

Fee For Service Agreement



**FEE FOR SERVICES AGREEMENT BETWEEN
COUNTY OF SAN MATEO
AND
SERVICE LEAGUE OF SAN MATEO COUNTY**

For the period of

7/1/2006 to 6/30/2007

Contact Person: Tuna, Sheila
Telephone number: (650) 802-6463

<u>CONTRACT AMOUNT</u>	Up to a Maximum of \$411,753	<u>CONTRACT TERM</u>			
		Start Date :		7/1/2006	
		End Date :		6/30/2007	
<u>COUNTY REPRESENTATIVE</u>			<u>CONTRACTOR REPRESENTATIVE</u>		
Director, Substance Abuse, & Shelter Services			Elizabeth K. Gheleta		
400 Harbor Blvd., Bldg C			727 Middlefield Road		
Belmont, CA 94002			Redwood City, CA 94063		
(650)802-5057	Fax:	(650)802-6440	(650)364-4664	Fax:	(650)365-6817

FEE FOR SERVICE AGREEMENT BETWEEN THE COUNTY OF SAN MATEO AND SERVICE LEAGUE OF SAN MATEO COUNTY FOR ALCOHOL AND DRUG TREATMENT SERVICES

THIS AGREEMENT, is entered into this _____ day of _____, 20____, by and between the COUNTY OF SAN MATEO, hereinafter called "County," and Service League of San Mateo County, hereinafter called "Contractor";

W I T N E S S E T H:

WHEREAS, pursuant to Government Code, Section 31000, County may contract with independent contractors for the furnishing of such services to or for County or any Department thereof; and

WHEREAS, it is necessary and desirable that Contractor be retained for the purpose of performing professional alcohol and drug treatment services in accordance with state and federal laws, regulations and funding mandates; and

WHEREAS, both parties now wish to enter into a FY 2006-07 Fee For Service Agreement for the period of 7/1/2006 to 6/30/2007, for a total maximum obligation of \$411,753.

NOW, THEREFORE, IT IS HEREBY AGREED BY THE PARTIES HERETO AS FOLLOWS:

The following exhibits are attached hereto and incorporated by reference herein:

1. Exhibits

- Exhibit A: Description of Services - Drug Court Funded Alcohol and Drug Treatment Services and Rates of Payment
- Exhibit B: Description of Services - Center For Substance Abuse Treatment (CSAT) HIV "Door-to-Treatment" Funded Alcohol and Drug Treatment Services and Rates of Payment

- Exhibit C: Description of Services - Ryan White CARE Act Services and Rates of Payment
- Exhibit D: Outcome Based Management (OBM)
- Attachment 1: Compliance with Section 504
- Attachment 2: Fingerprinting Compliance
- Attachment 3: HIV/AIDS Services
- Attachment 4: Payment and Monitoring Procedures
- Attachment 5: Program Specific Requirements
- Attachment 6: Equal Benefits Compliance
- Attachment 7: Additional State Negotiated Net Amount (NNA) Requirements

2. Services to be performed by Contractor

In consideration of the payments set forth in the Exhibits and Attachments herein, Contractor shall perform alcohol and drug treatment services as set forth in this Agreement and in the Exhibits and Attachments to the Agreement.

3. Payments

A. Maximum Amount

In full consideration of Contractor's performance of the services described in the Exhibits and Attachments herein, the County shall pay Contractor for such services at the rates set forth in Exhibits and Attachments herein. Contractor acknowledges and agrees that the County has agreed to pay all contractors who provide fee for service alcohol and drug treatment services authorized collectively by single resolution, a copy of which is attached hereto and incorporated by reference herein, an aggregate amount that shall not exceed the amounts set forth below and that the funds available to pay each individual contractor are dependent upon the amount or volume of services provided by the other contractors:

1. EIGHTY SEVEN THOUSAND THREE HUNDRED AND SIXTEEN DOLLARS (\$87,316) for Drug Court Partnership Trial Track funded alcohol and drug treatment services described in Exhibit A for the Contract term.
2. ONE HUNDRED THIRTY EIGHT THOUSAND EIGHT HUNDRED AND FIVE DOLLARS (\$138,805) for Comprehensive Drug Court Implementation funded alcohol and drug treatment services described in Exhibit A for the Contract term.
3. NINETY TWO THOUSAND NINE HUNDRED AND SEVENTY DOLLARS (\$92,970) for CSAT HIV "Door-to-Treatment" services funded alcohol and drug treatment services described in Exhibit B for the Contract term.
4. NINETY TWO THOUSAND SIX HUNDRED AND SIXTY TWO DOLLARS (\$92,662) for Ryan White CARE Act funded alcohol and drug treatment services described in Exhibit C for the Contract term.

B. Time Limit for Submitting Invoices

Contractor shall submit an invoice for services to County in accordance with the provisions of the Exhibits and Attachments herein. County shall not be obligated to pay Contractor for the services covered by any invoice if Contractor presents the invoice to County more than 180 days after the date Contractor renders the services, or more than 90 days after this Agreement terminates, whichever is earlier. To ensure full and timely payment for services provided, Contractor is required to submit invoices for services provided no later than the tenth day of each month.

The County reserves the right to withhold payment if the County determines that the quantity or quality of the work performed is unacceptable.

4. Program Budget

- A. Contractor will expend funds received for operation of its program and services according to Contractor's annual operating budget. The portions of said budget, which reflect services performed or money paid to Contractor pursuant to this Agreement shall be subject to the approval of the Human Services Agency.
- B. In the event Contractor determines a reasonable business necessity to transfer funding between personnel and operating expenses specified in the budget submitted to the Human Services Agency the following will apply:
 - 1. Contractor will notify the Human Services Agency of transfers that in the aggregate are between ten percent and 20% of the maximum contract amount.
 - 2. Contractor will further notify the Human Services Agency of transfers that in the aggregate equal or exceed 20% of the maximum contract amount, and in the event the Director of the Human Services Agency or designee determines said transfer of 20% or more is inconsistent with the goals and objectives of the County Alcohol and Drug Services, Contractor acknowledges and agrees that the County may require a re-negotiation or termination of the Agreement.

5. Term and Termination

Subject to compliance with all terms and conditions, the term of this Agreement shall be from 7/1/2006 through 6/30/2007.

This Agreement may be terminated by the Human Services Agency Director or his or her designee immediately and without notice if such termination is for good cause. For the purposes of this Agreement, "good cause" shall mean a material breach of the Agreement. Nothing in this provision shall prevent the County from terminating the Agreement as specified in other provisions hereto including, but not limited to, termination due to material changes in the Contractor's budget (Section 4), the unavailability of funds (Section 6), the Contractor's unauthorized assignment of the Agreement to a third-party (Section 12), the Contractor's failure to take out and maintain adequate insurance (Section 13) and/or the Contractor's failure to comply with anti-discrimination or other laws (Sections 14-15).

In the event of termination, all finished or unfinished documents, data, studies, maps, photographs, reports and materials (hereafter referred to as materials) prepared by Contractor under this Agreement shall become the property of the County and shall be promptly delivered to the County. Upon termination, the Contractor may make and retain a copy of such materials. Subject to availability of funding, Contractor shall be entitled to receive payment for work/services provided prior to termination of the Agreement. Such payment shall be that portion of the full payment which is determined by comparing the work/services completed to the work/services required by the Agreement.

6. Availability of Funds

The County may terminate this Agreement or a portion of the services referenced in the Attachments and Exhibits based upon unavailability of federal, state or county funds, by providing written notice to Contractor as soon as is reasonably possible after the County learns of said unavailability of outside funding.

7. Contract Re-negotiation

County shall notify Contractor of its intent to continue utilizing the services of Contractor for the FY 2007-2008 on or before February 15, 2007, with the understanding that said indication is not binding on the County or on Contractor. From February 15, 2007 to May 15, 2007 the parties shall negotiate a draft Agreement. County shall exercise reasonable efforts to provide all contract parameters and requirements to Contractor by February 15, 2007, to submit the document for processing by June 1, 2007, and finalize the Agreement by July 1, 2007, subject to receipt of necessary information from the state budget.

8. Treatment Services For Which Payment is Made Under This Agreement

Payments made under this Agreement are intended to pay Contractor for treatment services provided to individuals who are referred by the County, and who lack the necessary resources to pay for all, or part, of these treatment services themselves. Payments made under this Agreement are not intended to provide the full cost of care for all individuals referred by County for treatment services. In addition to the payments specified in this Agreement, the total cost of Contractor's treatment services will be paid by client fees, as specified in the Agreement and the Exhibits and Attachments hereto, and other sources of revenue.

9. Child Abuse Prevention, Reporting and Fingerprinting Requirements

Contractor agrees to ensure that all known or suspected instances of child abuse or neglect are reported to a child protective agency. Contractor agrees to fully comply with the Child Abuse and Neglect Reporting Act, California Penal Code Section 11164 et seq. Contractor will ensure that all known or suspected instances of child abuse or neglect are reported to an agency (police department, sheriff's department, county probation department if designated by the county to receive mandated reports or the county welfare department) described in Penal Code Section 11165.9. This responsibility shall include:

- A. A requirement that all employees, consultants or agents performing services under this contract who are required by Penal Code Section 11166(a), to report child abuse or neglect, sign a statement that he or she knows of the reporting requirement and will comply with it.
- B. Establishing procedures to ensure reporting even when employees, consultants or

agents who are not required to report child abuse under Penal Code Section 11166(a), gain knowledge of, or reasonably suspect that a child has been a victim of abuse or neglect.

- C. Contractor agrees that each applicant for employment or a volunteer position at Contractor's program who will have supervisory or disciplinary power over a minor or any person under his or her care will be fingerprinted in order to determine whether they have a criminal history which would compromise the safety of such minor(s) or person(s) under his or her care. (Penal Code Section 11105.3(a), as amended in 1990 by AB 2617). All fingerprinting will be at Contractor's sole expense.
- D. The fingerprinting process set forth in subparagraph 9.C. above will be completed and the results of the process will be obtained before any of Contractor's employees, subcontractors, assignees or volunteers are assigned or permitted to work at the program. Alternatively, the Contractor may set a hire date prior to obtaining fingerprinting results contingent on the applicant certifying that: (1) his or her employment application truthfully and completely discloses whether he or she has ever been convicted of a felony or misdemeanor or been on parole or probation, and (2) that the applicant understands that a background check will be conducted, and that he or she will be dismissed from employment immediately if he or she has failed to provide information regarding convictions, has provided incomplete information regarding convictions, has omitted information regarding convictions or if the fingerprinting results reveal any conviction incompatible with this employment.
- E. Contractor will maintain, and make available to County upon request, a written fingerprint certification required by subparagraph 9.C. above, for each applicant for employment or a volunteer position at the program for whom fingerprinting is required pursuant to subparagraph 9.C. above. Such certification shall state that the individual has been fingerprinted and that the process has disclosed no criminal history on the part of the individual, which would compromise the safety of persons with whom that individual has contact. Fingerprint information received from Department of Justice (DOJ) will be retained or disposed of pursuant to DOJ directive.

