

PART I: SACPA COUNTY PLAN

This part must be completed to be considered for distribution of SACPA funds.

1. The county's FY 2006-07 County Plan is currently on file at ADP. That plan is current for FY 2007-08 except as noted in question #2 below. Yes No

Note: If the answer is "NO" then an updated county plan must be submitted.

2. **Plan Changes from FY 2006-07 County Plan.** Identify any changes from the FY 2006-07 county plan to FY 2007-08 county plan in the following areas.

- a) **Drug Treatment Services.** Describe changes in *drug treatment services* from the previous plan year. In consideration of changes, will the assessment process or assessment tools utilized change or be modified? Has the county increased capacity or length of treatment services for outpatient, residential or Narcotic Replacement Therapy (NRT) services? Is drug testing still utilized as a measure to modify a client's treatment needs? Do expenditures submitted in SRIS reflect increases or decreases? If so, an explanation is required. (For example; explain changes related to addition/reduction of treatment staff, length of treatment, treatment options, treatment capacity, etc.)

- I) For the most part SACPA provided drug treatment services will remain the same in FY 2007/08. To-date there are no changes to the assessment process or tools; however San Mateo County is researching the Treatment Research Institute's (TRI) Risk and Needs Triage (RANT) tool for probation officers to quickly and effectively identify the most effective programs for offenders. This tool may be piloted for use in FY 2007/08, but currently data is being gathered to determine the pros and cons of usage.
- II) Expenditures reflected in SRIS show an 18% decrease from the 2006/07 fiscal year. Treatment capacity for Level 2 (intensive outpatient) and Level 3 (residential) will be reduced in FY 2007/08 to reflect this funding decrease. The maximum length of treatment in higher level modalities may also be reduced in FY 2007/08 to further reduce costs in Level 2 and Level 3 treatment. San Mateo County is exploring the use of a step-down model to provide access to continuing care at a lower expense. Drug testing will continue to be used to guide the treatment needs of consumers.
- III) There will be a few notable changes in services delivery in FY 2007/08. Effective January 1, 2008, San Mateo County will require all treatment providers to adopt and implement three new policies. The first policy is a medications policy. This policy prohibits the denial of services to consumers based solely on prescribed medications being taken by the consumer, regardless of the type of medication. The second policy is related to consumers receiving Narcotic Replacement Therapy (NRT). This policy prohibits the delay or denial of services to consumers in relation to receipt of NRT and permits consumers in non-residential and residential treatment to have concurrent treatment for NRT maintenance or detoxification; this policy also requires opiate dependent consumers to be notified of the efficacy of NRT for the treatment of opiate dependence by the treatment provider and for the treatment provider to give a referral to an appropriate NRT medical practitioner upon consumer request. The third new policy is a relapse policy, which uses relapse as an opportunity for reassessment and reengagement of consumers. Treatment providers may not automatically discharge a consumer for relapsing within a current treatment level of care.

- b) Other Services:** Describe changes in *additional services* supplemental to treatment from the previous plan year. Additional services include: vocational training, literacy training, family counseling, etc. Has the county expanded vocational opportunities for clients or collaborated with community resources to provide additional needs as assessed? Are there notable changes to services for persons with co-occurring disorders? Do expenditures submitted in SRIS reflect increases or decreases? If so, an explanation is required. (For example; explain changes related to addition/reduction of staff, providers of services, etc.)
- 1) San Mateo County anticipates an improvement in both services and outcomes for co-occurring clients stemming from the utilization of the Comprehensive, Continuous, Integrated System of Care (CCISC) model for designing systems change. The CCISC model is based on a clinical consensus of best practice principles to integrate mental health and substance abuse treatment philosophy. We are aggressively pursuing collaborative partnerships with mental health and related service providers to ensure clients with co-occurring disorders are served appropriately. In an effort to integrate and improve client services, San Mateo County Alcohol and Other Drug Services and Mental Health Services are being reorganized into a unified Behavioral Health and Recovery Services Division within the San Mateo County Health Department.
- c) Criminal Justice Activities:** Describe changes in *criminal justice activities* from the previous plan year. Has the county modified involvement of criminal justice entities for supervision, case management approach or similar activity? Do expenditures submitted in SRIS reflect increases or decreases? If so, an explanation is required. (For example; explain changes related to addition/reduction of staff, changes in cj process or program involvement, etc.)
- 1) There are no changes in criminal justice activities and no expenditure changes in the SRIS for criminal justice activities from the FY 2006/07 beyond a minor cost of living increase for probation staff.
- d) Client Referral Projections:** Describe changes in *referrals* from the previous plan year. Explain the reasons for increases and decreases in the number of offenders referred to SACPA by both court/probation and parole. Do figures submitted in SRIS reflect increases or decreases? If so, an explanation is required. (For example; change in process to capture information, increased cj support and collaboration, etc.)
- 1) It is not anticipated that volume of referrals into SACPA from either probation or parole will differ from previous the fiscal year.

