appointing authority. In case of rejections during probationary periods, employees shall be given written notice, with reasons therefor, at once.

The EPS Director may, upon request by an employee rejected during the probationary period, restore that employee's name to the eligible list for that classification. However, the employee's name shall not be certified to the department from which rejected without approval of the department head.

- 22.5 Permanent employees who transfer to another position in the same classification shall not be required to undergo a new probationary period in the position into which transferred. Employees who transfer to a class in another series or in another department may be required by the department head to start a new probationary period. At the discretion of the EPS Director, examinations to demonstrate qualifications may be required before transfers between separate classes can occur.

If a new probationary period is in force, the employee shall have a window period of twenty-eight days from the date of transfer to elect to return to his/her former position. Should an employee be rejected at a point beyond the window period, he/she shall have the right to return to their former department if a vacancy in their former classification exists. If no vacancy exists, such employees shall be placed in the longest standing vacancy, as determined by the requisition form date, County-wide. If no vacancy exists, such employees shall displace the least senior employee as determined by Rule XVI. If no less senior position exists, then the employee shall be removed from County service.

## **Section 23. Promotion**

- 23.1 Examinations

- (1) Open Exams: Any person meeting the minimum qualifications for the job class may compete.
- (2) General Promotional Exams: Permanent and probationary employees who have served at least six months in such status prior to the date of the exam are eligible to compete. Persons who have been laid off and whose names are on a reemployment list are also eligible provided they had served at least six months prior to layoff.
- (3) Departmental Promotional Exams: Permanent and probationary employees of the department in which a promotional opportunity exists who have served at least six months in such status prior to the date of the exam are eligible to compete. Persons who have been laid off and whose names appear on the appropriate departmental reemployment eligible list are also eligible provided they had served at least six months prior to layoff.
- (4) Open and Promotional Exams: Any person meeting the minimum qualifications for the job class may compete. In addition, any person competing in this type of an exam who meets the criteria described in (2) above, shall have 5 points added to the final passing score.
- (5) Qualifying Exams: Qualifying exams may be given to probationary and permanent employees for specifically designed position reclassifications, transfers, demotions, and alternately staffed classifications.

The name of an employee who has successfully passed a qualifying exam shall be placed on the eligible list for the class for which examined. The Director may place the an employee on such eligible list with or without an exam score. If an exam score is assigned, such employee's rank on that eligible list shall be based on the exam score, as determined by the Director. Such exams shall not require the publication of an examination notice.

- (6) Veterans preference shall not apply to promotional exams.

### 23.2 Promotional Eligible Lists

- (1) General Promotional Eligible Lists: The names of applicants successful in general promotional exams shall be placed on general promotional eligible lists for the classes examined.
- (2) Departmental Promotional Eligible Lists: The names of applicants successful in departmental promotional exams shall be placed on departmental promotional eligible lists for the classes examined.
- (3) These lists shall take precedence over General Eligible Lists.
- (4) If, at the time of termination, an employee's name appears on a promotional eligible list his/her name shall be removed from the promotional list and placed on the open competitive eligible list for that classification in accordance with his/her final score.

### 23.3 Probationary Period

Permanent employees who are promoted to a higher class shall undergo the probationary period prescribed for the higher class, but shall have the right to demote to their former class in their

former department if rejected during their probationary period if a vacancy in their former class exists, except that trainees who are promoted to journey level classes shall not have the right to revert to their former trainee class if rejected during the probationary period. If no vacancy exists, such employees shall be placed in the longest standing vacancy, as determined by the requisition form date, County-wide. If no vacancy exists, such employees shall displace the least senior employee as determined by Rule XVI. If no less senior position exists, then the employee shall be removed from County service.

## **Section 24. Scheduled Workday**

Unit employees will not have a scheduled workday reduced in whole or in part to compensate for time which they are ordered to work in excess of another regularly scheduled workday.

## **Section 25. Geographical Displacement**

When organizational changes result in geographical displacement of an employee, the department head shall establish criteria for the selection of employees to be relocated based on his/her determination of the needs of the department. The department head shall consider such job-related factors as he/she deems appropriate including, but not limited to, experience in the particular work, length of service in the classification, and special skills possessed by employees.

## **Section 26. Dismissal, Suspension, or Demotion for Cause**

The appointing authority may dismiss, suspend or demote any employee in the classified service provided the rules and regulations of the Civil Service Commission are followed.

Any employee may either appeal such dismissal, suspension or demotion to the Civil Service Commission or file a grievance in accordance with Section 30.2. Appeal to the Civil Service Commission must be filed within the timelines established by the Commission Rules. Grievances filed in accordance with subsection 30.2 must be filed within fourteen calendar days after receipt of written charges. No grievance involving demotion, suspension or dismissal of an employee will be entertained unless it is filed in writing with Employee Relations within fourteen calendar days of the time at which the affected employee was notified of such action. An employee may not both appeal to the Civil Service Commission and file a grievance under subsection 30.2 of this MOU.

A permanent classified employee may be dismissed, suspended or demoted for cause only.

## **Section 27. Change of Assigned Duties**

No employee shall be required regularly to perform duties of a position outside of the class to which appointed. However, employees may be assigned temporarily duties outside their class. In addition, under the conditions described in the Civil Service Commission Rules, department heads may temporarily assign employees whatever duties are necessary to meet the requirements of an emergency situation.

## **Section 28. Pay for Work-Out-of-Classification**

When an employee has been assigned in writing by the department head or his/her representative to perform the work of a permanent position having a different class and being paid at a higher rate, and if he/she has worked in such class for more than five consecutive work days (or four consecutive workdays for employees on a "4/10" shift), he/she shall be entitled to payment for the higher class as prescribed for promotions in subsection 5.6, starting on the sixth workday and continuing during the period of temporary assignment, under the conditions specified below:

- (1) The assignment is caused by the absence of the incumbent;
- (2) The employee performs the duties regularly performed by the absent incumbent, and these duties are clearly not included in the job description of his/her regular class;
- (3) The temporary assignment to work out of class which extends beyond twenty (20) workdays must be approved by the Employee and Public Services Director, a copy of the approval form to be given to the employee; and
- (4) A copy of the department head's written approval must be submitted in advance to the Employee and Public Services Director. If the Director determines that he/she will not approve pay for work in the higher class which exceeds twenty (20) workdays, the employee will be so notified and have the opportunity to discuss this matter with the Director whose decision shall be final.