10. Relationship of Parties

Contractor agrees and understands that the work/services performed under this Agreement are performed as an independent Contractor and not as an employee of the County and that Contractor acquires none of the rights, privileges, powers or advantages of County employees.

11. Hold Harmless

Contractor shall indemnify and save harmless County, its officers, agents, employees and servants from all claims, suits or actions of every name, kind and description, brought for, or on account of: (A) injuries to or death of any person, including Contractor, or (B) damage to any property of any kind whatsoever and to whomsoever belonging, (C) any sanctions, penalties or claims of damages resulting from Contractor's failure to comply with the requirements set forth in the Health Insurance Portability and Accountability Act of 1996 (HIPAA) and all Federal regulations promulgated thereunder, as amended, or (D) any other loss or cost, including but not limited to that caused by the concurrent active or passive negligence of County, its officers, agents, employees or servants, resulting from the performance of any work required of Contractor or payments made pursuant to this Agreement, provided that this shall not apply to injuries or damage for which County has been found in a court of competent jurisdiction to be solely liable by reason of its own negligence or willful misconduct.

The duty of Contractor to indemnify and save harmless as set forth herein, shall include the duty to defend as set forth in Section 2778 of the California Civil Code.

12. Assignability and Subcontracting

Contractor shall not assign this Agreement or any portion thereof to a third party or subcontract with a third party to provide services required by contractor under this Agreement without the prior written consent of County. Any such assignment or subcontract without the County's prior written consent shall give County the right to automatically and immediately terminate this Agreement.

13. Insurance

The Contractor shall not commence work or be required to commence work under this Agreement unless and until all insurance required under this paragraph has been obtained and such insurance has been approved by Risk Management, and Contractor shall use diligence to obtain such issuance and to obtain such approval. The Contractor shall furnish the Department/Division with certificates of insurance evidencing the required coverage, and there shall be a specific contractual liability endorsement extending the Contractor's coverage to include the contractual liability assumed by the Contractor pursuant to this Agreement. These certificates shall specify or be endorsed to provide that 30 days notice must be given, in writing, to the Department/Division of any pending change in the limits of liability or of any cancellation or modification of the policy.

A. Workers' Compensation and Employer's Liability Insurance

The Contractor shall have in effect during the entire life of this Agreement Workers' Compensation and Employer's Liability Insurance providing full statutory coverage. In signing this Agreement, the Contractor certifies, as required by Section 1861 of the California Labor Code, that it is aware of the provisions of Section 3700 of the California Labor Code which requires every employer to be insured against liability for Workers' Compensation or to undertake self-insurance in accordance with the provisions of the Code, and Contractor will comply with such provisions before commencing the performance of the work of this Agreement.

B. Liability Insurance

The Contractor shall take out and maintain during the life of this Agreement such Bodily Injury Liability and Property Damage Liability Insurance as shall protect him

or her while performing work covered by this Agreement from any and all claims for damages for bodily injury, including accidental death, as well as any and all claims for property damage which may arise from contractors operations under this Agreement, whether such operations be by himself/herself or by any sub-contractor or by anyone directly or indirectly employed by either of them. Such insurance shall be combined single limit bodily injury and property damage for each occurrence and shall be not less than the amount specified below.

Such insurance shall include:

1. Comprehensive General Liability \$1,000,000
2. Motor Vehicle Liability \$1,000,000
3. Professional Liability \$1,000,000

County and its officers, agents, employees and servants shall be named as additional insured on any such policies of insurance, which shall also contain a provision that the insurance afforded thereby to the County, its officers, agents, employees and servants shall be primary insurance to the full limits of liability of the policy, and that if the County or its officers and employees have other insurance against the loss covered by such a policy, such other insurance shall be excess insurance only.

In the event of the breach of any provision of this section, or in the event any notice is received which indicates any required insurance coverage will be diminished or canceled, the County of San Mateo at its option, may, notwithstanding any other provision of this Agreement to the contrary, immediately declare a material breach of this Agreement and suspend all further work pursuant to this Agreement.

14. Compliance with Laws; Payment of Permits/Licenses

All services to be performed by Contractor pursuant to this Agreement shall be performed in accordance with all applicable federal, state, county and municipal laws, including, but not limited to, Health Insurance Portability and Accountability Act of 1996 (HIPAA) and all federal regulations promulgated thereunder, as amended, and the Americans with Disabilities Act of 1990, as amended, and Section 504 of the Rehabilitation Act of 1973, as amended and attached hereto and incorporated by reference herein as Attachment "1," which prohibits discrimination on the basis of handicap in programs and activities receiving any federal or county financial assistance. Such services shall also be performed in accordance with all applicable ordinances and regulations, including, but not limited to, appropriate licensure, certification regulations, provisions pertaining to confidentiality of records and applicable quality assurance regulations.

In the event of a conflict between the terms of this Agreement and state, federal, county or municipal law or regulations, the requirements of the applicable law will take precedence over the requirements set forth in this Agreement. Contractor will timely and accurately complete, sign and submit all necessary documentation of compliance.

15. Non-Discrimination and Other Requirements

- A. *Section 504 applies only to Contractors who are providing services to members of the public.* Contractor shall comply with § 504 of the Rehabilitation Act of 1973, which provides that no otherwise qualified handicapped individual shall, solely by reason of a disability, be excluded from the participation in, be denied the benefits of, or be subjected to discrimination in the performance of this Agreement.
- B. *General non-discrimination.* No person shall, on the grounds of race, color, religion, ancestry, gender, age (over 40), national origin, medical condition (cancer), physical or mental disability, sexual orientation, pregnancy, childbirth or related medical condition, marital status, or political affiliation be denied any benefits or subject to discrimination under this Agreement.
- C. *Equal employment opportunity.* Contractor shall ensure equal employment opportunity based on objective standards of recruitment, classification, selection, promotion, compensation, performance evaluation, and management relations for all employees under this Agreement. Contractor's equal employment policies shall be made available to County of San Mateo upon request.
- D. *Violation of Non-discrimination provisions.* Violation of the non-discrimination provisions of this Agreement shall be considered a breach of this Agreement and subject the Contractor to penalties, to be determined by the County Manager, including but not limited to
 - 1. termination of this Agreement;
 - 2. disqualification of the Contractor from bidding on or being awarded a County contract for a period of up to 3 years;
 - 3. liquidated damages of \$2,500 per violation; and
 - 4. imposition of other appropriate contractual and civil remedies and sanctions, as determined by the County Manager.

To effectuate the provisions of this section, the County Manager shall have the authority to examine Contractor's employment records with respect to compliance with this paragraph and/or to set off all or any portion of the amount described in this paragraph against amounts due to Contractor under the Contract or any other Contract between Contractor and County.

Contractor shall report to the County Manager the filing by any person in any court of any complaint of discrimination or the filing by any person of any and all charges with the Equal Employment Opportunity Commission, the Fair Employment and Housing Commission or any other entity charged with the investigation of allegations within 30 days of such filing, provided that within such 30 days such entity has not notified Contractor that such charges are dismissed or otherwise unfounded. Such notification shall include the name of the complainant, a copy of such complaint and a description of the circumstance. Contractor shall provide County with a copy of their response to the Complaint when filed.

- E. *Compliance with Equal Benefits Ordinance.* With respect to the provision of employee benefits, Contractor shall comply with the County Ordinance which prohibits contractors from discriminating in the provision of employee benefits between an employee with a domestic partner and an employee with a spouse.

- F. The Contractor shall comply fully with the non-discrimination requirements required by 41 CFR 60-741.5(a), which is incorporated herein as if fully set forth.
- G. *Compliance with Contractor Employee Jury Service Ordinance.* Contractor shall comply with the County Ordinance with respect to provision of jury duty pay to employees and have and adhere to a written policy that provides that its employees shall receive from the contractor, on an annual basis, no less than five days of regular pay for actual jury service in San Mateo County. The policy may provide that employees deposit any fees received for such jury service with the contractor or that the contractor deduct from the employees regular pay the fees received for jury service.

16. Retention of Records, Right to Monitor and Audit

- A. Contractor shall maintain all required records for five years after the County makes final payment and all other pending matters are closed, and shall be subject to the examination and/or audit of the County, a federal grantor agency and the State of California.
- B. Reporting and Record Keeping: Contractor shall comply with all program and fiscal reporting requirements set forth by appropriate federal, state and local agencies, and as required by the County.
- C. Contractor agrees to provide to County, to any federal or state department having monitoring or review authority, to County's authorized representatives, and/or their appropriate audit agencies upon reasonable notice, access to and the right to examine all records and documents necessary to determine compliance with relevant federal, state and local statutes, rules and regulations and this Agreement, and to evaluate the quality, appropriateness and timeliness of services performed.

17. Merger Clause

This Agreement, including the Exhibits attached hereto and incorporated herein by reference, constitutes the sole Agreement of the parties with regard to the matters herein contained and correctly states the rights, duties and obligations of each party as of this document's date with regard thereto. Any prior agreement, promises, negotiations, or representations between the parties with regard to the matters herein contained not expressly stated in this document are not binding. All subsequent modifications to this Agreement must be in writing and signed by the parties in order to be enforceable.

18. Controlling Law

The validity of this Agreement and of its terms or provisions, as well as the rights and duties of the parties hereunder, the interpretation and performance of this Agreement shall be governed by the laws of the State of California.

19. Meet and Confer/Mediation

In the event of any dispute or controversy concerning or relating to any provision of this Agreement or any Attachment or Exhibit, the parties shall first meet and confer directly or through counsel in an attempt to reach a common understanding on the meaning and effect of the provision in dispute. If the meet and confer meeting does not result in resolution of the

dispute or controversy, and prior to filing any action in any court of law having competent jurisdiction, the parties may agree to submit the dispute(s) or controversy(ies) to an agreed mediator within 30 days of written request for mediation. Requests to meet and confer and to mediate shall be in writing.

20. Notices

Any notice, request, demand or other communication required or permitted hereunder shall be deemed to be properly given when deposited in the United States mail, postage prepaid or when deposited with a public telegraph company for transmittal, charges prepaid, addressed to:

**IN THE CASE OF COUNTY, TO:
COUNTY OF SAN MATEO
DIRECTOR, SUBSTANCE ABUSE AND
SHELTER SERVICES
400 HARBOR BLVD. BLDG. C
BELMONT, CA 94002**

**IN THE CASE OF CONTRACTOR, TO:
SERVICE LEAGUE OF SAN MATEO
COUNTY
ELIZABETH K. GHELETA
727 MIDDLEFIELD ROAD
REDWOOD CITY, CA 94063**

IN WITNESS WHEREOF, the parties hereto, by their duly authorized representatives, have affixed their hands to this Agreement regarding FY 2006-07 Fee For Service Agreement.