SACPA REPORTING INFORMATION SYSTEM-DATA ELEMENTS

To complete this portion, you must access SRIS and upload information. Refer to the plan/application guide for assistance in completing this section.

- SACPA/SATTA Expenditures by Entity
- Service/Activity Report
- Client Projections (Referrals)

PART II: OTP APPLICATION

Counties applying for OTP funds must establish a separate funding expenditure code for OTP costs and cannot place the funds in the Substance Abuse Treatment Trust Fund (SATTF). Counties must track FY 2006-07 funds separately from FY 2007-08 funds.

1. Will the county apply for OTP funds? Yes No

If yes, continue completion of Part II to be considered for receiving OTP funds. REQUIRED ELEMENTS MUST BE MET TO QUALIFY FOR OTP FUNDS AND ESTABLISH COUNTY ELIGIBILITY. If you are unsure if the county meets eligibility requirements, contact your county liaison.

If no, skip the remainder of Part II and complete Exhibit B: OTP Decline of Funds in addition to Part III, Board of Supervisors Approval for the SACPA funds you are requesting.

See plan/application guide for assistance in completing this section.

Goals

1. Enhance treatment services for offenders assessed to need them, including residential treatment and narcotic replacement therapy.

Describe what treatment services will be enhanced, and how enhancement will be connected to assessed need. Describe which strategies from the list above will be used to meet this goal.

1) San Mateo County will enhance residential services, narcotic replacement therapy, and intensive day treatment services. The enhanced services will connect to the assessed need by increasing the availability and timely accessibility of higher levels of treatment services indicated by client need.

Utilizing the following four strategies, San Mateo County will enhance treatment services for Proposition 36 offenders in relation to assessed client need by:

- a) **Locating assessment units or centers in or near the court.** Assessors are now located in probation and parole offices one afternoon per week and they attend the dedicated Prop 36 court calendared hearings. The presence of the assessors will be

increased for assessment, consultation, treatment placement and case management services.

- b) **Intensifying or adding services as indicated by drug test results.** Upon receipt of drug testing results, treatment providers will increase therapeutic assessments and intervention and SMCAOD assessors will increase surveillance of the test results and provide therapeutic consultation. SMCAODS will seek training to refine our use of urine analysis data.
- c) **Expanding capacity of treatment services outpatient.** We are working closely with two homeless shelters with onsite outpatient treatment programs. We will identify Prop 36 clients who are homeless who would most benefit from both AOD outpatient treatment and homeless services. We intend to utilize beds at the two homeless shelters with outpatient treatment on site. This will allow us to further enrich our continuum of care.
- d) **Increasing utilization of residential treatment services.** Currently, SMCAOD offers limited access to day treatment and residential treatment services.

The increased funding allocation for these services will allow San Mateo County to better respond to the severity and need of the clients. The number of clients utilizing residential treatment will increase. Additionally, funding increases will also allow greater access to available co-occurring disorders treatment resources.

2. Increase the proportion of sentenced offenders who enter, remain in, and complete treatment, through activities and approaches such as co-location of services, enhanced supervision of offenders, and enhanced services determined necessary through the use of existing drug test results.

Describe each county-specific activity to increase the proportion of client admissions, client retention, and completions. Describe which strategies from the list above will be used to meet this goal.

Using the following six strategies, San Mateo County proposes to increase the proportion of client admissions, retention and completion of treatment programs:

- a) **Locate assessment units or centers in or near the court.** Assessors are now located in probation and parole offices one afternoon per week and attend the dedicated Prop 36 court calendared hearings. The presence of the assessors will be increased for assessment, consultation, treatment placement and case management services. In addition, San Mateo County will utilize motivational interviewing during assessment to increase the proportion of client admissions into treatment.
- b) **Enhance criminal justice supervision of offenders.** Working in partnership with Probation, SMCAOD staff will provide ongoing outreach and engagement with clients to increase the proportion of client admissions. Increased case management and supervision to clients throughout the treatment process will improve retention and completion in treatment.
- c) **Improve collaboration and coordination between criminal justice and treatment with the goal of admitting offenders into appropriate treatment in the shortest possible time.** Probation and treatment providers will identify clients at risk of termination or probation violation due to unfulfilled needs for higher levels of placement. Interventions utilizing case management resources will be implemented immediately after client identification to ensure timely admission to the most appropriate level of treatment and to reduce of terminations and probation violations.
- d) **Intensify or add services as indicated by drug test results. (5)** Upon receipt of drug testing results, treatment providers will increase therapeutic assessments and intervention and SMCAOD assessors will increase surveillance of the test results and provide therapeutic consultation. A positive U.A. test result may indicate a client's current level of care is not adequate and the client's treatment plan should be adjusted. Clients needing a higher level of treatment will be placed in a timely manner. Motivational interviewing strategies will be used to enhance client success.
- e) **Adopt drug court procedures (drug court practices include court calendars dedicated to SACPA offenders; direct contact between judge and offender; appropriate treatment and supervision; and close collaboration between judge, prosecutor, defense attorney, and treatment provider).** San Mateo County will implement a new, proactive strategy in which the courts receive monthly updates of all client progress, including those who are doing well in the program. Judges will review client data and determine when it is appropriate to terminate a client from probation. Releasing clients from probation as they succeed in treatment is an effective retention and completion tool.

- f) **Increase NRT use for treatment of opiate dependent offenders who wish to receive it.** OTP funding will be used to purchase additional NRT so that all opiate dependent offenders who wish to receive NRT receive it. Additionally, treatment provider staff will be trained to routinely advise clients of the availability of NRT. Use of NRT's is an effective way to increase the proportion of client admissions, retention and completion of treatment services. Increased assessment and case management will increase utilization of NRT.

3. Reduce delays in the availability of appropriate treatment services.

Describe existing delays, including details of how the delay is measured, and the specific activity or practice the county will employ to reduce delays. Describe which strategies from the list above will be used to meet this goal.

A major delay in San Mateo County occurs when a client demonstrates a need for a higher level of placement in either residential or intensive outpatient. Due to financial constraints, there is limited space in higher levels of care. The delay in admissions is measured by the unapproved requests for admissions or extension of stay in either residential or intensive outpatient. San Mateo County proposes to reduce delays in the availability of treatment services by employing the following two strategies.

- a) **Improve collaboration and coordination between criminal justice and treatment with the goal of admitting offenders into appropriate treatment in the shortest possible time.** SMCAOD staff will increase collaboration among the courts, judges and probation to identify specific process improvements to reduce delays for motivated clients who fail a drug test to enter a higher level of treatment. We expect that the increased efforts of the SMCAOD assessors at probation and parole will expedite the process.
- b) **Intensify or add services as indicated by drug test results.** San Mateo County will increase surveillance of U.A. drug test results and intervene therapeutically at the first indication of need.
- c) **Increase utilization of residential treatment services:** San Mateo County will expand the number of available residential beds thus increasing the utilization of residential treatment for those clients who are in the greatest need.

4. Employ a drug court model, including dedicated court calendars with regularly scheduled reviews of treatment progress, and strong collaboration by the courts, probation, and treatment.

Describe existing court practices, and specific activity or practice the county will employ to achieve a drug court model. Describe which strategies from the list above will be used to meet this goal.

San Mateo County's Own Recognizance Program (OR) staff screens all defendants booked into the San Mateo County Jail for eligibility to participate in drug court. These defendants then receive an in-custody arraignment hearing where they are appointed counsel and discuss the drug court option (Diversion and Trial Tracks). Participants who choose drug court are released on their own recognizance and scheduled for a hearing with the drug court Judge at the soonest available drug court hearing date. Participants receive an AOD assessment that is reviewed by the Drug Court multi-disciplinary team, composed of the judge, probation, D.A., private defender and the AOD specialist. The team makes a recommendation for treatment. The treatment plan is part of the participant's court order. Monthly progress reports are

provided by treatment providers and shared with the Drug Court Team to give updates on the status of clients in treatment.

San Mateo County Alcohol and Drug Services will utilize the following strategies to improve our existing drug court model.