## **Section 29. Reallocation of Position**

Upon reclassification of filled positions the Employee and Public Services Director shall determine whether the action constitutes an upward, lateral or downward movement of the level of the position.

- (1) **Downward:** The incumbent will be assigned to a vacant position in the same department in the same class previously held. In lieu of reassignment, the incumbent may accept a demotion in the reallocated position. If neither of these options are exercised, the lay off procedure in the Civil Service rules will be employed.
- (2) **Lateral:** The status of the incumbent will remain unchanged in the class to which the position is reallocated.
- (3) **Upward:** The Employee and Public Services Director will grant status to the incumbent when either:
  - 1) there has been no essential change in the duties and responsibilities of the position during the individual's incumbency; or
  - 2) there has been a gradual change in the duties and the incumbent has satisfactorily performed the higher level tasks for at least six months. If neither of the conditions listed above exist, the incumbent may be transferred, demoted, laid off or compete for the reallocated position as specified in the Civil Service Rules.

## **Section 30. Grievances**

30.1 A grievance is any dispute which involves the interpretation or application of any provision of this MOU excluding, however, those provisions of this MOU which specifically provide that the decision of any County official shall be final, the interpretation or application of those provisions not being subject to the grievance procedure. Any grievance will be terminated once an EEO complaint is filed on the issue being grieved.

30.2 Grievances shall be processed in the following manner:

(a) Step 1. Department Head and/or the Designated Representative

Any employee who believes that he/she has a grievance may discuss his/her complaint with such management official as his/her department head may designate. If the issue is not resolved within the department, or if the employee elects to submit his/her grievance directly to PDA, the procedures hereinafter specified may be invoked, provided, however, that all complaints involving or concerning the payment of compensation shall be in writing to Employee Relations.

(b) Step 2. Employee Relations

Any employee or official of PDA may notify Employee Relations in writing that a grievance exists, stating the particulars of the grievance and, if possible, the nature of the remedy desired. Such notification must be received within twenty-eight calendar days from the date of the employee's knowledge of an alleged grievance. Any grievance involving demotion, suspension or dismissal must be received within fourteen calendar days of the above specified action. Employee Relations or a designated representative who in the case of a grievance alleging discrimination shall be the EEO Coordinator shall have twenty workdays to investigate the merits of the complaint, to meet with the complainant, and, if the complainant is not PDA, to meet also with the officials of PDA and to settle the grievance. No grievance may be processed under paragraph (c) below which has not first been filed and investigated in accordance with this paragraph (b).

(c) Step 3. Adjustment Board

If the parties are unable to reach a mutually satisfactory accord on any grievance which arises and is presented during the term of this MOU, PDA may advance the grievance to an Adjustment Board by submitting a written request to Employee Relations within twenty working days from the date that the grievance is denied at Step 2. The Adjustment Board shall be comprised of two representatives designated by PDA and two representatives designated by the County.

(d) Step 4. Arbitration

If an Adjustment Board is unable to arrive at a majority decision, the issue may be advanced to arbitration, if within twenty (20) working days of the date upon which the Adjustment Board hearing was held, the moving party notifies the other in writing of its desire to arbitrate. The question shall be submitted to an arbitrator mutually agreed upon by the parties. The fees and expenses of the arbitrator and of the Court Reporter shall be shared equally by PDA and the County. Each party, however, shall bear the costs of its own presentation, including preparation and post hearing briefs, if any.

30.3 Scope of Adjustment Board and Arbitration Decisions



- (a) Decisions of Adjustment Boards and arbitrators on matters properly before them shall be final and binding on the parties, to the extent permitted by County Charter.
- (b) No adjustment Board and no arbitrator shall entertain, hear, decide or make recommendations on any dispute unless such dispute involves a position in a unit represented by PDA and unless such dispute falls within the definition of a grievance as set forth in subsection 30.1.
- (c) Proposals to add to or change this MOU or written agreements or addenda supplementary hereto shall not be arbitrable and no proposal to modify, amend, or terminate this MOU, may be referred to arbitration under this Section. Neither any Adjustment Board nor any arbitrator shall have the power to amend or modify this MOU or written agreements or addenda supplementary hereto or to establish any new terms or conditions of employment.
- (d) If Employee Relations in pursuance of the procedures outlined in subsection 30.2 (b) above, or the Adjustment Board in pursuance of the provisions of subsection 30.2 (c) above resolve a grievance which involves suspension or discharge, they may agree to payment for lost time or to reinstatement with or without payment for lost time.

#### 30.4 Compensation Complaints

All complaints involving or concerning the payment of compensation shall be initially filed in writing with Employee Relations. Only complaints which allege that employees are not being compensated in accordance with the provisions of this MOU shall be considered as grievances.

Any other matters of compensation are to be resolved in the meeting and conferring process if not detailed in the MOU which results from such meeting and conferring process shall be deemed withdrawn until the meeting and conferring process is next opened for such discussion.

No adjustment shall be retroactive for more than sixty (60) days from the date upon which the complaint was filed.

No change in this MOU or interpretations thereof (except interpretations resulting from Adjustment Board or arbitration proceedings hereunder) will be recognized unless agreed to by the County and PDA.

#### 30.5 No Strike

PDA, its members and representatives, agree that it and they will not engage in, authorize, sanction, or support any strike, slowdown, stoppage of work, curtailment of production, concerted refusal of overtime work, refusal to operate designated equipment (provided such equipment is safe and sound) or to perform customary duties; and neither PDA nor any representatives thereof shall engage in job action for the purpose of effecting changes in the directives or decisions of county management, nor to effect a change of personnel or operations of management or of employees not covered by this MOU.

#### 30.6 County Charter and Civil Service Commission

- (a) The provisions of this Section shall not abridge any rights to which the employee may be entitled under the County Charter, nor shall it be administered in a manner which would abrogate any power which, under the County Charter may be within the sole province and discretion of the Civil Service Commission.

- (b) All grievances of employees in representation units represented by PDA shall be processed under this Section. If the County Charter requires that a differing option be available to the employee, no action under paragraph (b) of subsection 30.2 above shall be taken unless it is determined that the employee is not availing himself/herself of such option.
- (c) No action under paragraph (b) of subsection 30.2 above shall be taken if action on the complaint or grievance has been taken by the Civil Service Commission, or if the complaint or grievance is pending before the Civil Service Commission.
- (d) If any award by an Adjustment Board or arbitrator requires action by the Board of Supervisors or the Civil Service Commission before it can be placed in effect, the County Manager and the Employee and Public Services Director will recommend to the Board of Supervisors or the Civil Service Commission, as appropriate, that it follow such award.