COUNTY OF SAN MATEO

By: _____
Jerry Hill, President
Board of Supervisors, San Mateo County

Date: _____

ATTEST:

By: _____
Clerk of Said Board

SERVICE LEAGUE OF SAN MATEO COUNTY

By: Elizabeth K. Gheleta
Print Name & Title

Signature

Date: _____

Long Form Agreement/Non Business Associate v 6/24/04

EXHIBIT A - DESCRIPTION OF SERVICES
DRUG COURT FUNDED ALCOHOL AND DRUG TREATMENT SERVICES
AND RATES OF PAYMENT
(Fee For Service Agreement)
SERVICE LEAGUE OF SAN MATEO COUNTY
July 1, 2006 through June 30, 2007

Contractor will provide the following Drug Court treatment services at mutually agreed upon location(s) in San Mateo County. Contractor's basic alcohol and drug treatment services must be consistent with the State Alcohol and Drug Program (ADP) Certification Standards, and must meet the standards outlined in the American Society of Addiction Medicine (ASAM) Patient Placement Criteria for the Treatment of Substance-Related Disorders. Contractor will possess and maintain the appropriate licensure and/or certification required to provide the services described below. Contractor will admit individuals, hereinafter referred to as "program participants", referred by San Mateo County Drug Court Team(s) to these services. Referrals will come from the Alcohol and Drug Services Case Manager Team and may originate from either North County or South County Drug Courts. Reimbursement will not be approved for any individual treated who was not part of this formal referral process.

I. COMPREHENSIVE DRUG COURT IMPLEMENTATION (CDCI) GRANT AND DRUG COURT PARTNERSHIP (DCP) GRANT FUNDED ALCOHOL AND DRUG TREATMENT SERVICES

CDCI Drug Court services are funded through a State Department of Alcohol and Drug Programs grant designated specifically to serve individuals who are in the Drug Court system (pre-plea and post-plea), and are referred to alcohol and drug treatment services by the Drug Court Team.

DCP Drug Court services are funded through a Drug Court Partnership grant designated to specifically serve individuals who are in the Drug Court system (post-plea), have plead guilty to a felony offense, and are referred to alcohol and drug treatment services by the Drug Court Team.

Contractor will provide the following services in accordance with the Comprehensive Drug Court Implementation Act of 2004 and the Drug Court Partnership Act of 2002:

A. Residential Alcohol and Drug Treatment Service Description:
Contractor's residential services will include:

1. Intake, assessment (using the Addiction Severity Index [ASI]), food, shelter and other basic needs, treatment planning, relapse prevention, Case Manager, aftercare planning, follow-up for each program participant at 6-months and 12-months after intake, and follow-up with Probation/Parole as required.
2. Provide services under this contract for a period of no more than 90 days. Provision of services beyond 90 days requires prior written authorization by County.

3. Program shall include group and individual counseling to address recovery issues including: denial, withdrawal symptomatology, drug/alcohol use history, the disease of addiction and relapse triggers, and parenting skills, art therapy, and family socialization activities.
4. Access to ancillary support services may include: legal support, 12-step meetings, HIV/AIDS, HEP A.B.C, AND STD testing and education, literacy assistance and supportive educational training, job search, and medical/mental health assessments to those program participants identified as having special needs.

II. DRUG COURT REFERRAL AND REIMBURSEMENT PROVISIONS

- A. Contractor will be reimbursed only for the actual services provided to Drug Court program participants who are referred with a written referral and the Addiction Severity Index (ASI) completed by a member of the Alcohol and Drug Services Case Manager Team (in conjunction with the Drug Court Team) and by order of the court. Program participants may also be referred directly from the Superior Court by an order of the court or under the direction of the participants' supervising probation officer, with notification to Alcohol and Drug Services. Reimbursements will not be approved for any program participant treated who was not part of this formal referral process.
- B. Reimbursements will not be approved for any program participant treated who was not part of the Drug Court formal referral process as evidenced by the referral form which must be signed by an Alcohol and Drug Case Manager and the transferred ASI, or by a copy of the conditions of probation that includes an order by the court to a specified treatment program or a copy of a memo signed by the supervising probation officer directing the program participant to attend a specified program.
- C. Reimbursements will not be approved retroactively (i.e. program participant admitted before a written referral/approval and ASI). If a Drug Court program participant needs to be transferred during the course of their care to a different program, due to clinical reasons, the treating provider must submit a transfer request to the Alcohol and Drug Services Case Manager who will approve or disapprove the transfer of the program participant. At the discretion of the Alcohol and Drug Services Case Manager, the program participant may need to be reassessed by the Alcohol and Drug Case Manager Team.
- D. Program participants requesting a transfer to another treatment program must make a written request to the Drug Court Team. The final determination will reside with the Drug Court Judge.
- E. All payments under this Agreement must directly support services specified in this Agreement.

III. DRUG COURT ALCOHOL AND DRUG TREATMENT SERVICES RATES OF PAYMENT

In full consideration of Drug Court funded alcohol and drug treatment services provided to individuals referred by the County, who lack the necessary resources to pay for all, or part, of these services themselves, the aggregate amount County shall be obligated to pay for services rendered under this Agreement and all other Agreements approved collectively by a single resolution, shall not exceed the amounts stated in Section 3. Payments - A. Maximum Amount, in the main body of this Agreement.

- A. From these funds County shall pay Contractor at the rate of:
 - 1. \$72.63 per bed day provided, per individual served, for CDCI and DCP residential services, including food, shelter and other basic needs.
- B. Provide attendance reports to the Drug Court Team Case Management Specialist, on at least a monthly basis. Provide monthly progress update to probation officer, regarding progress of Drug Court program participants. Notify Probation Officer and Drug Court Case Manager immediately if program participant misses a session or is discharged from the program.
- C. A separate billing and record keeping system will be kept by Contractor for those individuals receiving CDCI and DCP funded Nonresidential alcohol and drug treatment services.
- D. Contractor's monthly itemized bill will include the following:
 - 1. Drug and Alcohol Information System for You (DAISY) ID Numbers and name of Drug Court program participants receiving services, name of the referring Drug Court team member, and funding source (CDCI or DCP).
 - 2. Dates services were provided for Drug Court residential alcohol and drug treatment services, number of bed days provided, broken down by program participant, and funding source (CDCI or DCP).
 - 3. Total amount of the bill for each month, by funding source (CDCI or DCP).
 - 4. Contractor will submit itemized bill and invoice statement by the tenth (10) day of the month following the month services were provided. Bills and invoices will be submitted to the Alcohol and Drug Services office for approval and processing for payment.

EXHIBIT B – DESCRIPTION OF SERVICES
CSAT HIV “Door-to-Treatment” Funded Alcohol and Drug Treatment Services
and Rates of Payment
(Fee For Service Agreement)
SERVICE LEAGUE OF SAN MATEO COUNTY
July 1, 2006 through June 30, 2007

These alcohol and drug treatment services, funded through the federal Center For Substance Abuse Treatment (CSAT) HIV “Door-to-Treatment” grant, are designated specifically to serve individuals who are in need of substance abuse treatment, and are at high risk for HIV, including: 1) women; 2) adolescents; 3) men who inject drugs; 4) men who have sex with men; and 5) individuals released from incarceration within the past two years. Contractor’s services will comply with all regulations and requirements of the CSAT HIV “Door-to-Treatment” grant. Contractor shall provide these alcohol and drug treatment services in accordance with all state regulatory and statutory provisions associated with these services, and all federal regulations pertaining to the CSAT HIV “Door-to-Treatment” grant. Contractor will possess and maintain the appropriate licensure and/or certification required to provide the services described below. No services will be provided until the appropriate licensure and/or certification has been obtained.

I. CENTER FOR SUBSTANCE ABUSE TREATMENT (CSAT) HIV FUNDED “DOOR-TO-TREATMENT” ALCOHOL AND DRUG TREATMENT SERVICES

Admit to Contractor’s alcohol and drug treatment services, individuals who meet the eligibility criteria as set forth in the CSAT HIV “Door-to-Treatment” grant. Population relevant programming will be made available to female alcohol and drug treatment participants.

A. Women’s Residential Alcohol and Drug Treatment Services:

The following services are part of Contractor’s basic women’s residential alcohol and drug treatment program:

1. Intake, assessment (utilizing the Addiction Severity Index [ASI]), food, shelter and other basic needs, treatment planning (in conjunction with the program participant), addiction education and intervention, aftercare planning, urine screening, follow-up at (6) months and (12) months after intake for each program participant.
2. Provide individual and group alcohol and drug related counseling.
3. Provide two (2), two (2) hour alcohol and drug related education classes each week that will be documented in participant case records. Education classes will cover the following subjects:
 - a) alcohol and drug addiction and recovery;
 - b) life skills, including household budgeting, credit and time management;
 - c) vocational education, including job readiness and dressing for success;

- d) continued education;
 - e) parenting skills;
 - f) socialization skills;
 - g) alcohol and drug free leisure activities;
 - h) physical fitness, nutrition and cooking;
 - i) health education, including utilizing medical services and AIDS/HIV awareness;
 - j) self-esteem;
 - k) stress management; and
 - l) court procedures.
4. Residents will be expected to attend Alcoholics Anonymous (AA), Narcotics Anonymous (NA), and/or other self-help groups during their participation in the program.
 5. Refer all appropriate unemployed program participants to the Department of Vocational Rehabilitation for assessment, job training, and placement.
 6. Address family reunification issues so program participants may work to maintain family structure and may have a family base to return to upon completing the Hope House program.
 7. Review all medical needs of program participants and make appropriate referrals as required.
 8. Provide or facilitate at least one (1) alcohol and drug free socialization activity for residents per quarter.
 9. Provide recovery services according to the social model of recovery; Contractor will emphasize peer-oriented recovery counseling.

II. CSAT/HIV “DOOR-TO-TREATMENT” REFERRAL AND REIMBURSEMENT PROVISIONS

- A. Contractor will be reimbursed only for the actual services provided to CSAT/HIV “Door-to-Treatment” program participants who are referred with a written referral and the Addiction Severity Index (ASI) completed by an Alcohol and Drug Services Social Worker. Reimbursements will not be approved for any program participant treated who was not part of this formal referral process.
- B. Reimbursements will not be approved retroactively (i.e. program participant admitted before a written referral/approval and ASI). If a CSAT/HIV “Door-to-Treatment” program participant needs to be transferred during the course of their care to a different program, due to clinical reasons, the treating provider must submit a transfer request to the Alcohol and Drug Services Social Worker who will approve or disapprove the transfer of the program participant. At the discretion of the Alcohol and Drug Services Social Worker, the program participant may need to be reassessed by the Alcohol and Drug Social Worker.

- B. All payments under this Agreement must directly support services specified in this Agreement

III. **CSAT/HIV “DOOR-TO-TREATMENT” FUNDED ALCOHOL AND DRUG TREATMENT SERVICES PAYMENT RATES**

In full consideration of the CSAT/HIV “Door-to-Treatment” funded alcohol and drug treatment services provided to individuals referred by the County, who lack the necessary resources to pay for all, or part, of these services themselves, the aggregate amount County shall be obligated to pay for services rendered under this Agreement and all other Agreements approved collectively by single resolution, shall not exceed the amount listed in Section 3. A. 3, in the main body of this Agreement.