- a) **Locate assessment units or centers in or near the court.** San Mateo County will utilize motivational interviewing during assessment to increase the proportion of client admissions into treatment. Assessors will provide additional case management services to those participants at risk of violation. Once the assessment and treatment recommendation is completed by the AOD assessor, it is presented at the pre-court conference for review and concurrence.
- b) **Improve collaboration and coordination between criminal justice and treatment with the goal of admitting offenders into appropriate treatment in the shortest possible time.** San Mateo County will increase contact between AOD assessors and treatment providers to intervene as early as indicated with participants who are at risk of violation to expedite review by the drug court team. Assessors will conduct site visits with treatment providers and interview clients enrolled in the programs to ensure progress in treatment.
- c) **Adopt drug court procedures (drug court practices include court calendars dedicated to SACPA offenders; direct contact between judge and offender; appropriate treatment and supervision; and close collaboration between judge, prosecutor, defense attorney, and treatment provider).** San Mateo County will enhance its current process by referring participants at risk of violation for review by the drug court team prior to a violation occurring. Bi-weekly multi-disciplinary meetings are held to provide updates on clients appearing in court. Reports of at risk participants will be reviewed during these meetings. OTP clients will have their treatment progress reviewed monthly by the treatment court team.

5. Develop treatment services that are needed but not available.

Describe specific treatment services, presently not provided, that the county plans to develop including new services, client population to be served, and purposes of the planned services. Describe which strategies from the list above will be used to meet the goal.

San Mateo County Alcohol and Other Drug Services proposes to develop specific services to support Proposition 36 offenders who are homeless. Homeless OTP clients need services that address participant issues leading to homelessness that include but are not limited to AOD treatment. The following strategies will be utilized to develop and implement services not currently provided:

- a) **Utilize additional screening tools.** San Mateo County will add the ASAM to the assessments tools for determining appropriate placement. San Mateo County will screen for additional barriers to treatment including homelessness and unemployment. This will increase the appropriate placement of clients improve linkages to additional services.
- b) **Expand capacity of treatment service.** OTP clients who are better served in a homeless program that offers AOD treatment will be assessed and referred to such programs.

6. Other activities, approaches, and services.

Describe the specific activities, approaches and services not previously addressed that the county plans to pursue. The plan must describe the county's current outcomes or progress in terms of numbers and types of clients, and the anticipated improvement or enhancement anticipated as a result of the proposal. Describe which strategies from the list above will be used to meet the goal. The use of funds for other purposes must be approved by ADP.

The following additional activities and approaches are not specific to OTP and are not included in the program. However they are mentioned because they will strengthen San Mateo County's efforts to improve the outcomes for OTP participants.

San Mateo County has been developing a comprehensive AOD strategic plan. One of the aspects of the plan is focusing on target populations of greatest need. This includes adults in the criminal justice system. This emphasis will require increased collaboration between the criminal justice system, treatment providers and other key community sectors such as health, employment and housing. The result of the increased collaboration will increase client retention and completion rates, and decrease recidivism.

San Mateo County is developing a system of integrated care for clients with co-occurring substance abuse and mental health diagnosis. Staff in AOD and mental health treatment systems are working together to improve the identification and treatment of clients with co-occurring disorders. OTP clients will benefit from this effort as they will be properly identified and matched with the appropriate treatment.

FY 2007-08 OTP Budget

County: San Mateo County

Section I. Treatment Related Costs: Budgeted Expenditures			
A	B	C	D
Enhancing Treatment Services	Budget	Match Requirement	Projected Clients Served
Residential Treatment	\$ 202,285	\$ 41,470	35
Narcotic Replacement Therapy	\$ 10,000	\$ -	8
Other Treatment Service	\$ 100,000	\$ -	25
Reduce Treatment Delays	\$ 25,474	\$ -	60
Increase Placement into Treatment	\$ 25,474	\$ -	60
Develop Treatment Services Not Currently Available	\$ 10,000	\$ -	4
Other Activities, Approaches or Services	\$ -	\$ -	
TOTAL SECTION I	\$ 373,233	\$ 41,470	192
Section II. Criminal Justice/Other Costs: Budgeted Expenditures			
	Budget	Match Requirement	Projected Clients Served
Enhanced Supervision	\$ -	\$ -	
Other	\$ -	\$ -	
TOTAL SECTION II	\$ -	\$ -	0
GRAND TOTAL OF SECTIONS I & II	\$ 373,233	\$ 41,470	192
Section III. CERTIFICATION <i>County Alcohol and Other Drug (AOD) Program Administrator's certification that all projected expenditures stated above are consistent with the requirements of the Substance Abuse Offender Treatment Program.</i>			
X _____ SACPA Lead Agency Designee Signature		Date: <u>27-Sep-07</u>	
_____ Judith Davila Please print name		_____ County General Funds Source of County Matching Funds	

PART III: Board of Supervisors Resolution

1. The Board of Supervisors resolution approving the above plan and application (if applicable) is not available at this time.

The anticipated completion date is: **March 11, 2008**