### **Section 31. Tuition Reimbursement**

The County may reimburse an employee for tuition and related fees paid for taking courses of study in an off-duty status if the subject matter content of the course is closely related to the employee's present or probable future work assignments. Limits to the amount of reimbursable expense may be set by the Employee and Public Services Director with concurrence of the County Manager. There must be a reasonable expectation that the employee's work performance or value to the County will be enhanced as a result of the course of study. Courses taken as part of a program of study for a college undergraduate or graduate degree will be evaluated individually for job relatedness under the above-described criteria. The employee must both begin and successfully complete the course while employed by the County.

The employee must submit an application on the prescribed form to his/her department head with all information needed for an evaluation of the request. The department head shall recommend approval or disapproval and forward the request to the Employee and Public Services Director whose decision shall be final. In order to be reimbursed the employee's application must have been approved before enrolling in the course. If a course is approved and later found to be unavailable, a substitute course may be approved after enrollment. Upon completion of the course, the employee must submit to Employee and Public Services a request for reimbursement accompanied by a copy of the school grade report or a certificate of completion. The Employee and Public Services Department shall, if the employee satisfactorily completes the course, forward it to the Controller for payment. Reimbursement may include the costs of tuition and related fees, but may not include costs of books or materials which become the property of the employee.

### **Section 32. Application of Differential**

Employees who have been regularly working a shift described in Section 8 for 30 or more days immediately preceding a paid holiday, or the commencement of a vacation, or the commencement of a paid sick leave period, or compensatory time off, as the case may be, the applicable differential shall be included in such employee's holiday pay, vacation pay, paid sick leave or paid compensatory time. The vacation, sick leave, holiday and compensatory time off -

pay of an employee on a rotating shift shall include the differential such employee would have received had he/she been working during such period.

### **Section 33. Bilingual Pay**

A salary differential of \$35.00 biweekly shall be paid incumbents or positions requiring bilingual proficiency as designated by the appointing authority and Employee and Public Services Director. Bilingual pay for employees carrying a caseload at least 50% of which is comprised of non-English speaking clients shall be \$45.00 biweekly. Said differential shall be prorated for employees working less than full-time or in an unpaid leave of absence status for a portion of any given month. Designation of positions for which bilingual proficiency is required is the sole prerogative of the County and the decision of the Employee and Public Services Director is final. PDA shall be notified when such designations are made. Effective October 14, 2001, the \$35.00 differential shall be increased to \$40.00 and the \$45.00 differential shall be increased to \$50.00. Effective November 7, 2004, these biweekly differentials shall be increased to \$42.50 and \$55.00.

### **Section 34. Safety Retirement**

#### **34.1 Safety Retirement Plans**

- (1) For those employees hired before July 13, 1997, and who moved from the General to Safety Retirement Plan the Safety Retirement schedule of benefits shall be based on the employee's single highest year salary and they shall have the maximum COLA capped at 3% per year.
- (2) For those employees hired into covered classifications after July 13, 1997, they shall be placed in the Safety Retirement Plan, their retirement schedule of benefits shall be based on the employee's average salary of the highest 36 consecutive months rather than single highest year and their Plan 2 COLA will be capped at 2% rather than 3%.
- (3) It is expressly understood that safety membership in the retirement plan has been agreed upon on the condition that Social Security benefits need not be paid by the County on behalf of the employees.

In the event that federal or decisional law shall mandate the granting to employees of benefits under the Social Security Act which duplicate, supplement, or otherwise impinge upon retirement benefits or other terms and conditions of employment set forth herein, the parties hereto shall meet and confer with regard to such benefit or other term and condition of employment, as soon as feasible, in order to assure that the federal or decisional mandate does not result in an overall increase of benefits to employees which would result in an overall increase in cost to the County.

#### **34.2 Safety Retirement Enhancements**

- (1) The County agrees to implement the 3% @ 55 safety retirement benefit consistent with Government Code section 31664.2 effective July 6, 2003. Implementation is contingent on reaching agreement with the Deputy Sheriff's Association (DSA) on this change or on enabling legislation described in paragraph (2) below.

- (2) The County and PDA agree to jointly support enabling legislation to allow retirement benefit changes to be implemented by individual bargaining units. Notwithstanding paragraph 1, if such legislation is enacted, the 3% @ 55 safety retirement benefit will be implemented the fourth pay period after the legislation, but in no event, sooner than July 6, 2003.
- (3) The County agrees to implement the 3% @ 50 safety retirement benefit consistent with Government Code section 31664.1 effective January 1, 2005. This change is contingent on agreement with the DSA as referenced in paragraph 1 above or upon enactment of legislation as referenced in paragraph 2 above.
- (4) With respect to any benefit enhancement pursuant to this MOU, it is agreed that:
- a. The benefit enhancement under Government Code section 31664.2 shall be applicable only to those safety employees who retire after the County's implementation of section 31664.2. The benefit enhancement under Government Code section 31664.1 shall be applicable only to those safety employees who retire after the County's implementation of section 31664.1.
  - b. The enhancement will apply to all future safety service and all safety service back to the date of employment pursuant to the Board of Supervisor's authority under to Government Code section 31678.2 (a). The members who have both general and safety service time will pay the increase in contributions set forth in subparagraph (5) below, rather than the contributions set forth in section 35.2.
- (5) Government Code section 31678.2(b) authorizes the collection, from employees, of all or part of the contributions by a member or employer or both, that would have been required if either section 31664.1 or 31664.2 had been in effect during the time period specified in the resolution adopting either section 31664.1 or 31664.2, and that the time period specified in the resolution will be all future and past safety service back to the date of employment. Based upon this understanding and agreement, and independent of the provisions of Section 36 of this Memorandum of Understanding, the PDA agrees that all represented employees will share in the cost of the enhanced retirement benefits as follows:
- Effective October 12, 2003, all safety employees shall contribute 1.5% of compensation earnable as defined in SamCERA regulations.
  - Effective January 4, 2004, all safety employees shall contribute an additional 1% (2.5% total) of compensation earnable as defined in SamCERA regulations.
  - Effective January 2, 2005, all safety employees shall contribute an additional 1% (3.5% total) of compensation earnable as defined in SamCERA regulations.
  - These contributions will not be reduced by the employer pick-up described in Section 36 of this MOU.

The County will pay a general wage increase of pay as set forth in Section 8.1 of this MOU, and it is understood and agreed that this wage increase will help employees pay the increased retirement contributions set forth above.