County payment to Contractor shall be consistent with Alcohol and Drug Program (ADP) Bulletin No. 01-17. County shall pay Contractor in the manner described below:

A. Alcohol and Drug Treatment Services Rates of Payment:

From the aggregate funds County shall pay Contractor at the following rates:

- 1. EIGHTY TWO DOLLARS AND EIGHTY THREE CENTS (\$82.83) per bed day for women’s residential alcohol and drug treatment services, including food, shelter and other basic needs.

- B. A separate billing and record keeping system will be kept by Contractor for those individuals receiving these CSAT/HIV “Door-to-Treatment” alcohol and drug treatment services.

C. Contractor’s monthly itemized bill will include the following:

- 1. Drug and Alcohol Information System for You (DAISY) ID Number and name of program participant receiving CSAT/HIV “Door-to-Treatment” funded services, and the name of the referring County Alcohol and Drug Social Worker.
- 2. Dates services were provided, the number of bed days provided for residential, broken down by program participant.
- 3. Total amount of the bill for each month, by modality.
- 4. Contractor will submit itemized bill and invoice statement by the tenth (10) day of the month following the month services were provided. Bills and invoices will be submitted to the Alcohol and Drug Services office for approval and processing for payment.

EXHIBIT C – DESCRIPTION OF SERVICES
**Ryan White CARE Act Funded Alcohol and Drug
Treatment Services and Rates of Payment
(Fee For Service Agreement)**
SERVICE LEAGUE OF SAN MATEO COUNTY
July 1, 2006 through June 30, 2007

Contractor will provide the following alcohol and drug treatment services to individuals, hereinafter referred to as “program participants” who have been diagnosed with HIV/AIDS, and referred by a representative of the County of San Mateo or its designee. Services will be provided at a mutually agreed upon location in San Mateo County. All payments under this Agreement must directly support services specified in this Agreement. All clients referred shall have documented proof of a diagnosis of HIV/AIDS and proof of residency in San Mateo County. This Agreement is paid on a fee-for-service basis and does not provide a minimum number of referrals or amount of work to be performed. Services provided under this Agreement are for individuals who are referred by the County, who lack the resources to pay for all or part of their own treatment.

I. Ryan White CARE Act Funded Alcohol and Drug Treatment Services

A. Contractor shall provide the following Residential Alcohol and Drug Treatment Services to female program participants who have been diagnosed with HIV/AIDS:

1. An intake, assessment (using the Addiction Severity Index [ASI]), and ASI follow-up at six (6) months and twelve (12) months after intake for each program participant.
2. Contractor will develop a written drug treatment and recovery plan for each program participant. The plan will be forwarded to the County Assessment Specialist within fourteen (14) days of the program participants’ admission. The plan shall include input, recommendations and any agreements reached between Contractor and the County Assessment Specialist on the program participants’ care. Agreements may include, but are not limited to, special services and/or arrangements needed by the client to accommodate physical and/or mental limitations. These plans shall be reviewed by the County Assessment Specialist and shall include aftercare plans, relapse prevention, and housing services.
3. All usual and customary alcohol and drug treatment services, including individual and group counseling, recovery planning, case management, educational and aftercare services. Food, shelter and other basic health and hygiene needs of program participants will be met as part of Contractor’s basic treatment program.
4. Reasonable accommodations for program participants with physical limitations and special dietetic needs.

5. Access to clothing and toiletries as needed.
6. Participation in County activities, whenever possible, if deemed a necessary part of program participant's health care plan by County.
7. Access, including reliable transportation, to and from medical and social services appointments and special treatment services, as required by County.
8. Provide County or its designee access to written monthly progress reports for program participant.
9. Immediate notification to County Assessment Specialist if the program participant is unable to participate in their planned program due to changes in health, or if Contractor is planning to discharge the program participant from the program for any reason.
10. Program staff will work closely with Children's Protective Services to assist the program participants with family reunification.

B. Contractor shall provide the following transitional housing services to female program participants who have been diagnosed with HIV/AIDS:

Contractor, through its transitional housing program, will provide a safe, supportive, sober-living environment for adult women with HIV/AIDS who have successfully completed alcohol and drug treatment, and assist them in developing the life skills and resources to become independent and economically stable.

The following transitional housing services will be provided to program participants by the Contractor:

1. Services and Activities:

Transitional housing will be provided by the Service League in small, safe, single-sex shared houses. The maximum length of stay is twelve (12) months; however program participants will be assisted in implementing aftercare plans that will include preparing to live independently as soon as possible. As a sober living facility, program participants must be successful graduates of substance abuse treatment, must follow house rules, and must not use inside or outside the house during their stay. Program participants will contribute partial rent, which supports both the facility and support services. Program participants will purchase their own food and cook for themselves in a modern shared kitchen.

Because the service provided is transitional housing, rather than alcohol and drug treatment, the program will not offer alcohol and drug treatment services on-site. However, the program will offer a variety of services off-site in which program participants must participate. Similarly, the program is not licensed as a residential care facility, therefore the Contractor will

not offer any medical or nursing care. Program participants must be healthy enough to live independently. Program participants with HIV/AIDS who become too ill to live independently while in the program will be referred to acute care hospitals, nursing homes, residential care facilities, or other appropriate venues. Placement of these program participants will be discussed with their medical provider and, if appropriate, their AIDS Case Manager. In some cases, other agencies may be able to provide limited medical care and home health aides to permit program participants to remain during periods of such illness.

2. Activities, Timeline and Responsible Staff:

- a) Outreach will be conducted in the jails and with community-based providers to recruit program participants for the transitional housing program. This will be an ongoing activity. Contractor's Program Directors will perform this activity, with the assistance of Contractor's various staff.
- b) An intake, assessment (using the Addiction Severity Index [ASI]), and ASI follow-up at six (6) months and twelve (12) months after intake for each program participant. Program participants requiring treatment offered by other programs sponsored by Hope House I or other local community programs will be referred to the appropriate program. An individual aftercare plan will be developed with program participant input and the County Assessment Specialist approval. This will be an ongoing activity provided by the Alcohol and Drug Counselor.
- c) A waiting list will be developed, and program participants will be prioritized based on admission policy, if transitional housing slots are not available. This list will be maintained on an ongoing basis as long as needed and will be provided by the Contractor's transitional housing Program Director.
- d) Transitional housing to program participants will be provided for up to twelve (12) months, with four (4) month average duration in the program. This will be an ongoing activity provided by various Hope House staff, including the transitional housing Program Director and the Alcohol and Drug Counselor.
- e) The transitional housing Program Director, with the assistance of the Alcohol and Drug Counselor will ensure that program participants comply with aftercare plans including maintaining full-time education, job training, or employment; participating in ongoing recovery support; and attending needed medical and social service appointments. These requirements will remain flexible based on the health status and ability of program participants. For example, program participants with symptomatic HIV/AIDS may participate in support services or part-time employment rather than full-time

employment, and will be assigned house chores appropriate to their ability. This will be an ongoing activity.

3. Evaluation, Steps and Milestones:

- a) The transitional housing Program Director will collect demographic information on each program participant upon enrollment, and data on length of stay of each program participant upon discharge from the program.
- b) The transitional housing Program Director will review program data on a quarterly basis to ensure provision of bed days per objectives. While program participant census may vary during the program year, roughly one quarter of services will be provided during each quarterly period.
- c) The transitional housing Program Director will review program data on a quarterly basis to ensure diversity of population served.

4. Ongoing Counseling and Recovery Support:

- a) Services and Activities:
 - 1) Ongoing counseling will be offered and provided to all transitional housing program participants by a licensed mental health professional, and recovery support will be offered and provided by a certified alcohol and drug counselor. Each program participant will have at least one (1) mental health or recovery support visit per week, with an average of three (3) in-person or phone contacts per week. Program participants will be offered and/or referred to ongoing outpatient substance abuse treatment services, including the Hope House alumni group, and 12-step meetings both within and outside the program.
 - 2) The supportive transitional housing program will assist program participants with HIV/AIDS in becoming independent by providing support services and life skills training. Alcohol and drug treatment services cannot be provided on-site at any transitional housing provided by the Service League. However, these services will be provided at Hope House I, including transportation between the two (2) programs. Program participants will be expected to attend weekly alumni meetings at Hope House I in order to assist them in maintaining their recovery. In addition, program participants will have regular in-person and weekly phone contacts with the Alcohol and Drug Counselor, averaging three (3) contacts per week and they must also attend 12-Step meetings at Hope House or in the community, and

provide weekly documentation of these activities.

- 3) Program participants may have a variety of needs and psychosocial problems upon entering the program. They may come from abusive relationships, have limited basic life skills, have lost custody of children, and/or have long histories of alcohol and/or drug abuse. Weekly individual mental health counseling will be available to help program participants deal with these issues.

b) Activities, Timeline and Responsible Staff:

- 1) An individual aftercare plan will be developed with program participant input and approval. This plan will include work, educational and recovery goals, medical and mental health needs, and a timeline of these activities. This will be an ongoing activity performed by the Alcohol and Drug Counselor.
- 2) Regular recovery support and mental health visits, including phone, in-person and group contacts, will be provided as appropriate. This will be an ongoing weekly activity provided by the Alcohol and Drug Counselor and Consulting Counselor.
- 3) The transitional housing Program Manager, with the assistance of the Alcohol and Drug Counselor, will ensure that program participants comply with aftercare plans regarding participation in ongoing recovery support and mental health services. This will be an ongoing weekly activity.

c) Evaluation, Steps and Milestones:

- 1) Drug and Alcohol Counselor will collect follow-up plans from program participants each week to document their participation in programs.
- 2) Counselors will collect data on each mental health or recovery visit/contact.
- 3) Hope House Program Director will review program data on a quarterly basis to ensure provision of services meets objectives.

5. Access to Medical and Social Services:

Assistance will be provided to program participants in accessing needed medical and social services, including referrals, assistance in scheduling appointments, transportation and follow-up.

Each program participant's individual needs for community services will be assessed during intake. The program will provide referrals, assistance in accessing services, and follow-up to ensure they are utilized.

Assistance in accessing services will include transportation as needed. Program participants will continue to be transported to their community-based support groups.

Compliance with medical protocols is key to HIV/AIDS medical care, particularly with new, complex multiple drug "cocktails." Since the transitional housing provided by the Service League is not licensed as a residential care facility, staff cannot store or distribute medications to program participants. However, the transitional housing Program Director will provide periodic reminders to the program participants to take their medications, and the Alcohol and Drug Counselor will provide regular follow-up.

a) Activities, Timeline and Responsible Staff:

- 1) An individual aftercare plan will be developed with program participants input and approval. The plan will include work and educational goals, medical and mental health needs and a timeline of these activities. This will be an ongoing activity performed by the Alcohol and Drug Counselor.
- 2) Assistance will be provided to program participants in accessing community medical and social services, including providing transportation as needed. This will be an ongoing activity performed by the transitional housing Program Director.
- 3) The transitional housing Program Director, with the assistance of the Alcohol and Drug Counselor, will ensure that program participants comply with aftercare plans regarding the use of community services. This will be an ongoing weekly activity.

b) Evaluation, Steps and Milestones:

- 1) The Drug and Alcohol Counselor will review individual aftercare plans with program participants on a monthly basis to identify medical and social service needs and utilization of referrals.
- 2) The Hope House Program Director will review aftercare plans quarterly for quality assurance, including review of completeness and appropriateness, staff provision of referrals and follow-up, and program participant utilization of community services.