## **Section 35. General Retirement**

### **35.1 General Retirement Plan**

For those employees who currently have some or all of their service time in the General Retirement Plan, the following will apply:

- (1) Employees in the non-contributory retirement plan, also known as Plan 3, and who have five years of service, shall have the opportunity to transfer to the contributory retirement plan, also known as Plan 2 or Plan 4 (depending on original date of hire). This change in plans covers future service only. Employees will have a one time option to make such a transfer and the transfer decision can be made at any point after five years of service. The selection must be made in writing and is irrevocable.
- (2) Retirement Plan 2 participants will be eligible for a maximum annual cost of living adjust to the retirement benefit of 3% per year. There is no "banking" or "roll-over" of any cost of living adjustment in excess of the annual adjustment.

For employees hired on or after July 13, 1997, the Plan 2 COLA will be limited to 2% per year and retirement will be calculated based on average salary for the thirty-six consecutive highest months rather than single highest year.

Implementation of these improvements to the retirement plans shall be made in accordance with the policies and practices of the Retirement Board and any disputes relative to implementation shall be settled by the Retirement Board, whose decision shall be final.

### **35.2 General Retirement Plan Enhancements**

- (1) The County will implement the 2%@55 retirement enhancement (Government Code Section 31676.16) for employees in the General Retirement Plan on or before September 7, 2003. This implementation is contingent on reaching agreement with all employee organizations representing employees in the General Retirement Plan or on enactment of legislation enabling implementation of such benefits by individual bargaining unit.
- (2) The County will implement the 2%@55.5 retirement enhancement (Government Code Section 31676.14) for employees in the General Retirement Plan in March, 2005. This implementation is contingent on enactment of legislation enabling the determination of member contribution rates to be based upon an annuity of 1/120 of final pay per year of service at age 55. This implementation is further contingent on reaching agreement with all employee organizations representing employees in the General Retirement Plan or on enactment of legislation enabling implementation of such benefits by individual bargaining unit.
- (3) The benefit enhancement under Government Code section 31676.16 (2%@55) shall be applicable only to those employees who retire after the County's implementation of section 31676.16. The benefit enhancement under Government Code section 31676.14 (2% @55.5)

shall be applicable only to those employees who retire after the County's implementation of section 31676.14.

- (4) The enhancement will apply to all future general service and all general service back to the date of employment pursuant to the Board of Supervisor's authority under Government Code section 31678.2 (a). Government Code section 31678.2(b) authorizes the collection, from employees, of all or part of the contributions by a member or employer or both, that would have been required if either section 31676.16 or 31676.14 had been in effect during the time period specified in the resolution adopting either section 31676.16 or 31676.14, and that the time period specified in the resolution will be all future and past general service back to the date of employment. Based upon this understanding and agreement, employees will share in the cost of the 31676.16 or 31676.14 enhancements through increased retirement contributions by way of payroll deductions as follows:
- Effective August 30, 2003, employees shall contribute 1% of compensation earnable as defined in SamCERA regulations
  - Effective August 28, 2004, employees shall contribute an additional 1% compensation earnable as defined in SamCERA regulations for a total of 2%
  - Effective March 13, 2005, employees shall contribute an additional 1% of compensation earnable as defined in SamCERA regulations compensation earnable as defined in SamCERA regulations for a total of 3%
  - These contributions will not be reduced by the employer pick-ups described below in Section 36.
  - Employees with "mixed" general and safety time shall not contribute in accordance with the schedule set forth above but rather shall contribute in accordance with the schedule set forth in section 34.2.
  - The County will pay a general wage increase of pay as set forth in section 8.1, and it is understood and agreed that this wage increase will help employees pay the increased retirement contributions set forth above.

Employees who are employed prior to the effective date of the implementation of section 31676.16 (2% @55) and who retire before the implementation date of section 31676.14 (2% @55.5) and who are eligible to receive a higher benefit under the current formula set forth in section 31676.1, will receive the higher benefit and a refund of any increase in contributions plus interest resulting from the implementation of Government Code section 31676.16.

### **Section 36. Retirement Contributions**

Effective October 12, 2003, for all represented employees, the County shall assume 15% of the employee's retirement contributions. This pick-up of the employee's retirement contributions will not reduce contributions required under Sections 34.2 or 35.2 above.

### **Section 37. Separability of Provisions**

In the event that any provision of this MOU is declared by a court of competent jurisdiction to be illegal or unenforceable, that provision of the MOU shall be null and void but such nullification shall not affect any other provisions of this MOU, all of which other provisions shall remain in full force and effect.

### **Section 38. ~~Past Practices~~**

Continuance of working conditions and practices not specifically authorized by ordinance or by resolution of the Board of Supervisors is not guaranteed by this MOU.

Made and entered into this 18th day of February 2003.

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Mike McLaughlin  
*Teamsters Local 856*

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John Maltbie  
*County Manager*

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David Anderson  
*PDA President*

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Mary Welch  
*Employee and Public Services*

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Joe Martinelli  
*PDA*

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Tim Sullivan  
*Employee Relations*

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Vicky Fought  
*PDA*

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Michael Stauffer  
*Probation Department*

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Dudley Green  
*PDA*

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Robert Juergens  
*PDA*



## **EXHIBIT A**

Deputy Probation Officer III's who are assigned lead responsibilities shall receive premium pay at the rate of one step of their salary in addition to all other compensation. This premium is limited to 30 DPO III's at any one time and DPO III's accepting the lead assignment agree that they can be transferred anywhere within the Department without regard to the Department Transfer Policy.

## **EXHIBIT B: PDA-Probation and Detention Salary Schedule**

### **Salaries 10/17/1999**

<b>Class Code</b>	<b>Class Title</b>	<b>Range</b>	<b>A</b>	<b>B</b>	<b>C</b>	<b>D</b>	<b>E</b>
C001	DEPUTY PROB OFFCR I	24.08	\$1,540.80	\$1,629.60	\$1,723.20	\$1,821.60	\$1,926.40
B119	DEPUTY PROB OFFCR I-U	24.08	\$1,540.80	\$1,629.60	\$1,723.20	\$1,821.60	\$1,926.40
C002	DEPUTY PROB OFFCR II	26.87	\$1,720.00	\$1,818.40	\$1,922.40	\$2,032.80	\$2,149.60
B118	DEPUTY PROB OFFCR II-U	26.87	\$1,720.00	\$1,818.40	\$1,922.40	\$2,032.80	\$2,149.60
C003	DEPUTY PROB OFFCR III	28.44	\$1,820.00	\$1,924.80	\$2,035.20	\$2,152.00	\$2,275.20
B117	DEPUTY PROB OFFCR III-U	28.44	\$1,820.00	\$1,924.80	\$2,035.20	\$2,152.00	\$2,275.20
C004	GROUP SUPERVISOR I	19.70	\$1,260.80	\$1,332.80	\$1,409.60	\$1,490.40	\$1,576.00
C005	GROUP SUPERVISOR II	22.22	\$1,422.40	\$1,504.00	\$1,589.60	\$1,680.80	\$1,777.60
C006	GROUP SUPERVISOR III	24.71	\$1,581.60	\$1,672.00	\$1,768.00	\$1,869.60	\$1,976.80