6. Reporting:

Contractor will provide the following reports and activities for services provided under transitional housing services:

- a. Quarterly Narrative and Demographic Reports, detailing program activities and specifying provision and utilization of services by type and volume, due by the tenth (10th) day following the end of the reporting quarter.
- b. A Final Narrative and Demographic Report, including a program self-evaluation identifying unmet needs and service gaps for the target population, is due by July 15, 2007.
- c. HOPWA Annual Progress Reports due on the dates announced by the San Francisco Redevelopment Agency.

C. General Requirements:

1. Contractor shall send a representative to all monthly Service Providers AIDS Network (SPAN) meetings. In addition, Contractor shall send a representative to all regularly scheduled providers meetings facilitated by County if Contractor is currently serving a program participant who is being funded through this Agreement; and shall confer and attend meetings as deemed necessary or appropriate by County or its designee.
2. Contractor shall participate in County's "AIDS Program County-Wide Client Needs and Satisfaction Survey," if such participation is requested by County.

D. Program Objectives:

Contractor shall operate the program with the goal of achieving the following projected outcome objectives for program participants referred for alcohol and drug treatment services by County:

Residential:

1. Sixty-five percent (65%) of all program participants who complete the first (1st) thirty (30) days of treatment will complete the ninety (90) day program.
2. Seventy-five percent (75%) of all program participants surveyed who complete the treatment program shall report total abstinence from, or significant reduction in, alcohol and drug use ninety (90) days after completion.

3. Fifty percent (50%) of all program participants surveyed shall continue to access some type of recovery support system one (1) year after completing the first (1st) ninety (90) days of treatment.

Transitional Housing:

- 1) Ninety percent (90%) of women with HIV/AIDS housed in transitional housing provided by the Service League will report overall satisfaction with residence cleanliness, safety, and substance-free environment.
- 2) Ninety percent (90%) of women with HIV/AIDS who will be involved in some type of day care activities, i.e., school, training classes or volunteer work.
- 3) Fifty percent (50%) of women with HIV/AIDS, having completed the transitional housing provided by the Service League, will remain drug- and alcohol-free ninety (90) days after discharge from the program.

Contractor shall assess progress toward these objectives during the last month of the third (3rd) quarter of the County fiscal year (March 2007). The results of this assessment shall be reported to County by April 15, 2007.

II. Amount and Method of Payment

In full consideration of the Ryan White CARE Act funded alcohol and drug treatment services provided to individuals referred by the County, who lack the necessary resources to pay for all, or part, of these services themselves, the aggregate amount County shall be obligated to pay for services rendered under this Agreement and all other Agreements approved collectively by single resolution, shall not exceed the amounts stated in Section 3. Payments - A. Maximum Amount, in the main body of this Agreement.

In any event, provision of services must be requested and authorized in writing by County Alcohol and Other Drug Services (AOD), and payment shall be made only for those services actually received by the program participant. County shall pay Contractor in the manner described below (including timing of and tasks required for progress payments, if applicable):

A. Residential Alcohol and Drug Treatment Services

1. EIGHTY TWO DOLLARS AND EIGHTY THREE CENTS (\$82.83) per bed day of residential alcohol and drug treatment services provided per program participant. Additional days of service beyond ninety (90) days must be approved in writing in advance by the County AOD Administrator or a designee.

B. Transitional Housing Services

Provision of services must be requested and authorized by County or its designee, and payment shall be made only for those services actually received by the client. County will pay contractor as follows:

1. FORTY FIVE DOLLARS (\$45.00) per bed day for transitional housing.
2. A one-time service fee of NINETY DOLLARS (\$90.00) to cover the costs of interviewing potential HIV+ program participants who do not subsequently enter into transitional housing provided by the Service League.

III. Contractor's monthly itemized bill will include the following:

1. Drug and Alcohol Information System for You (DAISY) ID Number and name of program participants receiving "Ryan White CARE Act" funded services, by modality, and the name of the referring County Alcohol and Drug Case Manager.
2. Dates services were provided, the number of bed days provided for residential, or number of visit days provided for day treatment services, broken down by program participant, by modality.
3. Number of groups and individual counseling hours provided for day treatment services.
4. Total amount of the bill for each month, by modality.
5. Contractor will submit itemized bill and invoice statement by the tenth (10th) day of the month following the month services were provided. Bills and invoices will be submitted to the Alcohol and Drug Services office for approval and processing for payment.

EXHIBIT D
OUTCOME BASED MANAGEMENT (OBM)
(Fee For Service Agreement)
SERVICE LEAGUE OF SAN MATEO COUNTY
July 1, 2006 through June 30, 2007

I. Contractor's Responsibilities

Engage in activities and supply information required to implement the County's Outcome Based Management and Budgeting (OBM) initiative. Activities include, but are not limited to:

- A. Attend planning and informational meetings;
- B. Develop program performance and outcome measurements;
- C. Collect and submit data necessary to fulfill measurement requirements;
- D. Participate in technical assistance and training events offered by the Human Services Agency and seek technical assistance and training necessary to fulfill measurement requirements;
- E. Participate in a review of performance and outcome information; and
- F. Comply with OBM Implementation Guidelines as specified in memos released by the Human Services Agency.

II. Human Services Agency's (HSA) Responsibilities

- A. Provide technical assistance and support to assist Contractor's implementation of the County's OBM initiative;
- B. Issue and review OBM Implementation Guidelines; and
- C. Conduct review of performance and outcome information.

ATTACHMENT 1
Assurance of Compliance with Section § 504
of the Rehabilitation Act of 1973, as Amended
(Fee For Service Agreement)
SERVICE LEAGUE OF SAN MATEO COUNTY
July 1, 2006 through June 30, 2007

The undersigned (hereinafter called the "Contractor(s)") hereby agrees that it will comply with Section § 504 of the Rehabilitation Act of 1973, as amended, all requirements imposed by the applicable DHHS regulation, and all guidelines and interpretations issued pursuant thereto.

The Contractor(s) gives/give this assurance in consideration of and for the purpose of obtaining contracts after the date of this assurance. The Contractor(s) recognizes/recognize and agrees/agree that contracts will be extended in reliance on the representations and agreements made in this assurance. This assurance is binding on the Contractor(s), its successors, transferees, and assignees, and the person or persons whose signatures appear below are authorized to sign this assurance on behalf of the Contractor(s).

The Contractor(s): (Check a or b)

- a. Employs fewer than 15 persons
- b. Employs 15 or more persons and, pursuant to section 84.7 (a) of the regulation (45 C.F.R. 84.7 (a)), has designated the following person (s) to coordinate its efforts to comply with the DHHS regulations.

Name of § 504 Person - Type or Print

Service League of San Mateo County
727 Middlefield Road
Redwood City, CA 94063
Name of Contractor(s) – type or Print

I certify that the above information is complete and correct to the best of my knowledge.

Date

Signature and Title of Authorized Official

*Exception: DHHS regulations state that:

"If a recipient with fewer than 15 employees finds that, after consultation with a disabled person seeking its services, there is no method of complying with (the facility accessibility regulations)...other than making a significant alteration in its existing facilities, the recipient may, as an alternative, refer the handicapped person to other providers of those services that are accessible."

ATTACHMENT 2
FINGERPRINTING CERTIFICATION FORM
(Fee For Service Agreement)
SERVICE LEAGUE OF SAN MATEO COUNTY
July 1, 2006 through June 30, 2007

- A. In accordance with the Child Abuse Prevention and Reporting section of this Agreement, Contractor agrees as follows: Each applicant for employment or a volunteer position at Contractor's program who will have a supervisory or disciplinary power over a minor or any person under his or her care will be fingerprinted in order to determine whether they have a criminal history which would compromise the safety of such minor(s) or person(s) under his or her care. (Penal Code 11105.3(a), as amended in 1990 by AB 2617). All fingerprinting will be at Contractor's sole expense.

- B. The fingerprinting process will be completed and the results of the process will be obtained before any of Contractor's employees, subcontractors, assignees or volunteers are assigned or permitted to work at the program. Alternatively, the Contractor may set a hire date prior to obtaining fingerprinting results contingent on the applicant certifying that: (1) his or her employment application truthfully and completely discloses whether he or she has ever been convicted of a felony or misdemeanor or been on parole or probation and (2) that the applicant understands that a background check will be conducted, and that he or she will be dismissed from employment immediately if he or she has failed to provide information regarding convictions, has provided incomplete information regarding convictions, has omitted information regarding conviction, or if the fingerprinting results reveal any conviction incompatible with this employment.

- C. Contractor will maintain, and will make available to County upon request, a written fingerprint certification for each applicant for employment or a volunteer position at the program for whom fingerprinting is required. Such certification shall state that the individual has been fingerprinted, that the process has disclosed no criminal history on the part of the individual which would compromise the safety of persons with whom that individual has contact. Fingerprint information received from the Department of Justice (DOJ) will be retained or disposed of pursuant to DOJ directive.

Name (Signature)

Title

Date

ATTACHMENT 3
HIV/AIDS
(Fee For Service Agreement)
SERVICE LEAGUE OF SAN MATEO COUNTY
July 1, 2006 through June 30, 2007

Contractor will provide the following HIV/AIDS services which are part of all Contractors basic alcohol and drug treatment program(s):

- A. Contractor's program directors will attend administrator training provided jointly by the San Mateo County AIDS Program and the Human Services Agency's Alcohol and Drug Services, or equivalent training designed specifically for administrators of alcohol and/or drug treatment programs and approved by the Alcohol and Drug Services Administrator.
- B. Contractor's staff will attend a minimum of two hours of HIV update training specifically designed for counselors in alcohol and drug recovery programs. This requirement may be met by attendance at the San Mateo County AIDS Program in-service for alcohol and drug treatment staff, or equivalent training approved by the Alcohol and Drug Services Administrator. 75% of Contractor's staff will receive this training.
- C. Contractor will make available to each program participant (and families as appropriate) individual HIV/AIDS as a recovery issue, risk assessment and prevention education, culturally sensitive materials and necessary knowledge and skills for attitude and behavior change. Contractor must have up-to-date information readily available for participants on HIV testing and counseling, needle exchange programs and written information on HIV/AIDS and Hepatitis A, B and C. Contractor must also make access to condoms available to all program participants.
- D. Contractor will consult with San Mateo County AIDS Program to determine the best way for the AIDS Program, or Contractor's staff trained by the AIDS Program, to deliver HIV/AIDS group education to program participants. HIV/AIDS group education for participants will occur monthly for two hours, with participant attendance expected. Group education will be designed with recovery as a focus, and will include culturally sensitive materials, and necessary knowledge and skills for attitude and behavior change.
- E. Contractor will coordinate with the Alcohol and Drug Services Administrator, and San Mateo County AIDS Program to develop a plan for either on-site or easy access to HIV antibody testing including phlebotomy, for program participants through County AIDS Program HIV testing services. HIV antibody testing and counseling will be conducted according to California State Law including HIV testing/AIDS confidentiality laws, and California State Office of AIDS guidelines by certified HIV counselors.