### **Salaries 10/15/2000**

<b>Class Code</b>	<b>Class Title</b>	<b>Range</b>	<b>A</b>	<b>B</b>	<b>C</b>	<b>D</b>	<b>E</b>
C001	DEPUTY PROB OFFCR I	25.16	\$1,610.40	\$1,702.40	\$1,800.00	\$1,903.20	\$2,012.80
B119	DEPUTY PROB OFFCR I-U	25.16	\$1,610.40	\$1,702.40	\$1,800.00	\$1,903.20	\$2,012.80
C002	DEPUTY PROB OFFCR II	28.08	\$1,796.80	\$1,900.00	\$2,009.60	\$2,124.80	\$2,246.40
B118	DEPUTY PROB OFFCR II-U	28.08	\$1,796.80	\$1,900.00	\$2,009.60	\$2,124.80	\$2,246.40
C003	DEPUTY PROB OFFCR III	29.72	\$1,902.40	\$2,011.20	\$2,126.40	\$2,248.80	\$2,377.60
B117	DEPUTY PROB OFFCR III-U	29.72	\$1,902.40	\$2,011.20	\$2,126.40	\$2,248.80	\$2,377.60
C004	GROUP SUPERVISOR I	20.83	\$1,332.80	\$1,409.60	\$1,490.40	\$1,576.00	\$1,666.40
C005	GROUP SUPERVISOR II	23.50	\$1,504.00	\$1,590.40	\$1,681.60	\$1,777.60	\$1,880.00
C006	GROUP SUPERVISOR III	26.13	\$1,672.00	\$1,768.00	\$1,869.60	\$1,976.80	\$2,090.40

### **Salaries 10/14/2001**

<b>Class Code</b>	<b>Class Title</b>	<b>Range</b>	<b>A</b>	<b>B</b>	<b>C</b>	<b>D</b>	<b>E</b>
C001	DEPUTY PROB OFFCR I	26.54	\$1,698.40	\$1,796.00	\$1,899.20	\$2,008.00	\$2,123.20
B119	DEPUTY PROB OFFCR I-U	26.54	\$1,698.40	\$1,796.00	\$1,899.20	\$2,008.00	\$2,123.20
C002	DEPUTY PROB OFFCR II	29.62	\$1,896.00	\$2,004.80	\$2,119.20	\$2,240.80	\$2,369.60
B118	DEPUTY PROB OFFCR II-U	29.62	\$1,896.00	\$2,004.80	\$2,119.20	\$2,240.80	\$2,369.60
C003	DEPUTY PROB OFFCR III	31.35	\$2,006.40	\$2,121.60	\$2,243.20	\$2,372.00	\$2,508.00
B117	DEPUTY PROB OFFCR III-U	31.35	\$2,006.40	\$2,121.60	\$2,243.20	\$2,372.00	\$2,508.00
C004	GROUP SUPERVISOR I	22.08	\$1,412.80	\$1,494.40	\$1,580.00	\$1,670.40	\$1,766.40
C005	GROUP SUPERVISOR II	24.91	\$1,594.40	\$1,685.60	\$1,782.40	\$1,884.80	\$1,992.80
C006	GROUP SUPERVISOR III	27.70	\$1,772.80	\$1,874.40	\$1,982.40	\$2,096.00	\$2,216.00

**Salaries 10/13/2002**

<b>Class Code</b>	<b>Class Title</b>	<b>Range</b>	<b>A</b>	<b>B</b>	<b>C</b>	<b>D</b>	<b>E</b>
C004	GROUP SUPERVISOR	22.96	\$1,469.60	\$1,553.60	\$1,643.20	\$1,736.30	\$1,836.80
C005	GROUP SUPERVISOR II	25.91	\$1,658.40	\$1,753.60	\$1,853.60	\$1,960.00	\$2,072.80
C006	GROUP SUPERVISOR III	28.81	\$1,844.00	\$1,949.60	\$2,061.60	\$2,180.00	\$2,304.80

**Salaries 12/08/2002**

<b>Class Code</b>	<b>Class Title</b>	<b>Range</b>	<b>A</b>	<b>B</b>	<b>C</b>	<b>D</b>	<b>E</b>
C001	DEPUTY PROB OFFCR I	27.60	\$1,766.40	\$1,868.00	\$1,975.20	\$2,088.00	\$2,208.00
B119	DEPUTY PROB OFFCR I-U	27.60	\$1,766.40	\$1,868.00	\$1,975.20	\$2,088.00	\$2,208.00
C002	DEPUTY PROB OFFCR II	30.80	\$1,971.20	\$2,084.00	\$2,204.00	\$2,330.40	\$2,464.00
B118	DEPUTY PROB OFFCR II-U	30.80	\$1,971.20	\$2,084.00	\$2,204.00	\$2,330.40	\$2,464.00
C003	DEPUTY PROB OFFCR III	32.60	\$2,086.40	\$2,206.40	\$2,332.80	\$2,466.40	\$2,608.00
B117	DEPUTY PROB OFFCR III-U	32.60	\$2,086.40	\$2,206.40	\$2,332.30	\$2,446.40	\$2,608.00