ATTACHMENT 4
PAYMENT AND MONITORING PROCEDURES
(Fee For Service Agreement)
SERVICE LEAGUE OF SAN MATEO COUNTY
July 1, 2006 through June 30, 2007

I. Final Settlement Payment

Final settlement payment for this Agreement shall be no greater than the actual net allowable costs for actual or accrued expenditures made pursuant to the annual budget for contracted services submitted by Contractor for the term of the Agreement. Actual net allowable costs will be determined by the final/year-end Cost Report.

II. Required Fiscal Documentation

- A. Prior to execution of this Agreement, Contractor will have submitted to County for review and approval an annual budget covering all contracted services under this Agreement.
- B. Contractor will submit to County a final/year-end Cost Report no later than August 15, 2007.
- C. Contractor's final/year-end Cost Report may serve as Contractor's final budget revision upon approval of the AODS Administrator or designee. Subject to paragraph 4.B. of the body of this Agreement, Contractor may transfer funds between personnel and operating expenses in the final year-end Cost Report.

III. Withholding Payment for Failure to Submit Reports

- A. County may withhold all or part of Contractor's monthly payment if Contractor fails to submit timely satisfactorily completed reports during the term of this Agreement or the term(s) of previous Agreements, including but not limited to:
 - 1. Annual budget proposal;
 - 2. Cost allocation plan;
 - 3. California Outcomes Measurement System (CalOMS) client records;
 - 4. Quarterly revenue, expenditure and units of service reports;
 - 3. Participant fee schedule;
 - 5. Final/year-end Cost Report;
 - 6. Addiction Severity Index (ASI) at intake, six month and 12 month follow-up after intake;
 - 7. Monthly Drug Abuse Treatment Access Report (DATAR) as appropriate by program modality;
 - 8. Monthly units of service reports;
 - 9. Monthly hours of staff availability reports (for services other than residential);
 - 10. Quarterly narrative report;
 - 11. Outcome objectives data/report; and
 - 12. Capacity/utilization report to the state.
- B. County will release to Contractor any payments withheld under this section to Contractor when County verifies that Contractor has submitted all required documents.

IV. Documentation Required for Payment

- A. County shall pay Contractor within 30 days of receipt of invoice, provided invoice is accurate and any supporting documentation required for payment of invoice is also accurate.
- B. Invoices and/or supporting documentation that are inaccurate or contain inconsistencies must be corrected by Contractor and a new invoice submitted prior to payment.

V. Procedures in the Event of Non-renewal of Agreement

- A. County shall provide Contractor with 30 days notice of its intent not to renew this Agreement or to contract with Contractor for any of the modalities described in the Agreement in the following fiscal year, and County may withhold all or part of Contractor's final payment until:
 - 1. Contractor satisfactorily submits all reports required by this Agreement and until County has reviewed all of these reports, including the final/Year End Cost Report.
 - 2. Federal, state, or county government complete any audit that has been commissioned or is underway and submits the audit report, and County has reviewed said audit report.
 - 3. In the events of audits, set forth above, payment of amounts due and owing under the Agreement on the final invoice shall not be withheld more than 180 days from notice of termination of the Agreement or from expiration of the term.

VI. Contractor's Risk in Providing Extra Services

Services provided by Contractor more than 30 days after County has given notice of termination, in excess of County's maximum contractual financial obligation or in excess of Contractor's contractual responsibility are solely at Contractor's risk and financial responsibility, unless said extra services are specifically authorized in writing by the County and reflected in a duly executed Amendment to this Agreement.

VII. CONTRACTOR'S RESPONSIBILITIES

- A. Reporting Requirements for Alcohol and Drug Treatment Services:
 - 1. Submit to County the monthly units of service report describing actual delivery of services provided under the Exhibits herein. Submit report within ten calendar days after the end of each month.
 - 2. Submit to County a copy of the monthly Drug Abuse Treatment Access Report (DATAR). Submit a copy of report within ten calendar days after the end of each month. Submit original report to California Department of Alcohol and Drug Programs.
 - 3. Submit to County Quarterly Expenses, Revenues and Units of Service reports outlining expenditures made, revenues received and units of service provided for each quarter, year-to-date. Submit reports within ten calendar days after the end of each quarter.
 - 4. Submit to County quarterly narrative reports describing actual delivery of services provided under the Exhibits and listing the current members of Contractor's governing board. Explain any variations from expected

service levels. Submit quarterly narrative reports by the end of the month following each quarter.

5. Submit to County the alcohol and drug treatment outcome objectives data based on data collected from the ASI. Contractor will utilize the ASI as the baseline tool for outcome measures. Submit data as specified in the March 28, 2000 Addiction Severity Index memo from Alcohol and Drug Services and any addenda thereto, and as directed by the County Alcohol and Drug Services Manager or her designee.

VIII. COUNTY'S RESPONSIBILITIES

- A. A County program liaison will monitor the submission of all correspondence required in this Agreement, including, but not limited to:
 1. Quarterly expenses, revenues, narrative and units of service reports;
 2. Financial reports such as annual budgets, cost allocation plans, and cost reports;
 3. Incident report
 4. Monthly reports;
 5. Outcome data/reports; and
 6. Other requested reports.

- B. A County program liaison will visit Contractor during the contract term. The visits shall be for the purpose of reviewing any aspect of Contractor's program operations. The visit may include, but is not limited to:
 1. Review of all pertinent participant records;
 2. Conduct appropriate interviews/discussions with participants served by Contractor;
 3. Review and monitor all correspondence and reports submitted by Contractor related to Contractor's services provided under this Agreement;
 4. Meet with appropriate program management and operations staff; and
 5. Conduct site visit(s) to Contractor's program(s) at least once during the term of the Agreement to review all aspects of program operations. Site visit(s) may include a review of Contractor's programmatic and fiscal documentation related to required reports on services specified in the Exhibits.
 - a. Provide a written site review report documenting areas of compliance and any necessary corrective action(s) required; and
 6. A County program liaison will attend an organized activity of a selected component or selected components of Contractor's program(s) at least once during the contract term.

- C. Alcohol and Drug Services will conduct monthly provider meetings with representatives of all contracted service providers and appropriate staff. The purpose of these meetings shall be information sharing, discussion of service delivery, progress on stated goals and objectives and communication regarding policy and procedure issues.

- D. Provide ongoing technical assistance as needed.

- E. Alcohol and Drug Services shall act as intermediary on behalf of each contracted alcohol and drug service provider in the submission of the CalOMS treatment data to the State of California.

ATTACHMENT 5
PROGRAM SPECIFIC REQUIREMENTS
(Fee For Service Agreement)
SERVICE LEAGUE OF SAN MATEO COUNTY
July 1, 2006 through June 30, 2007

I. GENERAL ADMINISTRATIVE REQUIREMENTS

- A. Attend each of the following meetings:
 - 1. Quarterly Alcohol and Drug Treatment Provider's meetings;
 - 2. Other meetings as required by the County; and
 - 3. Drug and Alcohol Information System for You (DAISY) User Group meeting.

- B. Contractor shall acknowledge the San Mateo County Alcohol and Other Drug Services (AODS) and/or the County of San Mateo as a funding source on newly developed promotional materials.

- C. Subcontracting requirements:
 - 1. Pursuant to paragraph 12 of the body of this Agreement, Contractor may subcontract for provision of services described in this Agreement with written approval of the Director of the Human Services Agency or her designee. If Contractor subcontracts for any services under this Agreement, Contractor will guarantee that any and all subcontractors have and maintain the same level of insurance coverage required of the Contractor under this Agreement. Contractor and County will be listed as additional insured on all applicable insurance of subcontractor.

II. ADMINISTRATIVE REQUIREMENTS FOR TREATMENT PROGRAMS

- A. Contractor shall maintain alcohol and drug treatment program client records that include the following:
 - 1. Intake form (to include California Outcome Measures System (CalOMS) data elements) ;
 - 2. Signed fee determination;
 - 3. Redetermination of fee every 12 months or when requested by clients (except for residential treatment);
 - 4. Health questionnaire;
 - 5. Social history including employment, and criminal history;
 - 6. Alcohol and drug history;
 - 7. Presenting problem;
 - 8. Completed baseline Addiction Severity Index (ASI), and six months and 12 months follow up;
 - 9. Recovery/ treatment plan;
 - 10. Progress notes;
 - 11. Closure summary/discharge plan;
 - 12. Documented quarterly quality assurance review by consultant/supervisor;
 - 13. Signed release(s) of information as required;
 - 14. Signed consent to treatment; and
 - 15. Signed confidentiality agreement(s).

- B. Contractor will be in compliance with the DAISY Web-Based Application.
 - 1. Contractor must participate and be in compliance with the Drug and Alcohol (DAISY) system. DAISY is a centralized web-based application utilized by the County of San Mateo, Human Services Agency, Alcohol and Other Drug Services to manage client and provider information. Compliance includes achieving minimum hardware and connectivity specifications, attending trainings offered by the County, entering contractor and client data into the DAISY system, and utilizing DAISY to store client information, facilitate referrals, manage contractor waiting lists, and generate reports. The County will provide technical assistance and offer trainings on a regular basis to contracted agencies. Contractor shall ensure their appropriate staff attends the DAISY User Group and other scheduled trainings as appropriate. Contractor shall maintain an ongoing compliance with DAISY.
 - 2. Contractor will fully comply with all applicable laws, regulations and mandates governing Confidentiality of Alcohol and Drug Abuse Patient Records, including but not limited to 42 C.F.R. Part 2, the Health Insurance Portability and Accountability Act of 1996 ("HIPAA"), 45 C.F.R. pts 160 & 164, and applicable sections of the California Health & Safety Code.
- C. Administer the ASI to all treatment program clients who were not assessed via an ASI within 30 days prior to admission to Contractor's program(s). Submit follow up reports on data collected at six months and 12 months follow up as directed by the County AODS Administrator or designee.
- D. Make efforts to diversify program revenue sources.
- E. Comply with applicable California Department of Alcohol and Drug Programs certification and/or licensure requirements for Contractor's alcohol and drug treatment program(s).
- F. Individuals will not be refused Contractor's basic alcohol and drug treatment services based on the individual's inability to pay. Contractor's basic alcohol and drug treatment plan will be submitted to, and approved by the County AODS Administrator or designee.
- G. In the event that a participant appeals the manner or amount of his/her fee determination, contractor's will abide by the decision of the AODS Administrator or designee. Fee determination shall be based on a fee schedule approved by County.

III. PROGRAM CERTIFICATIONS

In performing the services described in the Exhibits, Contractor shall perform the following services and abide by the following provisions:

- A. Program Requirements:
 - 1. Commence new program services no later than 90 days after initiation of any start-up activities that are funded by County.