**Salaries 10/12/2003**

<b>Class Code</b>	<b>Class Title</b>	<b>Range</b>	<b>A</b>	<b>B</b>	<b>C</b>	<b>D</b>	<b>E</b>
C001	DEPUTY PROB OFFCR I	2870	\$1,836.80	\$1,942.40	\$2,053.60	\$2,171.20	\$2,296.00
C002	DEPUTY PROB OFFCR II	3203	\$2,049.60	\$2,167.20	\$2,292.00	\$2,423.20	\$2,562.40
C003	DEPUTY PROB OFFCR III	3390	\$2,168.80	\$2,293.60	\$2,425.60	\$2,564.80	\$2,712.00
B117	DEPUTY PROB OFFCR III-U	3390	\$2,168.80	\$2,293.60	\$2,425.60	\$2,564.80	\$2,712.00
B118	DEPUTY PROB OFFCR II-U	3203	\$2,049.60	\$2,167.20	\$2,292.00	\$2,423.20	\$2,562.40
B119	DEPUTY PROB OFFCR I-U	2870	\$1,836.80	\$1,942.40	\$2,053.60	\$2,171.20	\$2,296.00
C004	GROUP SUPERVISOR I	2388	\$1,527.20	\$1,615.20	\$1,708.00	\$1,806.40	\$1,910.40
C005	GROUP SUPERVISOR II	2695	\$1,724.80	\$1,824.00	\$1,928.80	\$2,039.20	\$2,156.00
C006	GROUP SUPERVISOR III	2996	\$1,916.80	\$2,027.20	\$2,143.20	\$2,266.40	\$2,396.80
B135	GROUP SUPERVISOR III-U	2996	\$1,916.80	\$2,027.20	\$2,143.20	\$2,266.40	\$2,396.80
B120	GROUP SUPERVISOR II-U	2695	\$1,724.80	\$1,824.00	\$1,928.80	\$2,039.20	\$2,156.00
B116	GROUP SUPERVISOR I-U	2388	\$1,527.20	\$1,615.20	\$1,708.00	\$1,806.40	\$1,910.40

**Salaries 10/10/2004**

<b>Class Code</b>	<b>Class Title</b>	<b>Range</b>	<b>A</b>	<b>B</b>	<b>C</b>	<b>D</b>	<b>E</b>
C001	DEPUTY PROB OFFCR I	2985	\$1,910.40	\$2,020.00	\$2,136.00	\$2,258.40	\$2,388.00
C002	DEPUTY PROB OFFCR II	3331	\$2,131.20	\$2,253.60	\$2,383.20	\$2,520.00	\$2,664.80
C003	DEPUTY PROB OFFCR III	3526	\$2,256.80	\$2,386.40	\$2,523.20	\$2,668.00	\$2,820.80
B117	DEPUTY PROB OFFCR III-U	3526	\$2,256.80	\$2,386.40	\$2,523.20	\$2,668.00	\$2,820.80
B118	DEPUTY PROB OFFCR II-UN	3331	\$2,131.20	\$2,253.60	\$2,383.20	\$2,520.00	\$2,664.80
B119	DEPUTY PROB OFFCR I-U	2985	\$1,910.40	\$2,020.00	\$2,136.00	\$2,258.40	\$2,388.00
C004	GROUP SUPERVISOR I	2484	\$1,588.80	\$1,680.00	\$1,776.80	\$1,879.20	\$1,987.20
C005	GROUP SUPERVISOR II	2803	\$1,793.60	\$1,896.80	\$2,005.60	\$2,120.80	\$2,242.40
C006	GROUP SUPERVISOR III	3116	\$1,994.40	\$2,108.80	\$2,229.60	\$2,357.60	\$2,492.80
B135	GROUP SUPERVISOR III-U	3116	\$1,994.40	\$2,108.80	\$2,229.60	\$2,357.60	\$2,492.80
B120	GROUP SUPERVISOR II-U	2803	\$1,793.60	\$1,896.80	\$2,005.60	\$2,120.80	\$2,242.40
B116	GROUP SUPERVISOR I-U	2484	\$1,588.80	\$1,680.00	\$1,776.80	\$1,879.20	\$1,987.20

February 13, 2003

Mike McLaughlin & David Anderson  
Teamsters Local 856 & PDA

Dear Mike & David:

This letter shall confirm certain understandings reached in negotiations for a Memorandum of Understanding covering the period of October 17, 1999, through April 22, 2006.

1. Vacation Requests. Any vacation request for 2 weeks or longer will be responded to not later than one month after the written request. Any request for time off for less than 2 weeks will be responded to as quickly as possible, but not later than 2 weeks after the written request.
2. Holdover Overtime. In making "holdover" overtime assignments, seniority among employees possessing the skills and abilities required for the specific overtime assignment will be the determining factor.
3. Late Evaluations. The Probation Department agrees that, should salary step increases be delayed due to late evaluations, the step increase will be granted retroactively to the date the salary increase was due.
4. Direct Deposit – Direct Deposit of pay checks shall be mandatory for all employees hired on or after October 31, 1999.
5. Overtime in Juvenile Hall. The Probation Department agrees that overtime assignments in the Juvenile Hall will be offered to permanent Group Supervisors prior to offering overtime assignments (paid at the one and one-half rate) to Extra Help. This does not include situations where Extra Help can work additional shifts without being paid at the overtime rate. The Probation Department will offer such assignments to staff at the appropriate classification (i.e., if a GS I is required to fill a shift and no Extra Help GS I's can fill the shift at the straight time rate, permanent GS I's will be offered the shift before offering it to Extra Help GS I's).
6. The Probation Department agrees that supervision, investigation and OR caseloads shall be a factor in determining the necessity of overtime work. In October, 2003, the Department will meet with PDA to review overtime procedures and any problems which may have arisen since employees were moved to Work Group 1. The Department will work with PDA to discuss caseload parameters for the Pre-Trial Services Unit.
7. Time has been spent discussing operational issues at Juvenile Hall. The Probation Department and PDA, in an effort to resolve these issues, agree to continue a Labor-Management Committee. The committee shall consist of no more than 4 Group Supervisors working at Juvenile Hall and no more than 4 managers working at Juvenile Hall. Both parties shall select their committee members. The parties shall meet at such times and locations as mutually agreed to by the parties. Issues for discussion shall include the following:
  - Shift Assignments
  - Double-Backs
  - Rest Periods
  - Personal Time Off

- Vacation
- Any other operational issues the parties mutually agree to discuss.

The Committee shall provide progress reports to both Probation management and PDA in a manner agreed to by the Committee.

8. Medical Spending Account – The County agrees to implement an IRC Section 125 Medical Spending Account effective January 1, 2004.
9. Parity – The County has agreed to meet with other unions during calendar year 2006, to discuss concerns the unions have raised regarding the comparability of salary for represented classes with comparable agencies. PDA will be included in those meetings. Issues which may be discussed include factors in determining salary parity and competitiveness and the County's methodology in adjusting wages where deemed appropriate.
10. Domestic Partner Coverage – The parties will jointly support legislation to restrict the domestic partner survivor benefit to same sex only. Any benefit change will have to be negotiated during the next contract negotiations.
11. Classification Studies – The County agrees to conduct classification studies of the three Group Supervisors performing the House Supervision/House Arrest assignment and of the one Group Supervisor performing the Lead Transportation Assignment. These studies will be started by April 7, 2003.

If the foregoing is in accordance with your understanding, please indicate your acceptance and approval in the space provided below.

Dated: \_\_\_\_\_

**APPROVED AND ACCEPTED:**

**Probation and Detention Association**

**County of San Mateo**

By \_\_\_\_\_

By \_\_\_\_\_

## **Senior Deputy Probation Officer Differential**

### **Compensation**

One Step Differential limited to 30 DPO III's.

### **Duties**

In addition to managing a caseload, DPO III's selected for the Senior Differential will:

- ☐ Review and sign reports
- ☐ Act as supervisor in the supervisor's absence
- ☐ Develop and conduct on-going training for new employees
- ☐ Coach and provide training/assistance to DPO's with performance problems
- ☐ Provide support and guidance to staff in difficult situations
- ☐ Act as a mentor or coach in departmental career development programs
- ☐ Serve as a resource to DPO's experiencing difficulty with specific cases
- ☐ Coordinate special projects
- ☐ Handle more difficult cases

### **Requirements**

- ☐ Standard evaluation
- ☐ 5 years (cumulative) as a DPO III in San Mateo County
- ☐ Willingness to transfer to any location or assignment within the Department
- ☐ Willingness to perform the above duties

### **Selection Criteria**

Interested DPO III's meeting the above requirements will submit a written statement listing their qualifications and listing their 3 preferred locations and assignments. The statements will be reviewed to screen out applicants who do not meet the 3 above requirements. The remaining applicants will be ranked on the following basis:

- ☐ 5 points for every full year as a San Mateo County DPO III over the minimum 5 years (*Note: For employees with two or more appointments as a DPO III, partial years as a DPO III in the separate appointments will be added together and, if they total 12 months, will be counted as a full year.*)
- ☐ 1 point for every program in which the applicant has worked
- ☐ 1 point for supervisory experience
- ☐ 3 points for an advanced degree
- ☐ 3 points for demonstrated leadership (serving on CBO's, Union Official, etc.)
- ☐ 3 points for demonstrated communication skills
- ☐ 5 points for bilingual skills

The names of all qualified applicants will be sent to the Chief Probation Officer in rank order for selection and assignment. PDA will be sent a copy of the ranking materials. Should the Chief Probation Officer not select any of the top 30 candidates, written reasons for non-selection will be provided to the applicant. Managers will assist any of the top 30 candidates who are not selected in preparing career development plans to overcome any problem areas which led to their non-selection. A selectee who is appointed to a position in a Division other than the one he/she currently works in may decline the appointment without prejudicing his/her availability for future vacancies.

**Waiver of Transfer Policy**

All applicants for the Senior DPO differential must waive the provisions of the transfer policy. This includes transfers within and between Divisions.

Involuntary transfers between Divisions will not be enforced until January, 1996.

Any DPO III's selected in the initial round for the Senior DPO Differential who have 20 years seniority in the Probation Department at the time of this initial selection will be excluded from involuntary transfers between Divisions. This exclusion does not apply to DPO III's who gain 20 years seniority subsequent to their selection for the differential, nor does it apply to DPO III's with 20 years seniority who are selected for the differential after the initial round of selections.

February 7, 2003

David H. Anderson  
President, Probation & Detention Association

Dear Dave:

I want to confirm my commitment to provide opportunities for Group Supervisors to promote into the Deputy Probation Officer job series. To further this goal, I encourage and support the following steps:

- ☐ Discussing with PDA leadership the need to use closed promotional exams on a case-by-case basis to ensure that a sufficient advancement opportunities exist for group supervisors.
- ☐ Aggressively recruiting bilingual individuals for Group Supervisor position to increase the pool of internal bilingual candidates for DPO vacancies.
- ☐ Continual analysis of DPO recruitments to determine if Group Supervisors are successful in competing for DPO vacancies.
- ☐ Providing career development counseling and assistance to Group Supervisors who indicate an interest in advancement to the DPO position.

Dave, I appreciate the continued support and interest which PDA has demonstrated in career advancement for Group Supervisors and want to assert my strong support of this same goal. I am available to continue to meet with you at any time you perceive obstacles to Group Supervisor advancement. I am confident that, by working together, we can clearly identify and address any such obstacles which may arise.

Sincerely,

Loren Buddress  
Chief Probation Officer



Attached is the Probation Department Transfer Policy. The parties agree that application and interpretation of this policy is subject to the negotiated grievance process. The parties further agree that the Department retains the right to amend, suspend or terminate this policy in accordance with the provisions set forth in the attached policy. The parties agree that, prior to implementing any decision to amend, suspend or terminate this policy, the Department will meet and confer in good faith with PDA about the proposed change.

Dated: \_\_\_\_\_

\_\_\_\_\_  
Probation Department

\_\_\_\_\_  
Probation & Detention Association

## DEPARTMENTAL TRANSFER POLICY DEPARTMENT TRANSFERS/INITIATED BY STAFF

### POLICY

All Department staff are encouraged to increase their professional developmental and job satisfaction through transfer or reassignment to other functions consistent with the needs of the Department, the courts and clients. This includes inter-service and intra-service reassignments and transfers. By this policy, it is not the intent of the Chief Probation Officer to mandatorily or arbitrarily invoke a system of periodic individual or group transfers or reassignments throughout the Department.

### EXEMPTION

Deputy Probation Officers within their first four years of employment and Group Supervisors within their first two years of employment are exempted from this policy for purposes of training and development within their job classification. The term of assignment commences upon the date assignment is made.

### DEFINITIONS

Reassignment is the designation of an employee to perform specific tasks within the same work site consistent with agency expectations and does not involve relocation to another district and/or geographical location. Reassignment also refers to change in service assignment, i.e., Investigation to Supervision, Adult to Juvenile.

Transfer is the designation of an employee to physically relocate from one work site to another work site in a different district.

Voluntary refers to a transfer based on employee request or concurrence.

Vacancy is a vacant position without an incumbent. This normally occurs by the retirement, resignation or termination of the incumbent or by the initiation of a new program.