2. Make use of available community resources, including recreational resources.
3. Operate program(s) during times that provide reasonable accessibility for program participants with hours of operation posted in a conspicuous location.
4. Perform outreach activities to encourage individuals in need of alcohol and/or other drug services to reach these services.

B. Underserved Populations Requirements:

1. Work collaboratively with the County to provide treatment services to the following priority population listing:
 - a. Pregnant injection drug users;
 - b. Pregnant substance users;
 - c. Pregnant Intravenous Drug Users (IDU);
 - d. Parenting injection drug users;
 - e. Parenting substance users;
 - f. Non-English speaking;
 - g. Hearing impaired;
 - h. Physically impaired;
 - i. Gay/lesbian;
 - j. Elderly (for adult services);
 - k. Pregnant women;
 - l. HIV-positive;
 - m. Persons with a co-occurring disorder; and
 - n. Diverse cultures.
2. Work collaboratively with County to ensure that Contractor's program does not deny services based on language ability.
3. Demonstrate a commitment, in good faith, to recruit and retain program staff who can communicate with and relate to diverse populations.
4. Assure that Contractor's program staff receives training that addresses the prevention and treatment issues and approaches relevant to the special and/or underserved populations designated in paragraph B.1.c. above.

C. Program participants who fall into the following categories will be considered to have a co-occurring disorder. Contractor will abide by the following definitions and protocol for such individuals:

1. Definition of co-occurring disorder:
 - a. An individual is considered to have a co-occurring disorder if they have both a DSM-IV mental health diagnosis and a DSM-IV substance use disorder diagnosis. These diagnoses, along with assessment of current acuity of symptoms and behavioral management issues, will be considered when determining the appropriate level of care for each client. These individuals may or may not be in prescribed medications.
 - b. Individuals who have a diagnosis of Antisocial Personality Disorder, Mental Retardation, Learning Disorders, Autistic Disorders, Delirium, Dementia or Amnesic and other Cognitive Disorders and Substance Use Disorders, but none of the psychiatric diagnoses

noted above, are considered to have a co-occurring disorder for the purposes of this protocol.

D. Administrative Requirements:

1. Allow San Mateo County Drug and Alcohol Advisory Board (DAAB) members to visit Contractor's program site(s) at a mutually agreed time. One day advance notification will suffice when a member of the DAAB and the Alcohol and Drug Services Administrator, agree that an immediate visit is necessary.
2. Provide statistical information upon reasonable request of County.

E. Facility Requirements:

1. Maintain wheelchair accessibility to program activities according to governing law, including the Americans with Disabilities Act (ADA), as applicable.
2. Provide service site(s) that will promote attainment of Contractor's program objectives. Arrange the physical environment to support those activities.
3. Decrease program costs when possible by procuring items at no cost from County surplus stores and by accepting delivery of such items by County.

F. Governance and Operational Requirements:

1. Comply with all federal, state, and San Mateo County governmental agencies regulations and requirements including applicable provisions of the County's Combined Negotiated Net Amount and Drug Medi-Cal Contract that are or become effective during the term of the contract that relate to providing publicly funded alcohol and drug services.
2. Develop and enforce written policies and procedures, to be maintained in an operations manual available to all staff and volunteers. Include the following:
 - a. A conflict of interest policy applicable to all of Contractor's program employees, which includes, but is not limited to, financial conflict of interest.
 - b. Personnel policies that discuss the following:
 - 1) Criteria regarding employment of disabled people, including recovering alcohol and drug abusers, for each position, including the minimum length of recovery required for each position.
 - a) Include criteria regarding the employment of current program participants.
 - 2) Criteria describing the required academic and/or experiential background of Contractor's program treatment and prevention staff in alcohol and drug use and related problems, including recognition of referral criteria such as jaundice, convulsions, disorientation.
 - c. Program eligibility standards and policies and procedures for admission to and termination from the program.

- d. Procedures for obtaining medical, psychiatric evaluation and emergency services.
- e. Policies for maintaining participant records consistent with State and Federal laws. Surrender such records to County should Contractor's program cease operations.
- f. A statement of participant's rights and the grievance procedure utilized to respond to complaints. The statement and the grievance procedure must be available to program participants.
- g. A confidentiality policy that complies with all applicable state and federal laws and regulations, including but not limited to the following:
 - 1) 42 Code of Federal Regulation (CFR) regulations related to Confidentiality of Alcohol and Drug Abuse Patient Records.
 - 2) Health Insurance Portability and Accountability Act of 1996 ("HIPAA"), 45 CFR pts 160 & 164, and applicable sections of the California Health & Safety Code.
 - 3) California Mandated Blood Testing and Confidentiality to Protect Public Health Act of 1985 and all amendments, regarding AIDS/HIV issues.
 - 4) Health and Safety Code Section 11812(c).
- h. A prevention and recovery philosophy by which Contractor will maintain program structure, operation, and staffing.
 - 1) Contractor agrees that the use, sale or distribution of alcohol and illicit drugs will be prohibited on all program premises; and at any event that is sponsored by or on behalf of Contractor's program (unless otherwise agreed upon in writing by the Alcohol and Drug Services Administrator).
 - 2) Contractor agrees that all materials utilized by Contractor and that all activities conducted by Contractor will not promote the use of alcohol or illicit drugs.
 - 3) Contractor agrees not to accept any donations (including, but not limited to, money, goods, services, promotional materials, entertainment or use of any goods) from any company or organization whose principal business is the manufacture, sale, distribution or promotion of alcohol or tobacco, including but not limited to, companies of the alcohol or tobacco industries.
- i. A policy statement on smoking in program facilities and during program activities.
- j. A policy statement on the use of medically-prescribed drugs for dually diagnosed participants or participants who have other medical problems.
- k. A policy statement on prevention of violence in the workplace.

G. Conflict of Interest Requirements:

- 1. If Contractor is a nonprofit agency, Contractor will comply with the California Corporations Code on Non-Profit Corporations.

2. Do not permit any member of Contractor's governing board to have or acquire, directly or indirectly, any personal financial interest in the performance of this Agreement, as by providing goods or services for compensation, or otherwise, without having first disclosed the same to the governing board.
3. Disclose to County in writing, within 14 calendar days of the occurrence of any of the following circumstances:
 - a. When any of the following persons or organizations performs for compensation any administrative or operational functions for Contractor with respect to the performance of this contract (including, but not limited to, fiscal accounting or bookkeeping functions).
 - 1) Any member of Contractor's governing board.
 - 2) Any person who is related by blood or marriage to a manager or a member of Contractor's governing board.
 - 3) Any organization in which any person who is related by blood or marriage to a manager or member of Contractor's governing board has a substantial personal financial interest.
 - b. When Contractor enters into any agreement for the acquisition of goods or services for more consideration that would be paid for equivalent goods or services on the open market.
4. If the AODS Administrator reasonably determines that any activity constitutes a conflict of interest which is detrimental to program participants, program implementation or program functioning, County may require Contractor to cease said activity.
5. If Contractor does not cooperate with any of the provisions of paragraphs 1 through 4 of this section, County may withhold payment subsequent to Contractor's non-cooperation. County will describe intention to withhold payment with justification in writing to Contractor.

IV. FISCAL CERTIFICATIONS

In performing the services described in the Exhibits, Contractor shall perform the following services and abide by the following provisions:

- A. In the event that Contractor's program owes money to any County agency for services or goods received specifically pursuant to this Agreement or owes money based on any audit as described in paragraph IV.A.2. below, County may, at its option, deduct the amount owed from any payment due to Contractor or that will become due to Contractor under this Agreement.
 1. In the event that Contractor has already received payment for services, Contractor shall promptly refund to County, upon County's request, the amount to be withheld.
 2. In the event that the federal, state, or San Mateo County government performs an audit of Contractor's program provided pursuant to this Agreement, and determines that funds should be withheld from County due to Contractor's performance, Contractor shall be liable to County for the full amount of the funds withheld.

- B. Maintain all financial records, perform all cost allocations and complete all financial reports according to standard accounting practices, as well as the California Department of Alcohol and Drug Programs Alcohol Services Reporting System Manual (ASRS) and the Federal Office of Management and Budgets (OMB) Circular Nos. A-133.
- C. If it is deemed necessary by the Alcohol and Drug Services Administrator, hire a Certified Public Accountant to perform a fully certified audit of Contractor's program at Contractor's expense.
 - 1. Contractor will perform audit according to standard accounting practices.
 - 2. This expense is an allowable cost in Contractor's program budget.
 - 3. If County reasonably believes that the governing board may not have met its fiduciary and/or other contractual responsibilities, the Alcohol and Drug Services Administrator may reserve the right to develop the use of said audit and to approve the selection of the auditor.
- D. If Contractor receives FIVE HUNDRED THOUSAND DOLLARS (\$500,000) or more in federal funds in a fiscal year, Contractor must have a single audit in accordance with Circular No. A-133. If Contractor is a non-profit organization with only one federal program, the audit can be made for that one program only.
 - 1. Contractors receiving annually an aggregate of ONE HUNDRED FIFTY THOUSAND DOLLARS (\$150,000) or more of funds from the County must have a financial audit.
 - 2. All audits must be conducted in accordance with government Auditing Standards (1994 Revision), prescribed by the U.S. Comptroller General, covering all County programs.
 - 3. Contractor may conduct audit either annually or bi-annually. If Contractor conducts audit biannually, audit must cover a two-year period.
 - 4. Audit reports will identify each County program covered by the audit, including contract amounts and contract periods.
 - 5. If a funding source has more stringent and specific audit requirements than the audit requirements set forth in paragraphs D.1 through 3, directly above, those audit requirements shall apply in addition to the audit requirements set forth herein.
 - 6. Contractor will permit independent auditors to have access to Contractor's records and financial statements as necessary to comply with all applicable audit requirements.
 - 7. The cost of the audit must be reasonable and is an allowable cost in Contractor's program budget.
 - 8. Contractor will submit a copy of the audit report to County no later than 90 days after termination of this Agreement. Contractor shall submit a written request for additional time to complete the audit report, subject to County's written approval.
- E. Make no capital equipment purchases not already included in Contractor's approved budget, with contract funds, without prior written approval from the Director of Human Services or her designee.
 - 1. County has the option to retain ownership of capital equipment purchased with contract funds.

F. Contractor will spend no contract funds on fundraising.

V. UNUSUAL INCIDENTS POLICY

Contractor shall comply with Title 9, Section 10561 of the California Code of Regulations, and shall report any unusual incidents occurring in connection with the performance of this Agreement with regards to Contractor's program(s), within 24-hours of the incident, as well as a written report to the Alcohol and Drug Services Administrator, within seven calendar days of any unusual incident.

A. Unusual incidents include, but are not limited to:

1. Summoning of police/fire/emergency services personnel to the program premises in order to handle disturbances or crimes;
2. The death by any cause of a person currently receiving services from Contractor's program(s);
3. The death, under unusual circumstances, of any individual who has received services during the past six to 12 months from the agency;
4. Situations arising which would seriously hamper the ability of the agency to deliver its services under this Agreement with the County (including the loss of key personnel);
5. Serious personal injury; and
6. Serious property damage.