### GUIDELINES

1. Staff at any level may request transfer to any position within the Department for which current employment classification qualifies him/her. Those requesting transfer may identify the position(s) in which they have an interest.
2. Each Deputy Chief Probation Officer shall establish a list of transfer or reassignment requests to him/her. These requests will be considered annually whether or not a vacancy exists.
  - a. All requests will be reviewed by the Deputy Chiefs involved.
  - b. Transfer and reassignment requests must be submitted by the last work day of the calendar year to be included for the annual January transfer consideration. Every effort will be made to implement transfers on March 1.
3. The Department will make an effort to accommodate the person's request for transfer and will take note of requests for reassignment.
4. The Department will make an effort to accommodate the involuntarily-transferred person's request for future transfer by giving him/her first consideration when he/she qualifies for another transfer.

### REQUIREMENTS

Staff requesting transfer must have:

1. Two years of continuous work in their present assignment if they are in a Probation Officer

category. Because of the nature of the Group Supervisor position, they must have at least one year of continuous work in that present assignment.

2. A standard, or better, performance evaluation in present assignment.

#### PROCEDURES

1. Staff are encouraged to submit a request for transfer or reassignment when they have determined that they are interested in a different job experience. When you desire a transfer or reassignment and meet the requirements, you are responsible to initiate discussion to any location within the Department having positions comparable to yours.
2. Submit your request for transfer or reassignment in writing using the department form. Direct your request to the Deputy Chief of the service to which you wish to transfer. Send a copy to your present Deputy Chief(s) through the chain of command. State your reasons for request for transfer; briefly outline work experience and qualities you feel you have which will help in your new assignment.
3. The Deputy Chief(s) involved will place the person's name on a list of those interested in transferring to be acted upon when a need exists.
4. Submit a written request for reassignment only to your Deputy Chief through the chain of command.
5. When a need exists, and there are inter-service requests involved, the Deputy Chief shall notify other Deputy Chiefs having staff on the transfer list. In inter-service transfers, the Supervisor will be asked to update the person's personnel file, including an updated evaluation of work performance. The receiving Deputy Chief will consider all persons on the transfer and reassignment lists when filling the need.
6. When a vacancy occurs by departure of a staff member, the Deputy Chief of the Service will first review transfer and reassignment applications on file. If no relevant applications are on file, the Deputy Chief will publish the opening for voluntary requests, and, if the position cannot be filled by a new hire, the Deputy Chief will proceed to fill the position through the involuntary transfer policy.
7. When a vacancy occurs as the result of the creation of a new program, the Deputy Chief will publish the opening(s) for voluntary transfer or reassignment. If there are no voluntary requests, the policy of involuntary transfers will be used if the position cannot be filled by a new hire.
8. If a request is for transfer to an assignment currently filled, the request will be honored as soon as management concludes that it can be accomplished. All parties will be notified of request status on a regular basis. The person must qualify for the desired assignment. The current occupant of the position must have been in that assignment three years, if is a Probation Officer position, or two years, if it is a Group Supervisor position. The needs of the agency must be met, and other factors will be considered.
9. The need for an involuntary transfer will be clarified by the Chief Probation Officer or designee in writing and communicated to the Union prior to implementation. If the current occupant is "involuntarily displaced" by transfer, that person shall be given first consideration with he/she qualifies for another transfer.
10. If a request is not granted, the Deputy Chief making the decision will respond to the person who submitted the request. The person may withdraw his/her name from further consideration.

#### FACTORS TO BE CONSIDERED

The following listed factors are not a prioritized ranking. In cases where factors being considered are relatively equal in most respects, then seniority will be heavily weighted:

- Service needs to Court, client and community.
- Administrative mandates.
- Professional growth and development.
- Preference of staff involved.
- Length of time in and nature of current assignment.
- Seniority as determined by total, continuous department service.

## DEPARTMENTAL TRANSFERS/INITIATED BY MANAGEMENT

### POLICY

All Departmental staff are subject to transfer or reassignment consistent with the needs of the Department and clients and to increase professional development and job satisfaction. Involuntary transfers will be used infrequently and usually after seeking volunteers. By this policy, it is not the intent of the Chief Probation Officer to mandatorily or arbitrarily invoke a system of periodic individual or group transfers or reassignments throughout the Department.

### EXEMPTION

Two employee categories are exempted from the involuntary transfer policy as follows:

1. An incumbent with less than three years in assignment will not normally be involuntarily displaced unless needs of the Department dictate otherwise and/or circumstances exist where the nature of the assignment requires special knowledge, skills and competence. Newer employees are not normally expected to backfill key assignments which require special knowledge skills and competence.
2. Employees with twenty years of continuous service in the Department are exempted from involuntary geographical transfer, provided that they held three or more various assignments during prior years' service and provided that no more than 75% of staff within their work unit come under this exemption.

The requirement of three or more various assignments shall apply only to persons hired after January 1, 1985. Total Departmental seniority will apply to circumstances wherein more than 75% of unit staff are exempted.

### FACTORS TO BE CONSIDERED

The following listed factors are not a prioritized ranking. In cases where factors being considered are relatively equal in most respects, then seniority will be heavily weighted:

- Efficient management of Departmental workload.
- Service needs to Court, client and community.
- Fiscal constraints.
- Equal Employment Opportunity
- Professional growth and development.
- Special Skills.
- Length of time in and nature of current assignment.
- Seniority as determined by total, continuous department service.
- Legislative requirements.

In any circumstance which may dictate agency need to invoke involuntary transfer, those staff who do not come under exemption will be considered. Longevity in assignment will be a primary factor.

### EXCEPTIONS

Because of Departmental needs, it may be necessary to modify the above requirements. Such exceptions should occur infrequently and for good cause only. Should such a modification of the above factors be necessary, it will be communicated to the parties involved prior to implementation of the planned transfer, and the Union will be advised. In the event of extreme disaster, severe budget restrictions and/or reductions in staffing, all exemptions and exceptions set forth in this policy statement are withdrawn and removed.

Contents of this policy statement will not apply to shift assignment or units within Institution Services and shall be applicable only to geographical transfer for staff in the Group Supervisor series.

Revised 2/4/91

RMB:as

February 7, 2003

David H. Anderson  
President, Probation and Detention Association

Dear Mr. Anderson:

It is the County's policy and goal that all workers shall be treated with respect and dignity. To that end, managers, supervisors, and line workers will each have an obligation of mutual respect.

This shall not prevent a manager or supervisor from providing training or correction to workers and shall not prevent a line worker from responding on their own behalf or offering their personal opinion on the subject under discussion. However, each is expected to do so in a civil manner and without name-calling or demeaning tone. Correction of work performance, when given by a supervisor, shall normally be done in private.

Sincerely,

Mary Welch  
Employee and Public Services