ATTACHMENT 6
COUNTY OF SAN MATEO
Equal Benefits Compliance Declaration Form
(Fee For Service Agreement)
SERVICE LEAGUE OF SAN MATEO COUNTY
July 1, 2006 through June 30, 2007

I Vendor Identification

Name of Contractor: Service League of San Mateo County
Contact Person: Elizabeth K. Gheleta
Address: 727 Middlefield Road
Redwood City, CA 94063
Phone Number: (650)364-4664
Fax Number: (650)365-6817

II Employees

Does the Contractor have any employees? Yes No
Does the Contractor provide benefits to spouses of employees? Yes No

If the answer to one or both of the above is no, please skip to Section IV.

III Equal Benefits Compliance (Check one)

- Yes, the Contractor complies by offering equal benefits, as defined by Chapter 2.93, to its employees with spouses and its employees with domestic partners.
 - Yes, the Contractor complies by offering a cash equivalent payment to eligible employees in lieu of equal benefits.
 - No, the Contractor does not comply.
 - The Contractor is under a collective bargaining agreement which began on _____ (date), and expires on _____ (date).
-

IV Declaration

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct, and that I am authorized to bind this entity contractually.

Signature

Name (Please Print)

Title

Date

ATTACHMENT 7
Additional Negotiated Net Amount (NNA) Requirements
(Fee For Service Agreement)
SERVICE LEAGUE OF SAN MATEO COUNTY
July 1, 2006 through June 30, 2007

The County's 2004-2008 state NNA contract requires the County to include the following provisions in all contracts in which state NNA funds are used to pay for drug and alcohol services. The parenthetical references in this Attachment refer to the section of the NNA Agreement that specify the requirements.

1. Debarment and Suspension Certification:
 - A. By signing this agreement, the Contractor agrees to comply with applicable federal suspension and debarment regulations including, but not limited to 7 CFS Par 3017, 45 CFR 76, 40 CFR 32, or 34 CFR 85.
 - B. By signing this Agreement, the Contractor certifies to the best of its knowledge and belief, that it and its principals:
 - 1) Are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded by any federal department of agency;
 - 2) Have not within a three-year period preceding this application/proposal/agreement been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain or performing a public (federal, state or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements or receiving stolen property;
 - 3) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in paragraph two herein; and
 - 4) Have not within a three-year period preceding the application/proposal/agreement had one or more public transactions (federal, state or local) terminated for cause or default.
 - 5) Shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under federal regulations, debarred, suspended, declared ineligible or voluntarily excluded from participation in such transaction, unless authorized by the State.
 - 6) Will include a clause entitled, "Debarment and Suspension Certification" that essentially sets forth the provisions herein, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
 - C. If the Contractor is unable to certify to any of the statements in this certification, the Contractor shall submit an explanation to the ADP program funding this contract.
 - D. The terms and definitions herein have the meanings set out in the Definitions and Coverage sections of the rules implementing Federal Executive Order 12549.

E. If the Contractor knowingly violates this certification, in addition to other remedies available to the federal government, the ADP may terminate this agreement for cause of default.

(Required by NNA Agreement FY 2004-08, Exhibit B, Section I.)

2. Contractor hereby acknowledges the applicability of California Government Code Sections 16645 through Section 16649 to this contract.

A. Contractor will not assist, promote or deter union organizing by employees performing work on a state service contract, including a public works contract.

B. No state funds received under this contract will be used to assist, promote or deter union organizing.

C. Contractor will not, for any business conducted under this contract, use any state property to hold meetings with employees or supervisors, if the purpose of such meetings is to assist, promote or deter union organizing unless the state property is equally available to the general public for holding meetings.

D. If Contractor incurs costs, or makes expenditures to assist, promote or deter union organizing, Contractor will maintain records sufficient to show that no reimbursement from state funds has been sought for these costs, and Contractor shall provide those records to the Attorney General upon request.

(Required by NNA Agreement for FY 2004-08, Exhibit B, Paragraph M)

3. Confidentiality of Information:

A. The Contractor and its employees, agents or Subcontractors shall protect from unauthorized disclosure names and other identifying information concerning persons either receiving services pursuant to this agreement or persons whose names or identifying information become available or are disclosed by the Contractor, its employees, agents or Subcontractors as a result of services performed under this agreement, except for statistical information not identifying any such person

B. Contractor and any Subcontractor that provides services covered by this Contract shall comply with all applicable state and federal statutes and regulations regarding confidentiality, including, but not limited to, the confidentiality of information requirements in the following:

a. 42 USC Section 290 dd-2

b. Title 42, CFR Part 2

c. Welfare and Institutions Code (hereinafter referred to W&IC), Section 14100.2

d. Section 11977 of the HSC

e. Title 22, California Code of Regulations (hereinafter referred to as Title 22), Section 51009, which is specific to Medi-Cal

f. Title 45, CFR Parts 160 and 164 which cites the Health Insurance Portability and Accountability Act of 1996 Privacy Rule

g. Civil Code Section 56- 56.37 – Confidentiality of Medical Information Act

h. HSC Section 123110 et seq. – Patient Access to Medical Records

C. Contractor agrees to implement administrative, physical and technical safeguards that reasonable and appropriately protect the confidentiality, integrity and availability of all confidential information that it creates, receives, maintains or transmits. Contractor will provide State with information

concerning such safeguards as the State may reasonable request from time to time.

D. Contractor agrees to mitigate, to the extent practicable, any harmful effect that is known to Contractor of a use of disclosure of confidential information by Contractor or its Subcontractors in violation of the applicable state and federal statues and regulations regarding confidentiality cited above.

E. Contractor shall monitor compliance with the above provisions on confidentiality and security and shall include them in all subcontracts.

(Required by NNA Amendment Agreement for FY 2004-08, Exhibit B, Section O)

4. Nondiscrimination in Employment:

A. During the performance of this contract, Contractor and its subcontractors shall not unlawfully discriminate, harass, or allow harassment against any employee or applicant for employment because of sexual orientation, race, color, ancestry, religious creed, national origin, physical disability (including HIV and AIDS), mental disability, medical condition (cancer), age (over 40), marital status, and use of family care leave. Contractor and subcontractors shall insure that the evaluation and treatment of their employees and applicants for employment are free from such discrimination and harassment. Contractor and subcontractors shall comply with the provisions of the Fair Employment and Housing Act (Government Code Section 12990 (a-f) et seq.) and the applicable regulations promulgated thereunder (California Code of Regulations, Title 2, Section 7285 et seq.). The applicable regulations of the Fair Employment and Housing Commission implementing Government Code Section 12990 (a-f), set forth in Chapter 5 of Division 4 of Title 2 of the California Code of Regulations, are incorporated into this contract by reference and made a part hereof as if set forth in full. Contractor and its subcontractors shall give written notice of their obligations under this clause to labor organizations with which they have a collective bargaining or other Agreement.

B. Contractor agrees to post, and further agrees to require its subcontractors to post, in conspicuous places, notices available to all employees and applicants for employment setting forth the provisions of the Equal Opportunity Act {42 USC 2000(e)} in conformance with federal Executive Order No. 11246.

Contractor agrees to comply, and further agrees to require its subcontractors to comply, with the provisions of the Rehabilitation Act of 1973 (29 USC 794).

(Required by NNA Agreement FY 2004-08, Exhibit B, Paragraph P)

5. No state or federal funds shall be used by Contractor or any subcontractors for sectarian worship, instruction or proselytization. No state funds shall be used by Contractor or any subcontractors to provide direct, immediate or substantial support to any religious activity. (Required by NNA Amendment 1, 2002-03, Exhibit B, Paragraph Q.4)

6. No state or federal funds available under this contract will be used for the acquisition, operation or maintenance of computer software in violation of copyright laws. (Reference: Executive Order D-10-99 and Department of General Services Management Memo 00-02)

(Required by NNA Agreement FY 2004-08, Exhibit B, Paragraph V)

7. If Contractor disagrees with state audit disallowances related to its programs, claims or services, the Contractor may request an appeal through the County Alcohol and Drug Services Administrator, who shall promptly forward the Contractor's appeal to the state in accordance with Document 1J, "Audit Appeals Process." (Required by NNA Agreement FY 2004-08, Exhibit D, Article IV, I. 10.)
8. Lobbying and Restriction and Disclosure Certification
(Applicable to federally funded contracts in excess of \$100,000 per Section 1352 of the 31, U.S.C.)
 - A. Certification and Disclosure Requirements:
 - 1) Each person (or recipient) who requests or receives a contract, subcontract, grant or subgrant, which is subject to Section 1352 of 31 U.S.C., and which exceeds \$10,000 at any tier, shall file a certification (in the form set forth in Exhibit C, Document 1W, consisting of one page, entitled "Certification Regarding Lobbying") that the recipient has not made, and will not make, any payment prohibited by paragraph B, section 8.
 - 2) Each recipient shall file a disclosure (in the form entitled "Standard Form-LLL – Disclosure of Lobbying Activities") if such recipient has made or has agreed to make any payment using non appropriated funds (to include profits from any covered federal action) in connection with a contract or grant or any extension or amendment of that contract or grant, which would be prohibited under paragraph B of this provision if paid for with appropriated funds.
 - 3) Each recipient shall file a disclosure form at the end of each calendar quarter in which there occurs any event that requires disclosure or that materially affected the accuracy of the information contained in any disclosure form previously filed by such person under paragraph A, section 2 herein. An event that materially affects the accuracy of the information reported includes:
 - (i) A cumulative increase of \$25,000 or more in the amount paid or expected to be paid for influencing or attempting to influence a covered federal action;
 - (ii) A change in the person(s) or individual(s) influencing or attempting to influence a covered federal action; or
 - (iii) A change in the officer(s), employee(s) or member(s) contacted for the purpose of influencing or attempting to influence a covered federal action.
 - (iv) Each person (or recipient) who requests or receives from a person referred in paragraph A, section 1 above – a contract, subcontract, grant or subgrant exceeding \$100,000 at any tier under a contract or grant shall file a certification, and a disclosure form, if required, to the next tier above.
 - (v) All disclosure forms (but not certifications) shall be forwarded from tier to tier until received by the person referred to in paragraph A, section 1 above. That person shall forward all disclosure forms to ADP program contract manager.

B. Prohibition

- 1) Section 1352 of Title 31, U.S.C., provides in part that no appropriated funds may be expended by the recipient of a federal contract, grant, loan or cooperative agreement to pay any person for influencing or attempting to influence an officer, or employee of any agency, a Member of Congress, an officer or employee of Congress or an employee of a Member of Congress in connection with any of the following covered federal actions: the awarding of any federal contract, the making of any federal grant, the making of any federal loan, entering into of any cooperative agreement and the extension continuation, renewal, amendment or modification of any federal contract, grant, loan or cooperative agreement.
- 2) Restrictions on Salaries: Contractor agrees that no part of any federal funds provided under this Contract shall be used by it or any subcontractor to pay the salary of an individual in excess of \$171,900 per year.